

Subject name(s)	Date Announced	Law Enforcement Agency	Alleged Conduct	Summary	Relevant statute(s)	State	Court	Type of Action	Individual subject?	Corporate subject?	Follow-on action?	Matter Number	Dates of unlawful conduct	Penalties (civil/criminal fines, incarceration)	Press Release	Petition/ Complaint/ Indictment	Agreement/ Judgment
Shawnda Rochelle Augustus	5/27/2021	USDOJ	Unemployment fraud	Shawnda Rochelle Augustus was charged with wire fraud and aggravated identity theft for allegedly obtaining and attempting to obtain unemployment benefits following the floods of 2016 and pandemic unemployment benefits in 2020.	-	Louisiana	M.D. La.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-mda/pr/department-of-justice-woman-charged-wire-fraud-and-aggravated-identity-theft-connection	-	-
Cecilia Aquino	5/27/2021	USDOJ	Unemployment fraud	Cecilia Aquino was charged with mail fraud, wire fraud, and aggravated identity theft for allegedly submitting unemployment insurance claims applications for Small Business Administration (SBA) Economic Injury and Disaster Loans (EIDL) using stolen identities. Aquino allegedly claimed that the named individuals last worked as self-employed dancers and owned interior design businesses, and that because of the COVID-19 pandemic, they lost their jobs and business revenue, respectively.	-	California	E.D. Cal.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-edca/pr/fresno-woman-charged-covid-19-pandemic-related-benefits-fraud	Aquino, indictment	-
Eric Wade Lynne	5/27/2021	USDOJ	PPP fraud; EIDL fraud	Eric Wade Lynne was charged with wire fraud and bank fraud for allegedly perpetrating a scheme to defraud the Small Business Administration (SBA) and various financial institutions by fraudulently applying for and obtaining Economic Injury Disaster Loans (EIDL) and Paycheck Protection Program (PPP) loans using false borrower information.	-	Oregon	D. Or.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-or/pr/oracoon-man-faces-federal-charges-covid-relief-fraud-scheme	Lynne, indictment	-
Caesar Oskan; Ester Oskar	5/27/2021	USDOJ	PPP fraud; EIDL fraud	Brothers Caesar Oskan and Ester Oskar have pleaded guilty to defrauding the federal government of pandemic relief funds. Each brother has admitted to submitting multiple fraudulent applications for Economic Injury and Disaster Loans (EIDL) and Paycheck Protection Program (PPP) loans. These applications were based on fictitious entities registered by the defendants, who also created fake IRS tax documents and backdated the registration forms to suggest that they were created at an earlier date.	18 USC §§ 1040(a)(2)	California	Northern District of California	Criminal enforcement	Yes	No	No	3:21-cr-00143-CRB	March to May 2020	-	Two North Bay Brothers Plead Guilty To Separate But Similar Pandemic Relief Fraud Schemes, Netting Over \$2 Million 1, USAO-NDCA 1, Department of Justice	-	-
Abidemi Rufai (a/k/a Sandy Tang)	5/26/2021	USDOJ	Unemployment fraud	Abidemi Rufai was charged with conspiracy, wire fraud and aggravated identity theft for his alleged scheme to steal over \$350,000 in unemployment benefits from the Washington State Employment Security Department. Rufai allegedly used the stolen identities of more than 100 Washington residents to file fraudulent claims with ESD for pandemic-related unemployment benefits. Rufai also filed fraudulent unemployment claims with Hawaii, Wyoming, Massachusetts, Montana, New York, and Pennsylvania.	18 USC §§ 1028A, 1343, 1349, 2	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	3:21-cr-05186-BHS	-	-	https://www.justice.gov/usdoj-washdoj/pr/abidemi-rufai-national-indicted-conspiracy-wire-fraud-and-aggravated-identity-theft-fraud	Rufai/Sandy Tang indictment	-
Dana L. McIntyre	5/26/2021	USDOJ	PPP fraud	Dana L. McIntyre was charged with wire fraud and money laundering for allegedly filing fraudulent applications for loans and unemployment benefits to obtain over \$660,000 in Paycheck Protection Program (PPP) loan funds and using those funds for personal expenses. After receiving a PPP loan of over \$660,000, McIntyre allegedly sold his pizzeria and used nearly all the funds to purchase an alpaca farm in Vermont and other personal expenses.	18 USC §§ 1343, 1957, 2	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:21-cr-10162-DJC	-	-	https://www.justice.gov/usdoj-ma/pr/former-north-stowe-resident-charged-covid-relief-fraud	McIntyre, indictment	-
Petros Hannekryan	5/26/2021	USDOJ	Provider Relief fraud; EIDL fraud	Petros Hannekryan was charged with the theft of government property and wire fraud for allegedly misappropriating funds from the CARES Act Provider Relief Fund and submitted false loan applications and a false loan agreement to the Economic Injury Disaster Loan Program, rather than use the funds for COVID-19 patient care and to support small businesses experiencing disruption due to the COVID-19 pandemic.	18 USC §§ 641, 1343	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:21-mj-02562-DUTY	-	-	https://www.justice.gov/eoasdoj/pr/di-announces-coordinated-law-enforcement-action-combat-health-care-fraud-related-covid-19	Hannekryan, complaint	-
Michael Stein; Leonel Palatnik	5/26/2021	USDOJ	Medicaid fraud	Michael Stein and Leonel Palatnik were charged in connection with an alleged \$73 million conspiracy to defraud the United States and to pay and receive health care kickbacks. Stein, 7b the owner and operator of purported consulting company 1523 Holdings, LLC, and Palatnik, an owner and operator of Panda Conservation Group, LLC, a Texas company that owned and operated testing laboratories in Dallas and Denton, Texas, allegedly exploited temporary waivers of telehealth restrictions enacted during the pandemic by offering telehealth providers access to Medicare beneficiaries for whom they could bill consultations. In exchange, these providers allegedly agreed to refer beneficiaries to Panda's laboratories for expensive and medically unnecessary cancer and cardiovascular genetic testing.	18 USC §§ 371, 2; 42 USC § 1320a-7b	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:21-cr-20321-CMA	-	-	https://www.justice.gov/eoasdoj/pr/di-announces-coordinated-law-enforcement-action-combat-health-care-fraud-related-covid-19	Stein and Palatnik, indictment	-
Juan Nava Ruiz; Eric Frank; Christopher Licata	5/26/2021	USDOJ	Medicaid fraud	Juan Nava Ruiz, Eric Frank, and Christopher Licata were charged for an alleged \$9.3 million health care kickback scheme wherein Licata, an owner of Boca Toxicology, LLC, a clinical laboratory based in Boca Raton, allegedly offered and paid kickbacks to patient brokers, including Ruiz and Frank, in exchange for referring Medicare beneficiaries to Boca Toxicology for various forms of genetic testing and other laboratory testing that they did not need, including the submission of 5422,748 in claims related to medically unnecessary respiratory pathogen panel testing and genetic testing that was improperly bundled with COVID-19 testing.	18 USC §§ 371, 1343, 1347, 1349, 2; 42 USC § 1320a-7b	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	9:21-cr-80080-AMC; 9:21-cr-80063-DMM	-	-	https://www.justice.gov/eoasdoj/pr/di-announces-and-licata-coordinated-law-enforcement-action-combat-health-care-fraud-related-covid-19	Ruiz and Frank, indictment	-
Malena Lepetich	5/26/2021	USDOJ	Medicaid fraud	Malena Lepetich was charged for an alleged \$15 million scheme to commit health care fraud, to defraud the United States, and to pay and receive health care kickbacks. Lepetich, the owner of MedLogic, LLC, a clinical laboratory based in Baton Rouge, Louisiana, allegedly solicited and received kickbacks in exchange for referrals of urine specimens for medically unnecessary testing. Lepetich also allegedly offered to pay kickbacks for referrals of specimens for COVID-19 and respiratory pathogen testing.	18 USC §§ 220, 371, 1347, 2; 42 USC § 1320a-7b	Louisiana	M.D. La.	Criminal enforcement	Yes	No	No	3:21-cr-00032-JWD-SDJ	-	-	https://www.justice.gov/eoasdoj/pr/di-announces-coordinated-law-enforcement-action-combat-health-care-fraud-related-covid-19	Lepetich, indictment	-
Alexander Baldonado	5/26/2021	USDOJ	Medicaid fraud	Alexander Baldonado was charged with health care fraud for allegedly participating in an event that advertised COVID-19 testing. In addition to authorizing the COVID-19 tests, Baldonado allegedly ordered expensive and medically unnecessary cancer genetic testing for Medicare beneficiaries who attended the event. Baldonado also allegedly billed Medicare for services, including lengthy office visits, that he never provided to these beneficiaries.	-	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/eoasdoj/pr/di-announces-coordinated-law-enforcement-action-combat-health-care-fraud-related-covid-19	-	-
Donald Clarkin	5/26/2021	USDOJ	Medicaid fraud	Donald Clarkin was charged in connection with a \$5.4 million conspiracy to defraud the United States and pay and receive health care kickbacks. Clarkin, a partner at a diagnostic testing laboratory, allegedly exploited the pandemic by offering kickbacks in exchange for respiratory pathogen panel tests that would be improperly bundled with COVID-19 tests and billed to Medicare.	-	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/eoasdoj/pr/di-announces-coordinated-law-enforcement-action-combat-health-care-fraud-related-covid-19	Clarkin, indictment	-

Billy Joe Taylor	5/26/2021	USDOJ	Medicaid fraud	Billy Joe Taylor was charged with health care fraud in connection with an alleged scheme to defraud the United States of over \$88 million, including over \$42 million in false and fraudulent claims during the COVID-19 health emergency that were billed in combination with claims that were submitted for testing for COVID-19 and other respiratory illnesses. Taylor allegedly used access to beneficiary and medical provider information from prior laboratory testing orders to submit fraudulent claims for urine drug tests and other laboratory tests, including respiratory pathogen panel and COVID-19 tests, that were not actually ordered or performed.	18 USC §§ 1347, 2	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	2:21-mj-2003-001	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/2021/05/26/billy-joe-taylor-indicted-for-fraudulent-claims-to-obtain-unemployment-benefits	-	-	
Keon I. Taylor	5/25/2021	USDOJ	EIDL fraud; Unemployment fraud	Keon I. Taylor was charged with making a false statement, aggravated identity theft, and possessing equipment that can be used to make fake identity documents for allegedly using stolen identities to defraud government-funded coronavirus aid relief programs of more than \$200,000. From at least March 2020 through February 2021, Taylor engaged in a scheme to defraud the U.S. Department of Labor (DOL), the U.S. Small Business Administration (SBA), the North Carolina Division of Employment Security, and the States of Tennessee, Texas, Ohio, Massachusetts, Nevada, and Arizona by filing fraudulent claims for COVID-19 related unemployment insurance (UI) benefits using stolen information of identity theft victims. Taylor also allegedly used false information to fraudulently apply for three Economic Injury Disaster Loans (EIDL) under the expanded CARES Act.	18 USC §§ 1014, 1028, 1028A, 1343 North Carolina	W.D.N.C.	Criminal enforcement	Yes	No	No	3:21-cr-00132-FDW-DSC	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/2021/05/25/keon-i-taylor-charged-with-fraudulent-claims-to-obtain-unemployment-benefits	-	-		
Samir Haj; EcoShield, LLC	5/25/2021	USDOJ	Consumer fraud	EcoShield, LLC and its owner Samir Haj pleaded guilty to charges relating to the unlawful importation, sale and mailing of an unregistered pesticide product from Japan marketed as a killer of airborne viruses such as COVID-19. The product, known as EcoAidDoctor, was a small badge represented to emit a gas that would kill viruses within a certain distance. Haj pleaded guilty to entry of goods falsely classified, nonmailable injurious articles, and distribution or sale of unregistered pesticides; while EcoShield pleaded guilty to entry of goods falsely classified.	18 USC §§ 541, 1716; 7 USC §§ 136j, 136l	California	S.D. Cal.	Criminal enforcement	Yes	Yes	No	3:21-cr-01463-JLS	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/2021/05/25/samir-haj-and-ecoshield-llc-plead-guilty-to-fraud	Haj and EcoShield plea agreements	-	
David Michael Wright	5/24/2021	USDOJ	Consumer fraud	David Michael Wright pleaded guilty to wire fraud and money laundering for allegedly devising a scheme to defraud and obtain money under fraudulent pretenses from at least one victim, involving the purchase and resale of Personal Protective Equipment (PPE) to hospitals and retailers in response to the COVID-19 pandemic. Wright falsely claimed that he had contacts with Chinese manufacturers to source the manufacture of PPE products, that Wright was importing PPE products from China, and that Wright was then selling those products in the United States at a significant profit, including KN95 masks.	18 USC §§ 1343, 1957	Tennessee	E.D. Tenn.	Criminal enforcement	Yes	No	No	1:21-cr-00024-TRM-SKL	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/2021/05/24/david-michael-wright-pleads-guilty-to-fraud	Wright plea agreement	-	
Alvin Lavon Rex	5/21/2021	USDOJ	Unemployment fraud	Alvin Lavon Rex pleaded guilty to mail fraud for allegedly receiving over \$35,000 in unemployment benefits in the names of other individuals from Arizona, Ohio, and Massachusetts. He then allegedly withdrew the benefits in cash and mailed the cash through the United States Postal Service.	-	Iowa	N.D. Iowa	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/2021/05/21/alvin-lavon-rex-indicted-for-unemployment-benefits-fraud	-	-	
Stephen Bennett; Rhonda Thomas (aka Rhonda Ware)	5/20/2021	USDOJ	PPP fraud	Stephen Bennett and Rhonda Thomas have been charged with fraudulently obtaining \$1.4 million in Paycheck Protection Program (PPP) loans. Along with other conspirators, Bennett and Thomas allegedly submitted more than 20 fraudulent applications for COVID-related relief. They allegedly used the loan proceeds to buy personal items such as vehicles and jewelry.	18 USC §§ 1349, 1344, 1956(h)	New Jersey	District of New Jersey	Criminal enforcement	Yes	No	No	21-mj-7103	June - July 2020	-	-	Two Camden County Residents Charged with Conspiracy to Defraud Victims of More Than \$1.4 Million in Coronavirus Relief Fraud Scheme USAO-NJ Department of Justice	-	-
Paul Kwak; Michelle Kwak	5/20/2021	USDOJ	EIDL fraud	Paul and Michelle Kwak are charged with submitting fraudulent Economic Injury and Disaster Loans (EIDL) applications to the Small Business Administration (SBA). They allegedly submitted the applications on behalf of shell companies with no employees or business activities. Of the more than 70 fraudulent applications the Kwaks allegedly submitted, approximately half were successful. The Kwaks received more than \$4 million in EIDL loans. Paul Kwak also allegedly posted YouTube videos instructing how to obtain "EIDL, disaster assistance you don't have to pay back."	18 USC §§ 641, 1343, 1956, 371, 1349	Georgia	Northern District of Georgia	Criminal enforcement	Yes	No	No	1:21-cr-00199	March 2020 to present	-	-	Atlanta couple indicted for millions in Economic Impact Disaster Loan Program Fraud USAO-MDCA Department of Justice	-	-
Sheila Denise Dunlap	5/20/2021	USDOJ	EIP fraud	Sheila Denise Dunlap has been charged with wire fraud and aggravated identity theft in connection with fraudulent applications for EIP payments. Dunlap allegedly conspired with her son to obtain other people's identity information and to use it to apply for EIP funds. Dunlap allegedly filed 121 claims for stimulus checks, filing claims for a total of \$145,200 in stimulus funds.	18 USC §§ 1343, 1349	California	Northern District of California	Criminal enforcement	Yes	No	No	3:21-cr-00201-SI	March to July 2020	-	-	Modesto Woman Charged With Submitting 121 Stimulus Check Claims Using PI Obtained Through Her Imprisoned Son USAO-MDCA Department of Justice	-	-
Anthony Sharper; Deana Sharper	5/19/2021	USDOJ	PPP fraud; EIDL fraud	Anthony Sharper, the president of a high school Booster Club, and his wife Deana Sharper have been charged with defrauding and embezzling at least \$200,000 from the club. Anthony Sharper allegedly attempted to conceal the theft by submitting fraudulent applications for Paycheck Protection Program (PPP) loans and Economic Injury and Disaster Loans (EIDL) on behalf of the booster club as well as his accounting firm. The PPP and EIDL applications contained false information regarding revenues, payroll, and employment.	18 USC §§ 1014, 1343, 1957, 2; 26 USC § 7206(1)	North Carolina	Western District of North Carolina	Criminal enforcement	Yes	No	No	3:21-cr-139-MOC	2017 to June 2020	-	-	Husband And Wife Are Indicted For Stealing \$200,000 From A High School Booster Club USAO-WDNC Department of Justice	-	-
Bryan Abraham; Carlos Vazquez; Angel Cabrera; Armani Miller; Johan Santos; Gianni Stewart; Andre Ruddock; Seth Golding	5/18/2021	USDOJ	Unemployment fraud	Bryan Abraham, Carlos Vazquez, Angel Cabrera, Armani Miller, Johan Santos, Gianni Stewart, Andre Ruddock and Seth Golding were charged with fraudulently obtaining pandemic-related unemployment benefits in the amount of \$2 million. They allegedly submitted fraudulent unemployment insurance benefits claims to the New York State Department of Labor, using personal identifying information of third-party victims. Several of the defendants posted photos on social media depicting themselves holding large amounts of money.	18 USC §§ 1029(b)(2), 3551 et seq.	New York	Eastern District of New York	Criminal enforcement	Yes	No	No	1:21-mj-00593-CLP	June 2020 to April 2021	-	-	Eight Brooklyn Individuals Charged with Multi-Million Dollar Covid-19 Relief Fraud USAO-EDNY Department of Justice	-	-
Douglas Wold	5/18/2021	USDOJ	Wire fraud; mail fraud; money laundering	Douglas Wold has pleaded guilty to wire fraud, mail fraud, and money laundering in connection with schemes to defraud his employer. Wold was a Human Resources Manager for Fry Foods, Inc., and defrauded the company by submitting fraudulent payroll requests and issuing a fraudulent COVID testing invoice. Wold deposited funds obtained through these fraudulent schemes into his own accounts.	18 USC §§ 1343, 1341, 1957	Idaho	District of Idaho	Criminal enforcement	Yes	No	No	1:20-cr-00202-DCN	May to August 2020	-	-	Human Resources Manager Pleads Guilty to Defrauding Employer Through Payroll and COVID-19 Testing Schemes USAO-ID Department of Justice	-	-

Abidemi Rufai (aka Sandy Tang)	5/17/2021	USDOJ	Unemployment fraud	Abidemi Rufai had been charged with wire fraud in connection with his fraudulent claims for more than \$350,000 in unemployment benefits. Rufai allegedly used stolen identities of more than 100 people to file for COVID-related unemployment benefits across a number of states.	18 USC § 1343	New York	Eastern District of New York	Criminal enforcement	Yes	No	No	No	1:21-mj-00598-JRC	March 9, 2020 to October 3, 2020	-	-	Nigerian citizen charged with defrauding Washington State Employment Security Department of over \$350,000 in USDOJ-DOJWAJL Department of Justice https://www.justice.gov/usao-sdny/record/2021/03/09/abidemi-rufai-indictment">https://www.justice.gov/usao-sdny/record/2021/03/09/abidemi-rufai-indictment	Rufai Complaint	-
Christopher Paul Lick	5/14/2021	USDOJ	PPP fraud	Christopher Paul Lick was charged with wire fraud, making false statements, and money laundering for allegedly divvying a scheme to defraud, and to obtain Paycheck Protection Program (PPP) funds, by filing false and fraudulent loan applications with banks providing loans as part of the Paycheck Protection Program. Lick allegedly overstated the number of employees and payroll expenses of his purported businesses to receive the funds.	18 USC §§ 1014, 1343, 1957	Mississippi	N.D. Miss.	Criminal enforcement	Yes	No	No	No	1:21-cr-00021-GHD-DAS	-	-	-	https://www.justice.gov/usao-ndms/record/2021/05/14/lick-indictment">https://www.justice.gov/usao-ndms/record/2021/05/14/lick-indictment	Lick indictment	-
Nathan Reardon	5/13/2021	USDOJ	PPP fraud	Nathan Reardon was charged with bank fraud, attempted wire fraud, making false statements to financial institutions, and perjury for allegedly submitting several fraudulent applications for Paycheck Protection Program (PPP) loans that were guaranteed by the Small Business Administration (SBA). He also allegedly submitted fraudulent applications for direct loans from the SBA and provided false information in applications seeking disbursement of PPP loan funds.	18 USC §§ 1014, 1343, 1344, 1349, 1623	Maine	D. Me.	Criminal enforcement	Yes	No	No	No	1:21-cr-00061-LEW	-	-	-	https://www.justice.gov/usao-me/record/2021/05/13/reardon-indictment">https://www.justice.gov/usao-me/record/2021/05/13/reardon-indictment	Reardon indictment	-
Robert Carter	5/11/2021	USDOJ	Unemployment fraud	Robert Carter was charged with wire fraud for allegedly participating in a scheme to submit fraudulent unemployment insurance claims to the Maryland Division of Unemployment Insurance for claimants whom Carter knew did not work or live in Maryland and were not entitled to benefits.	18 USC § 1343	Illinois	D. Ill.	Criminal enforcement	Yes	No	No	No	21-cr-50024	-	-	-	https://www.justice.gov/usao-il/record/2021/05/11/carter-indictment">https://www.justice.gov/usao-il/record/2021/05/11/carter-indictment	Carter indictment	-
Diana Daffin	5/11/2021	USDOJ	Consumer fraud	Diana Daffin was charged with violating the Food Drug and Cosmetic Act by allegedly selling unapproved COVID-19 remedies over the internet. Despite receiving warning letters from the FDA, Daffin allegedly continued to sell unapproved drugs by moving them to a password protected website.	21 USC §§ 331, 333	New Hampshire	D.N.H.	Criminal enforcement	Yes	No	No	No	21-mj-116-01-AJ	-	-	-	https://www.justice.gov/usao-nh/record/2021/05/11/daffin-complaint">https://www.justice.gov/usao-nh/record/2021/05/11/daffin-complaint	Daffin complaint	-
Danielle Miller	5/11/2021	USDOJ	EIDL fraud	Danielle Miller was charged with wire fraud for allegedly using someone else's personal identifying information to open a bank account and to apply for a federally-funded Economic Injury Disaster Loan (EIDL) through the Small Business Administration (SBA). In August 2020, more than \$102,000 in SBA loan proceeds were deposited into that account. Miller allegedly used the bank account in the victim's name to book a private flight from Florida to California, using a counterfeit Massachusetts driver's license in the victim's name but bearing Miller's photograph, and conducted ATM transactions using the bank account in the victim's name.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	No	-	-	-	-	https://www.justice.gov/usao-ma/record/2021/05/11/miller-complaint">https://www.justice.gov/usao-ma/record/2021/05/11/miller-complaint	Miller complaint	-
Mustafa Qadiri	5/7/2021	USDOJ	COVID Relief Fraud	Mustafa Qadiri was arrested on federal charges alleging he fraudulently obtained approximately \$5 million in Paycheck Protection Program (PPP) loans for his shambusinesses, then used the money on himself, including purchasing Ferrari, Bentley and Lamborghini sports cars.	-	California	Central District of California	Criminal enforcement	Yes	No	-	-	-	May and June 2020	-	-	https://www.justice.gov/usao-cdca/pr/irvine-man-arrested-federal-prosecutor-indictment-alleging-he-fraudulently-obtained-5-million-covid-19-relief-funds">https://www.justice.gov/usao-cdca/pr/irvine-man-arrested-federal-prosecutor-indictment-alleging-he-fraudulently-obtained-5-million-covid-19-relief-funds	Qadiri indictment	-
Moustapha Diakhate	5/7/2021	USDOJ	PPP fraud	Moustapha Diakhate was charged with bank fraud and wire fraud for allegedly providing false and fraudulent information during the Paycheck Protection Program (PPP) loan application process in order to obtain PPP loans for each of his five entities totaling \$2,897,100. Diakhate then used a portion of the funds for various personal expenses, including to pay off a loan he had been provided to purchase of a 2010 Porsche Panamera Turbo, and to purchase both a Mercedes and BMW. He also purchased a \$50,000 certificate of deposit with PPP funds, and disbursed funds to various and individuals unrelated to his business entities.	18 USC §§ 1343, 1344	Connecticut	D. Conn.	Criminal enforcement	Yes	No	No	No	3:21-mj-00457-SDV	-	-	-	https://www.justice.gov/usao-ct/pr/diakhate-man-charged-fraudulently-obtaining-nearly-29-million-covid-19-relief-funds">https://www.justice.gov/usao-ct/pr/diakhate-man-charged-fraudulently-obtaining-nearly-29-million-covid-19-relief-funds	Diakhate complaint	-
Christopher Dominic Niebel	5/6/2021	USDOJ	Unemployment Fraud	Christopher Dominic Niebel was arrested in connection with a federal criminal complaint charging him with obtaining unemployment benefits authorized by the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act by means of false and fraudulent pretenses and representations, by using the personally identifiable information of others that he had unlawfully obtained.	-	Michigan	Eastern District of Michigan	Criminal enforcement	Yes	No	-	-	-	March through August 2020	-	-	https://www.justice.gov/usao-edmi/pr/niebel-man-charged-prosecutor-arrested-scheme-obtain-pseudonym-unemployment-benefits">https://www.justice.gov/usao-edmi/pr/niebel-man-charged-prosecutor-arrested-scheme-obtain-pseudonym-unemployment-benefits	Niebel complaint	-
Adewale Matthew Abel	5/6/2021	USDOJ	PPP Relief Fraud	Adewale Matthew Abel, who fraudulently applied for a Paycheck Protection Program (PPP) forgivable loan, pleaded guilty today in federal court to making a false statement to a financial institution.	-	Oklahoma	Northern District of Oklahoma	Criminal enforcement	Yes	No	No	No	-	May 3, 2020, to May 11, 2020	-	-	https://www.justice.gov/usao-okla/pr/abel-pleads-guilty-applying-fraudulently-obtaining-program-loan-under-false-statements">https://www.justice.gov/usao-okla/pr/abel-pleads-guilty-applying-fraudulently-obtaining-program-loan-under-false-statements	Abel plea agreement	-
Gregory J. Blotnick	5/6/2021	USDOJ	PPP Relief Fraud	Gregory J. Blotnick, a dual New York and Florida resident was charged for his role in fraudulently obtaining federal Paycheck Protection Program (PPP) loans totaling \$3.8 million.	-	New Jersey	District of New Jersey	Criminal enforcement	Yes	No	No	No	-	Not specified	-	-	https://www.justice.gov/usao-nj/record/2021/05/06/blotnick-charged-with-3.8-million-paycheck-protection-program-fraud-scheme-1-usdoj-nj-department-of-justice">https://www.justice.gov/usao-nj/record/2021/05/06/blotnick-charged-with-3.8-million-paycheck-protection-program-fraud-scheme-1-usdoj-nj-department-of-justice https://www.justice.gov/usao-nj/record/2021/05/06/blotnick-charged-with-3.8-million-paycheck-protection-program-fraud-scheme-and-laundering">https://www.justice.gov/usao-nj/record/2021/05/06/blotnick-charged-with-3.8-million-paycheck-protection-program-fraud-scheme-and-laundering	Blotnick complaint	-

Terrell Dwayne Mason	5/6/2021	USDOJ	Unemployment fraud	Terrell Dwayne Mason was charged with aggravated identity theft, mail fraud, wire fraud, and money laundering for allegedly participating in a scheme to defraud the State of Michigan's (SOM) Unemployment Insurance Agency (UIA) by unlawfully using the identities of inmates in state and federal prison to file claims for Pandemic Unemployment Assistance benefits.	18 USC §§ 1028A, 1341, 1343, 1956 Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:21-mj-30211-DUTY	-	-	https://www.justi.gov/usdoj-crmcr/crmcr/top-stories/man-charged-unemployment-insurance-fraud-scheme	-	
Garrett Scott Wheelen	5/6/2021	USDOJ	Unemployment fraud	Garrett Scott Wheelen pleaded guilty today to mail fraud and possession of stolen mail for allegedly submitting fraudulent Pandemic Unemployment Assistance (PUA) claims to California's Employment Development Department (EDD). Wheelen allegedly used personally identifiable information (PII) and other information associated with real people to submit fraudulent unemployment insurance and PUA claims to EDD, and allegedly engaged in a scheme that involved stealing U.S. mail and harvesting bankcards, financial information, checks, and PIN for use in fraudulent activity.	18 USC §§ 1341, 1708	California	E.D. Cal.	Criminal enforcement	Yes	No	No	1:21-CR-00105-NONE-SKO	-	-	https://www.justi.gov/usdoj-crmcr/crmcr/top-stories/man-charged-unemployment-insurance-fraud-scheme	Wheelen plea agreement
Todd Anderson	5/5/2021	California AG	Felony identity theft; forging government documents	Todd Anderson is facing charges of felony identity theft and forging government documents based on the alleged sale of fake COVID-19 vaccine cards in San Joaquin County. This may mark the first case in the U.S. involving fraudulent COVID-19 cards.	-	California	-	Criminal enforcement	Yes	No	No	-	-	-	-	-
Brian Ruth	5/5/2021	USDOJ	Wire fraud; money laundering	Brian Ruth pleaded guilty to fraudulently obtaining more than \$500,000 in Paycheck Protection Program (PPP) loans under the CARES Act. He pleaded guilty to one count of wire fraud and two counts of money laundering. He submitted loan applications for three separate businesses, and each of these applications included false statements and fraudulent supporting documents. The businesses that were the subject of these applications were not operational and did not have any employees during the time stated in the applications. Ruth used the fraudulently obtained loans to purchase multiple vehicles.	18 USC § 1341, 1957	Missouri	W.D. Missouri	Criminal enforcement	Yes	No	No	21-05019-01-CR SW-RK	May 2020 - June 2020	-	Deepwater Man Pleads Guilty to \$500,000 CARES Act Fraud USAO-WDMD Department of Justice	Brian Ruth plea agreement
Norman Higgs	5/4/2021	USDOJ	Unemployment fraud	Norman Higgs has been charged with bank fraud, conspiracy to commit wire fraud, theft of government property, and money laundering. Higgs allegedly made fraudulent claims for COVID-related unemployment assistance.	18 U.S.C. § 641, 1344, 1349, 1957	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:21-mj-05178-IGD	March - July 2020	-	https://www.justi.gov/usdoj-crmcr/crmcr/top-stories/man-arrested-theft-and-misuse-covid-19-governments-assistance	-
"John Doe"	5/3/2021	USDOJ	Unemployment fraud; aggravated identity theft	An unidentified Charlestown man has been charged with three counts of wire fraud, one count of false representation of a Social Security number, and one count of aggravated identity theft. Doe applied for and obtained more than \$15,000 in unemployment benefits using a stolen identity.	42 U.S.C. § 408(a)(7)(B), 18 U.S.C. § 1028A	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:21-mj-02129-MBB	Oct-20	-	"John Doe" Charged in Superseding Indictment with Identity Theft and Fraud Related to COVID-19 Pandemic USAO-MA Department of Justice	-
Terrence L. Pounds; Charles B. Tiller, III; Terri Davis; Randolph Nunn; Samira Abdul-Karim; Quswan Simmons	4/29/2021	USDOJ	Wire fraud; engaging in a monetary transaction in property derived from criminal activity	Terrence L. Pounds, Charles B. Tiller, Terri Davis, Randolph Nunn, Samira Abdul-Karim, and Quswan Simmons were charged with wire fraud in connection with fraudulently obtaining approximately \$9 million in COVID-19 relief funds under the CARES Act. The defendants are charged with defrauding the SBA by claiming in loan applications that they operated a non-profit faith-based organization. Proceeds from the loan were used to purchase multiple vehicles.	18 USC § 1343, 1349, 1957, 2	Ohio	N.D. Ohio	Criminal enforcement	Yes	No	No	5:21-CR317	March 31, 2020 - December 17, 2020	-	https://www.justi.gov/usdoj-crmcr/crmcr/top-stories/man-charged-fraudulently-seeking-obtain-9-million-covid-relief-funding	-
Tonatiah Antonio Leal-Matos	4/29/2021	USDOJ	Price gouging	Tonatiah Antonio Leal-Matos has been charged with price gouging personal protective equipment (PPE), face masks, and disinfecting wipes. Leal-Matos operated several Facebook profiles that he allegedly used to advertise PPE and other COVID-related supplies, which he sold at prices far above market prices.	50 U.S.C. § 4512	Puerto Rico	D. Puerto Rico	Criminal enforcement	Yes	No	No	3:21-cr-00150	March - July 2020	-	Individual Indicted for Price Gouging USAO-PR Department of Justice	-
Jason Spengler	4/29/2021	USDOJ	PPP fraud	Jason Spengler has pleaded guilty to making a false statement on a federal loan application. His loan application failed to disclose that his business was in bankruptcy. Businesses in bankruptcy proceedings were ineligible for PPP loans. Based on a false assertion that his business was not in bankruptcy, Spengler's business obtained \$487,095 in PPP loans.	18 U.S.C. § 1001	Illinois	S.D. Ill.	Criminal enforcement	Yes	No	No	3:21-cr-30058	March - April 2020	-	O'Fallon Man Pleads Guilty to PPP Loan Fraud USAO-SNDL Department of Justice	Spengler plea agreement
Joshua Edwards, Evan Edwards, Mary Jane Edwards	4/29/2021	USDOJ	Bank fraud; money laundering	DOJ has obtained a civil forfeiture judgment in connection with more than \$8 million in proceeds from the Evans family's bank fraud and money laundering offenses. The family, consisting of Joshua Edwards, Joy Edwards, Evan Edwards, and Mary Jane Edwards, submitted fraudulent Paycheck Protection Program (PPP) applications and attempt to spend a large portion of the loan proceeds on a \$3.7 million home.	18 U.S.C. § 1344	Florida	M.D. Florida	Civil enforcement	Yes	No	No	6:20-cv-02269	April - September 2020	Forfeiture	United States Seizes \$8.4 Million in Fraudulent COVID Relief Funds From Family USAO-MDFL Department of Justice	-
Zsa Zsa Bouvier Couch	4/28/2021	USDOJ	PPP fraud	Zsa Zsa Bouvier Couch has been charged with fraud in connection to relief obtained through the CARES Act. Couch allegedly submitted at least 6 fraudulent applications for Paycheck Protection Program (PPP) loans, seeking a total of more than \$1.6 million. She ultimately obtained more than \$600,000 of PPP funds, which she used to pay herself and other family members and to purchase vehicles.	18 USC §§ 1344(2), 1014, 1957(a), 2 Alabama	M.D. Alabama	Criminal enforcement	Yes	No	No	2:21-cr-00185	April - July 2020	-	Montgomery Woman Charged With Covid-19 Loan Fraud USAO-MDML Department of Justice	-	
Marcus Frazier	4/28/2021	USDOJ	PPP fraud	Marcus Frazier has been charged with fraudulently seeking \$5.8 million in Paycheck Protection Program (PPP) loans. Frazier allegedly used the funds he obtained for personal expenses, including for luxury hotels and travel expenses. He submitted at least 7 PPP loan applications on behalf of his businesses, and allegedly misrepresented the businesses' number of employees and amount of payroll expenses.	18 USC §§ 1031, 1014, 1343, 1344, 1001, 645, 1957, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	1:21-mj-04397	May 2020 - April 2021	-	Manhattan Man Arrested For \$5.8 Million Scheme To Defraud Loan Program Intended To Help Small Businesses During COVID-19 Pandemic USAO-SNDL Department of Justice	-
Mark Grenon, Jonathan Grenon, Jordan Grenon, Joseph Grenon	4/23/2021	USDOJ	Fraud	Mark Grenon and his three sons have been indicted for fraudulently marketing and selling a toxic bleach branded as "Miracle Mineral Solution." The defendants touted the product as a cure for COVID-19, cancer, and other conditions.	18 USC § 371, 401(3)	Florida	S.D. Florida	Criminal enforcement	Yes	No	No	1:20-mj-03050	April 2020 - May 2020	-	Florida Family Indicted for Selling Toxic Bleach as Fake "Miracle" Cure for Covid-19 and Other Serious Diseases, and for Violating Court Orders USAO-SNFL Department of Justice	-

Apocalypse Bella, Mackensy Toussaint, Amos Mudendi	4/22/2021	USDOJ	PPP fraud	The defendants are charged with using two straw companies to submit fraudulent applications for \$14 million in COVID relief loans, obtaining \$4 million in loans prior to their arrest.	18 USC §§ 1031, 1343, 1349, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	No	1:21-cr-00247	March 2020 to present	-	-	-	Two Texas Men And One Oregon Man Charged With Fraud Scheme To Obtain Over \$14 Million In Covid-Relief Loans USAO-SDNY Department of Justice	-	-	
Maurice Kamgaing	4/22/2021	USDOJ	PPP fraud	Maurice Kamgaing has been charged with fraudulently obtaining over \$1.5 million in Paycheck Protection Program (PPP) relief. Kamgaing allegedly submitted fraudulent PPP applications that contained false information about the two businesses on whose behalf Kamgaing applied for the funds.	18 USC §§ 1014, 1343, 1957	North Carolina	W.D. North Carolina	Criminal enforcement	Yes	No	No	No	3:21-CR-114	Apr-20	-	-	-	North Carolina Man Is Indicted For \$1.5 Million Covid-Relief Fraud USAO-WDNC Department of Justice	-	-	
Brandon Lewis	4/22/2021	USDOJ	EIDL fraud	Brandon Lewis has pleaded guilty to two counts of wire fraud and one count of making a false statement to the SBA. He has been sentenced to 63 months in prison. Lewis created a fake website purporting to sell goods that were critical during the pandemic and defrauded consumers. He also submitted around 68 false loan applications.	18 USC § 1343, 2	North Carolina	M.D. N.C.	Criminal enforcement	Yes	No	No	No	1:20CR336-1	March - July 2020	63 months in prison	-	-	-	North Carolina Man Sentenced for COVID-19 Relief Fraud Schemes OPA Department of Justice	-	-
Christian Matthews	4/21/2021	USDOJ	PPP fraud	Christian Matthews has been charged with fraudulently obtaining personal identifying information and using it to file fraudulent claims for COVID-related unemployment benefits.	18 USC § 1341	Pennsylvania	W.D. Pennsylvania	Criminal enforcement	Yes	No	No	No	2:21-cr-00173	June - September 2020	-	-	-	Chicago Man Indicted in Pittsburgh on Mail Fraud Charge relating to Pandemic Unemployment Benefits USAO-WDPA Department of Justice	-	-	
Bernard Lopez	4/21/2021	USDOJ	PPP fraud	Bernard Lopez has pleaded guilty to fraudulently obtaining Paycheck Protection Program (PPP) funds and committing bank fraud by depositing a stolen and altered U.S. treasury check. In his PPP application, Lopez made false representations regarding his company's number of employees, payroll expenses, and utility expenses.	18 USC § 1344	New Jersey	D. New Jersey	Criminal enforcement	Yes	No	No	No	3:21-cr-00329	Jun-20	-	-	-	Middlesex Man Admits Paycheck Protection Program Fraud Scheme and Obtained Funds from a Deceased's Stolen and Altered U.S. Treasury Check USAO-NJ Department of Justice	-	-	
Oumar Sissoko	4/21/2021	USDOJ	PPP fraud	Oumar Sissoko has been charged with misappropriating funds obtained through a Paycheck Protection Program (PPP) loan application. Sissoko obtained \$7.25 million in PPP loans for his company, Road Doctor California LLC, but misappropriated hundreds of thousands of dollars for personal expenses, such as the purchase of a luxury car and a \$6,000 computer.	18 USC § 1343	California	C.D. Cal.	Criminal enforcement	Yes	No	No	No	-	-	-	-	-	California Man Charged with COVID-Related Fraud Scheme OPA Department of Justice	Sissoko indictment	-	
Linda Bosquez	4/20/2021	USDOJ	Unemployment fraud	Linda Bosquez was convicted of theft of government funds for allegedly falsely claiming unemployment benefits through the states of Georgia, Michigan, and Arizona, including benefits related to the COVID-19 pandemic.	18 USC § 641	Iowa	N.D. Iowa	Criminal enforcement	Yes	No	No	No	21-cr-04029	April 30, 2020 - July 22, 2020	-	-	-	Iowa City Woman Pleads Guilty to COVID-19 Related Unemployment Fraud USAO-NDIA Department of Justice	Bosquez information	-	
Donna Wasson	4/19/2021	USDOJ	Wire fraud	Donna Wasson was charged with wire fraud in connection with a fraudulent application for unemployment benefits, applying for Massachusetts unemployment benefits even though she resided in and received unemployment benefits from Texas.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	No	1:21-mj-04193-DHH	-	-	-	-	Texas Woman Arrested for Unemployment Fraud Related to COVID-19 Pandemic USAO-MA Department of Justice	-	-	
Andrew Tezna	4/19/2021	USDOJ	Bank fraud	Andrew Tezna, a Senior Executive Service employee at NASA, has pleaded guilty to bank fraud in connection with fraudulent applications for COVID-related loans and benefits. Despite being employed in a senior position at NASA, Tezna applied for over \$350,000 in loans and other relief. He used the proceeds to, among other things, pay off personal loans and credit card debt.	18 USC § 1344	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	No	1:21-cr-77-CMH	-	-	-	-	https://www.justice.gov/usao-cea/press-releases/jea-junior-nasa-employees-allegedly-covid-19-related-loan-fraud	-	-	
Courtney Hilaire	4/19/2021	USDOJ	Wire fraud, aggravated identity theft	Courtney Hilaire has been charged with wire fraud, conspiracy to commit wire fraud, and aggravated identity theft in connection with a scheme to defraud CARES Act programs. Hilaire used the alias "Mr. Vacation" in soliciting assistance from another individual to steal identities and file fraudulent applications for unemployment and insurance payments.	18 USC § 371, 1343, 1028A(a)(1), 2	Rhode Island	D. Rhode Island	Criminal enforcement	Yes	No	No	No	1:21CR39WES-PAS	March 1, 2020 through April 5, 2021	-	-	-	Providence Man Indicted for CARES Act Fraud USAO-RI Department of Justice	-	-	
Terri Beth Miller	4/16/2021	USDOJ	Wire fraud, engaging in monetary transactions in criminally derived property, fraud in connection with emergency benefits	Terri Beth Miller has been sentenced to five years in prison in connection with a scheme to defraud small business owners. Miller and her husband, Ronald Smith, created an online company called Business Development Group that purported to provide assistance in applying for loans from the SBA. Miller and Smith used false and misleading statements to solicit customers. Their scheme had almost 1,700 victims, who collectively paid \$1,076,000 in fees.	18 USC § 1343, 1957, 1040	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	No	2:20-cr-00069	Apr-20	5 years in prison	-	-	-	Virginia Beach Woman Sentenced for Defrauding Nearly 1,700 Victims in \$1 Million Small Business Loan Scam USAO-EDVA Department of Justice	-	-
Steven Mesrop, Mirna Mahrous Habib, Robert Vinnik, Katayun Oskoi, Carolina Mesrop, and Dijon Cornelius Shepard	4/16/2021	USDOJ	Conspiracy to commit wire fraud, wire fraud, aggravated identity theft	Teven Mesrop, Mirna Mahrous Habib, Robert Vinnik, Katayun Oskoi, Carolina Mesrop, and Dijon Cornelius Shepard were indicted in connection with a scheme to use stolen credit card and identity information to purchase certain goods and services, and then resell them at a profit. The items purchased and resold included gloves, masks, and other personal protective equipment.	18 USC § 1343, 1349, 1028A, 2, 981(a)(1)(D), 2461(D)	Oklahoma	W.D. Oklahoma	Criminal enforcement	Yes	No	No	No	5:21-cr-00095-D	January 2018 - March 2021	-	-	-	Indictment Unveiled: Charging Six Defendants with Conspiracy to Fraudulently Acquire Personal Protective Equipment and Event Tickets USAO-WDOK Department of Justice	-	-	

Eric Anthony Nepute; Quickwork LLC	4/15/2021	FTC	Consumer fraud	The Federal Trade Commission charged Eric Anthony Nepute and his company Quickwork LLC with violating the COVID-19 Consumer Protection Act and the Federal Trade Commission Act by allegedly deceptively marketing products containing Vitamin D and Zinc as scientifically proven to treat or prevent COVID-19.	15 USC §§ 45, 52; Section 1401 of the COVID-19 Consumer Protection Act	Missouri	E.D. Mo.	Civil enforcement	Yes	Yes	No	4:21-cv-00437	-	-	-	https://www.ftc.gov/news-events/press-releases/2021/04/ftc-action-under-covid-19-consumer-protection-act-ftc-seeks	Nepute and Quickwork complaint	-
Darryl Corradini; Vicki Hackenberg	4/15/2021	USDOJ	PPP fraud	Darryl Corradini and Vicki Hackenberg were charged with conspiring to commit bank fraud, committing bank fraud for submitting false and fraudulent documentation, making false statements, conspiring to commit money laundering, and engaging in unlawful monetary transactions for allegedly perpetrating a bank fraud and money laundering scheme that included nearly \$300,000 in COVID-19 relief guaranteed by the SBA through the Paycheck Protection Program (PPP). Corradini, Hackenberg, and other coconspirators allegedly created a shell corporation, CGM Realty LLC, and opened bank accounts and a Bitcoin trading account in the corporation's name, by using false and forged documents. The conspirators also allegedly used the accounts to receive over \$135,000 in fraudulently obtained funds, and over \$296,000 from a PPP loan that was obtained with false and forged documentation.	18 USC §§ 2, 1001, 1014, 1344, 1349, 1956, 1957	Pennsylvania	M.D. Pa.	Criminal enforcement	Yes	No	No	4:21-cr-00109-MWB	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Corradini and Hackenberg indictment	-
Delashaun Dean	4/15/2021	USDOJ	Unemployment fraud	Delashaun Dean pleaded guilty to possession of counterfeit and unauthorized access devices for allegedly possessing multiple unemployment benefits debit cards, all in different names, and intending to fraudulently obtain nearly \$239,000 in benefits.	-	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Dean complaint	-
Jonathan Drew	4/14/2021	USDOJ	EIP fraud	Jonathan Drew pleaded guilty to bank fraud and aggravated identity theft in connection with an alleged scheme to create counterfeit Economic Impact Payments (also known as COVID-19 stimulus checks), and for attempting to conduct a series of fraudulent financial transactions. Drew allegedly stole mail, and used the stolen stimulus check to create counterfeit stimulus checks ranging from \$1,200 to \$2,400, and he negotiated his own authentically issued stimulus check twice.	18 USC §§ 1028A, 1344	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Drew plea agreement	-
Brianna Clarisse Banks	4/14/2021	USDOJ	EIP fraud	Brianna Clarisse Banks pleaded guilty to theft of government money for allegedly stealing the Economic Impact Payment (EIP) check from an individual's post office box and then used the victim's name, social security number, and date of birth to open a checking account, but used Banks' mailing address for the address on the account.	18 USC §§ 641, 1028, 1028A	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Banks complaint	-
Félix R. Cruz-Santana	4/13/2021	USDOJ	Unemployment fraud	Félix R. Cruz-Santana was charged with mail fraud, theft of government money, and misuse of a social security number for allegedly engaging in a scheme to defraud the Puerto Rico Department of Labor and Human Resources (Departamento del Trabajo y Recursos Humanos, "DTRH") by submitting fraudulent applications for unemployment insurance benefits, including Pandemic Unemployment Assistance (PUA) benefits.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Cruz-Santana indictment	-
José Daniel Le Hardy-Figueroa; Christoffer Pérez-Vélez	4/13/2021	USDOJ	Unemployment fraud	José Daniel Le Hardy-Figueroa and Christoffer Pérez-Vélez were charged with conspiracy to commit mail fraud, mail fraud, and theft of government moneys for allegedly conspiring to fraudulently represent to the Puerto Rico Department of Labor and Human Resources (DTRH) that José Daniel Le Hardy-Figueroa was an unemployed barber, when in fact he was a mail carrier employed by the United States Postal Service.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Le Hardy-Figueroa, Pérez-Vélez indictment	-
Luis A. Flores-Díaz	4/13/2021	USDOJ	Unemployment fraud	Luis A. Flores-Díaz was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that he was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Flores-Díaz indictment	-
Denisse Sánchez-Ortiz	4/13/2021	USDOJ	Unemployment fraud	Denisse Sánchez-Ortiz was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that she was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Sánchez-Ortiz indictment	-
Gabriel A. Robles-Guzmán	4/13/2021	USDOJ	Unemployment fraud	Gabriel A. Robles-Guzmán was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that he was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Robles-Guzmán indictment	-
Daniel Colón-Díaz	4/13/2021	USDOJ	Unemployment fraud	Daniel Colón-Díaz was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that he was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-44	Colón-Díaz indictment	-

Mary L. Benitez-Ortiz	4/13/2021	USDOJ	Unemployment fraud	Mary L. Benitez-Ortiz was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that she was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Jennifer Hernández-Romero	4/13/2021	USDOJ	Unemployment fraud	Jennifer Hernández-Romero was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that she was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Jonathan Rivera-Gutiérrez	4/13/2021	USDOJ	Unemployment fraud	Jonathan Rivera-Gutiérrez was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that he was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Luis Cruz-Reyes	4/13/2021	USDOJ	Unemployment fraud	Luis Cruz-Reyes was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that he was not qualified or authorized to receive.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Millisen M. Martínez-Medina	4/13/2021	USDOJ	Unemployment fraud	Millisen M. Martínez-Medina was charged with theft of government property and mail fraud for allegedly receiving Unemployment Insurance (UI) benefits from the Puerto Rico Department of Labor and Human Resources (DTRH) via mail in the form of checks that she was not qualified or authorized to receive. Martínez-Medina was also charged with aggravated identity theft for using other people's Social Security numbers without their authorization.	-	Puerto Rico	D.P.R.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Ibanga Etuk; Teosha Etuk	4/12/2021	USDOJ	PPP fraud	Ibanga Etuk and Teosha Etuk pleaded guilty to bank fraud and identity theft, and bank fraud, respectively, for allegedly fraudulently applying for Paycheck Protection Program (PPP) loans, lying about the number of people employed, the payroll expenditures during the previous months, taxes paid during previous months of operation, ownership of the business, among other things. Ibanga Etuk also allegedly unlawfully used another individual's identity on payroll records submitted when he applied for his loan.	-	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Stephen Smith	4/12/2021	USDOJ	PPP fraud	Stephen Smith pleaded guilty to bank fraud for his role in allegedly fraudulently obtaining over \$600,000 in Paycheck Protection Program (PPP) loans. Smith allegedly caused fraudulent loan applications to be submitted that made numerous false and misleading statements about the companies' respective payroll expenses. Smith then allegedly directed his co-conspirators to send him portions of the PPP funds within days of receiving them and used the proceeds for personal expenses.	-	Wisconsin	E.D. Wisc.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Jason Vertz; Alana Powers	4/12/2021	USDOJ	Unemployment fraud	Jason Vertz and Alana Powers, an inmate at Central California Women's Facility (CCWF), pleaded guilty to conspiracy to commit mail fraud and aggravated identity theft for allegedly participating in a scheme that targeted California Employment Development Department (EDD) unemployment insurance benefits. Vertz and Powers allegedly submitted several fraudulent unemployment insurance claims in Powers' and other CCWF inmates' names to EDD.	18 USC §§ 1028A, 1349	California	E.D. Cal.	Criminal enforcement	Yes	No	No	1:21-cr-00054-DAD-BAM	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Ronald A. Smith	4/9/2021	USDOJ	Unemployment fraud	Ronald A. Smith pleaded guilty to wire fraud, engaging in monetary transactions in criminally derived property, and fraud in connection with emergency benefits for, inter alia, submitting a fraudulent application with the Virginia Employment Commission for unemployment compensation, including \$600 per week in federal pandemic unemployment compensation authorized under the CARES Act.	-	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
James Heritage	4/9/2021	USDOJ	PPP fraud; Unemployment fraud	James Heritage pleaded guilty to making a false statement on a loan application to obtain money through the Paycheck Protection Program (PPP) and mail fraud, in connection with a scheme to collect Pandemic Unemployment Assistance (PUA), a form of supplemental unemployment insurance, from at least 40 different states and the District of Columbia.	18 USC §§ 1001, 1343	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	6:21-cr-60007-SOH	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Mary Benton; Angelica Cartwright-Powers; Michael Lee Lewis, Jr.; Michael Anthony White	4/9/2021	USDOJ	Unemployment fraud	Mary Benton, Angelica Cartwright-Powers, Michael Lee Lewis, Jr., and Michael Anthony White were charged for allegedly participating in a conspiracy to use the personal identifying information of 35 Virginia prison inmates in order to fraudulently obtain over \$300,000 in pandemic-related unemployment benefits. Benton and Cartwright-Powers were charged with conspiracy, mail fraud, and fraud in connection with major disaster benefits, and Lewis and White were charged with conspiracy and mail fraud.	18 USC §§ 371, 1040, 1341, 2	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	2:21-cr-00033-RAI-LRL	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-
Sequoia Edwards	4/9/2021	USDOJ	Unemployment fraud	Sequoia Edwards was charged with fraud in connection with emergency benefits and wire fraud for allegedly filing at least 27 fraudulent unemployment insurance (UI) claims over two months last summer, at least six of which used personally identifiable information (PII) belonging to California prison inmates that she allegedly received from her incarcerated cousin.	18 USC §§ 1040, 1343	California	C.D. Cal.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-ice/pr/pr/haelen-individually-indicted-and-arrested-unemployment-benefits-and-pandemic-unemployment	-

Mireya Ramos	4/9/2021	USDOJ	Unemployment fraud	Mireya Ramos was charged with fraud in connection with emergency benefits and wire fraud for allegedly filing at least 37 fraudulent unemployment insurance (UI) claims, most of which were submitted in the names of inmates in the California prison system and many of which falsely stated the applicants were barbers who could not work due to the pandemic. The affidavit in support of her criminal complaint states that Ramos obtained the inmates' PIN from her long-time boyfriend, who is currently serving a life sentence in Calipatria State Prison.	18 USC §§ 1040, 1343	California	C.D. Cal.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-cdca/pr/3-men-empire-women-arrested-charges-fraudulently-obtaining-covid-related-unemployment	-
Paris Thomas	4/9/2021	USDOJ	Unemployment fraud	Paris Thomas was charged with fraud in connection with emergency benefits and wire fraud for allegedly filing approximately 49 fraudulent unemployment insurance (UI) applications, at least 15 of which were filed in the names of people incarcerated in state prisons, a federal prison and county jails.	18 USC §§ 1040, 1343	California	C.D. Cal.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-cdca/pr/3-inmate-empire-women-arrested-charges-fraudulently-obtaining-covid-related-unemployment	-
Daniel Maleus; Lilly Nguyen	4/9/2021	USDOJ	Unemployment fraud	Daniel Maleus and Lilly Nguyen were charged with wire fraud, conspiracy to commit wire fraud, and aggravated identity theft, and Nguyen was also charged with bank fraud, conspiracy to commit bank fraud, and lying to a federal agent for their alleged involvement in a scheme to fraudulently obtain COVID-19-related unemployment assistance. Maleus and Nguyen conspired to file fraudulent claims for Pandemic Unemployment Assistance (PUA) using others' personally identifiable information.	18 USC §§ 1001, 1028A, 1343, 1344, 1349	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:21-mj-02142-MBB; 1:21-mj-02139-MBB	-	-	https://www.justice.gov/usao-ma/pr/two-stonham-residents-arrested-charges-identity-theft-and-unemployment-fraud-related	-
Travis Kilgore	4/8/2021	USDOJ	Unemployment fraud	Travis Kilgore pleaded guilty to conspiracy to defraud the government and conspiracy to commit mail fraud for allegedly developing a scheme with others beginning in May 2020 to defraud the United States and the Virginia Employment Commission (VEC) through the filing of fraudulent claims for pandemic unemployment benefits.	18 USC §§ 371, 1040	Virginia	W.D. Va.	Criminal enforcement	Yes	No	No	2:21-cr-00007-JP1-PMS	-	-	https://www.justice.gov/usao-wdva/pr/kilgore-complaint	-
Tyrone Hazard	4/8/2021	USDOJ	Unemployment fraud	Tyrone Hazard was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Rashaad Hill	4/8/2021	USDOJ	Unemployment fraud	Rashaad Hill was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Michael Moran Lamb	4/8/2021	USDOJ	Unemployment fraud	Michael Moran Lamb was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Jesse Hazard	4/8/2021	USDOJ	Unemployment fraud	Jesse Hazard was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Jamel Newman	4/8/2021	USDOJ	Unemployment fraud	Jamel Newman was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Francois Parker	4/8/2021	USDOJ	Unemployment fraud	Francois Parker was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Darren Robinson	4/8/2021	USDOJ	Unemployment fraud	Darren Robinson was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Jyreel Gadson	4/8/2021	USDOJ	Unemployment fraud	Jyreel Gadson was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-rh/pr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-

Rashaad Muskelly	4/8/2021	USDOJ	Unemployment fraud	Rashaad Muskelly was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Derrick Gadson	4/8/2021	USDOJ	Unemployment fraud	Derrick Gadson was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Deeshawn Gadson	4/8/2021	USDOJ	Unemployment fraud	Deeshawn Gadson was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Keishon Brown	4/8/2021	USDOJ	Unemployment fraud	Keishon Brown was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Donovan Brown	4/8/2021	USDOJ	Unemployment fraud	Donovan Brown was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Deanna Alston	4/8/2021	USDOJ	Unemployment fraud	Deanna Alston was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	-	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Vallah Brown	4/8/2021	USDOJ	Unemployment fraud	Vallah Brown was charged with wire fraud and money laundering for allegedly filing multiple claims for unemployment compensation in Rhode Island and in other states.	18 USC §§ 641, 1343, 1556	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:21-mj-00029-PAS	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Ejembi Onah	4/8/2021	USDOJ	PPP fraud; EIDL fraud	Ejembi Onah was charged with wire fraud and money laundering for allegedly obtaining approximately \$150,000 in Paycheck Protection Program (PPP) loans and Economic Injury Disaster Loans (EIDL), which were issued by two banks and the Small Business Administration (SBA) to two companies Onah controlled. In support of the loan applications, Onah allegedly submitted fraudulent tax documents and fabricated bank statements.	18 USC §§ 1343, 1957	New York	N.D.N.Y.	Criminal enforcement	Yes	No	No	3:21-mj-00191-ML	-	-	-	https://www.justice.gov/usao-ndny/pr/thirteen-man-charged-could-14-relief-fraud	-
Francis F. Joseph	4/8/2021	USDOJ	PPP fraud	Francis F. Joseph was charged with theft in connection with health care, theft of government property, wire fraud, and making a false statement in connection with a bankruptcy proceeding for, inter alia, allegedly applying for a \$179,999 loan under the Paycheck Protection Program (PPP) on behalf of a medical practice he used to work for, which Joseph then directed into his personal bank account.	18 USC §§ 152, 641, 669, 1343	Colorado	D. Colo.	Criminal enforcement	Yes	No	No	1:21-cr-00083-RM	-	-	-	https://www.justice.gov/usao-cvpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-
Jemar Ahton Mason; David Kurbanov; Dennis Lynn Cartwright, Jr.; Andre Jackson; James Williams	4/7/2021	USDOJ	PPP fraud	Jemar Ahton Mason, David Kurbanov, Dennis Lynn Cartwright, Jr., Andre Jackson, and James Williams were charged with conspiracy to commit wire fraud and wire fraud (except Cartwright), conspiracy to commit concealment money laundering, and attempting to engage in, a monetary transaction in property derived from specified unlawful activity for their alleged participation in a scheme to fraudulently obtain and misappropriate Paycheck Protection Program (PPP) loan funds.	18 USC §§ 1343, 1349, 1556, 1957, 2	Michigan	W.D. Mich.	Criminal enforcement	Yes	No	No	1:21-cr-00069-RJ	-	-	-	https://www.justice.gov/usao-wdmi/pr/2021-0-407-COVID-19-PPP-Fraud	-
healthbridgescience.com; global-pandemic-vaccines.com; genobioscience.com	4/7/2021	USDOJ	Consumer fraud	The US Attorney's Office for the District of Maryland seized healthbridgescience.com, global-pandemic-vaccines.com, and genobioscience.com, all of which purported to be the websites of actual biotechnology companies developing treatments for the COVID-19 virus but instead were allegedly used to collect the personal information of individuals visiting the sites, in order to use the information for nefarious purposes, including fraud, phishing attacks, and/or deployment of malware.	-	Maryland	D. Md.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-mdpr/pr/2021-0-407-COVID-19-PPP-Fraud	-
Hadi Isbah	4/6/2021	USDOJ	PPP fraud; EIDL fraud	Hadi Isbah was charged with wire fraud for allegedly submitting on behalf of hundreds of Flash Tax customers Paycheck Protection Program (PPP) and Economic Injury Disaster Loan program (EIDL) applications that contained materially false statements and misrepresentations about the customers' businesses, such as gross revenues, expenses, and number of employees, the indictment states. Isbah's false statements and misrepresentations caused millions of dollars in fraudulently obtained PPP and EIDL funds to be disbursed to those customers.	18 USC § 1343	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	1:21-cr-00208	-	-	-	https://www.justice.gov/usao-ndil/pr/suburban-chicago-tax-preparer-charged-could-relief-fraud	-
Hubert Ivan Ugarte	4/6/2021	USDOJ	PPP fraud	Hubert Ivan Ugarte pleaded guilty to submitting a fraudulent loan application to the Small Business Administration (SBA) through the Coronavirus Aid, Relief, and Economic Security (CARES) Act. Ugarte admitted that he fraudulently obtained \$210,000 in PPP loans after failing to disclose that he was under federal indictment for his role in a fraudulent trucking scheme.	-	Utah	D. Utah	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-utpr/cases-act-fraud-investigations-identify-twenty-three-individuals-targeting-thirty-one	-

Jon Alan Bader	4/6/2021	USDOJ	PPP fraud; EIDL fraud	Jon Alan Bader was charged with bank fraud, wire fraud, committing fraud in connection with major disaster or emergency benefits, making false statements, and making false statements in connection to credit or loan applications for allegedly submitting fraudulent Paycheck Protection Program (PPP) and Economic Injury Disaster Loan (EIDL) applications and receiving more than \$120,000 in fraudulent pandemic relief funds.	18 USC §§ 1001, 1014, 1040, 1343, Ohio 1344	S.D. Ohio	Criminal enforcement	Yes	No	No	1:21-mj-00265-SKB	-	-	https://www.justi.complaint	-	
Melissa McGhee	4/6/2021	USDOJ	PPP fraud; EIDL fraud	Melissa McGhee was charged with bank fraud, wire fraud, committing fraud in connection with major disaster or emergency benefits, making false statements, and making false statements in connection to credit or loan applications for allegedly submitting fraudulent Paycheck Protection Program (PPP) and Economic Injury Disaster Loan (EIDL) applications and receiving \$186,000 in fraudulent relief funds, which she used, in part, to purchase real estate.	18 USC §§ 1001, 1014, 1040, 1343, Ohio 1344	S.D. Ohio	Criminal enforcement	Yes	No	No	1:21-mj-00264-SKB	-	-	https://www.justi.complaint	-	
Toni Wright	4/6/2021	USDOJ	PPP fraud	Toni Wright was charged with bank fraud, wire fraud, committing fraud in connection with major disaster or emergency benefits, making false statements, making false statements in connection to credit or loan applications, and false representation of a social security number for allegedly receiving \$349,000 in fraudulent Paycheck Protection Program (PPP) relief loans. Wright allegedly used the same Employer Identification Number for more than one of the purported businesses and listed residential addresses as the business locations. She allegedly submitted numerous applications despite being denied initially.	18 USC §§ 1001, 1014, 1040, 1343, Ohio 1344, 42 USC § 408	S.D. Ohio	Criminal enforcement	Yes	No	No	1:21-mj-00266-SKB	-	-	https://www.justi.complaint	-	
Kelli Prather	4/6/2021	USDOJ	PPP fraud	Kelli Prather was charged with bank fraud, aggravated identity theft, making false statements in connection to credit or loan applications, and false representation of a social security number for allegedly seeking more than \$600,000 in fraud relief through the Paycheck Protection Program (PPP), and fraudulently receiving approximately \$19,800.	18 USC §§ 1001, 1014, 1040, 1344; Ohio 42 USC § 408	S.D. Ohio	Criminal enforcement	Yes	No	No	1:21-mj-00267-SKB	-	-	https://www.justi.complaint	-	
Rudolph Brooks, Jr.	4/5/2021	USDOJ	PPP fraud; EIDL fraud	Rudolph Brooks, Jr. was charged with wire fraud for allegedly applying for a Paycheck Protection Program (PPP) loan using fraudulent tax forms, and an Economic Injury Disaster Loan (EIDL) loan that contradicted the information and supporting documentation Brooks submitted for his PPP loan application.	18 USC § 1343	Maryland	D. Md.	Criminal enforcement	Yes	No	No	8:21-mj-00695-GLS	-	-	https://www.justi.complaint	-
Darnell Harris; Marie Tavernier; Ebony Harris; Gregory Harris	4/2/2021	Massachusetts AG	Unemployment fraud	Darnell Harris, Marie Tavernier, Ebony Harris, and Gregory Harris were charged with unemployment fraud, larceny, attempted larceny, money laundering, and conspiracy for their alleged participation in a scheme to use the personal identifying information of jail inmates and other individuals to steal more than \$77,000 from the state's Pandemic Unemployment Assistance (PUA) program.	-	Massachusetts	-	Criminal enforcement	Yes	No	No	-	-	-	https://www.mass.gov/news/four-indicted-in-unemployment-fraud-scheme-run-by-inmate-in-westchester-county-all	-
Julio Lugo; Roseinde Venant	4/2/2021	USDOJ	PPP fraud; EIDL fraud	Julio Lugo and Roseinde Venant were charged with conspiracy and making false statements to a financial institution, and Lugo was charged with an illegal monetary transaction relating to the illegal use of coronavirus relief funds, for allegedly submitting at least 70 false and fraudulent loan applications seeking funds from the Paycheck Protection Program (PPP) and Economic Injury Disaster Loan (EIDL) program. The fraudulent loan applications requested more than \$5.8 million, including for shell companies established by Lugo, Venant, and their relatives. Lugo also allegedly used coronavirus relief funds to pay off a note on a luxury car purchased during the conspiracy.	18 USC §§ 371, 1014, 1957	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:21-mj-01294-AAS; 8:21-mj-01295-AAS	-	-	https://www.justi.complaint	-
Raquel Pena	4/2/2021	USDOJ	Unemployment fraud	Raquel Pena was charged with wire fraud, conspiracy to commit wire fraud and aggravated identity theft for allegedly participating in a scheme to fraudulently obtain COVID-19-related unemployment assistance. Pena and others allegedly conspired to file fraudulent claims for Pandemic Unemployment Assistance using others' personally identifiable information. Pena allegedly recruited acquaintances to receive the proceeds of the fraudulent claims into their bank accounts, withdraw all or a portion of those funds and give the cash to Pena.	18 USC §§ 1028A, 1343, 1349	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:21-mj-04190-DHH	-	-	https://www.justi.complaint	-
William Cordor	4/1/2021	USDOJ	EIDL fraud; Unemployment fraud	William Cordor was charged with conspiracy to commit wire fraud, wire fraud, and aggravated identity theft for his alleged involvement in schemes to fraudulently obtain unemployment assistance and obtain loans from the Small Business Administration (SBA). Cordor allegedly conspired with others to use stolen identities to file false and fraudulent claims for unemployment assistance in various states including Nevada and wire the payments into prepaid debit card accounts they obtained. Cordor also allegedly engaged with others in a second wire fraud scheme that involved using stolen identities to fraudulently apply for Economic Injury Disaster Loans (EIDLs) from the SBA and similarly deposit the loans into prepaid debit card accounts.	18 USC §§ 1028A, 1343, 1349, 2	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	4:21-cr-04016-TSH	-	-	https://www.justi.complaint	-
Nicholas Milano White	3/31/2021	USDOJ	Identity theft	Nicholas Milano White was charged with conspiracy to steal mail, emergency benefits fraud, and aggravated identity theft for, inter alia, allegedly fraudulently negotiating a stolen check by endorsing it with the forged signature of the intended recipient and depositing it into a bank account fraudulently opened on June 14, 2020, in recipient's name and using his social security number and date of birth.	18 USC §§ 371, 1028A, 1040, 1708, Maryland 2	D. Md.	Criminal enforcement	Yes	No	No	1:20-cr-00407-RDB	-	-	https://www.justi.complaint	-	
Shane Spierdows	3/31/2021	USDOJ	PPP fraud; EIDL fraud	Shane Spierdows was charged with wire fraud for allegedly using false Social Security numbers and fraudulent documentation to apply for a federally funded Small Business Administration (SBA) Paycheck Protection Program (PPP) loan of \$101,517. In connection with this loan, Spierdows provided a fraudulent corporate bank statement reflecting a balance exceeding \$220,000; in fact, this statement was dated before the pertinent bank account was ever opened. Spierdows also allegedly obtained an SBA Economic Injury Disaster Loan (EIDL) of \$89,900, and in connection with both loans, he allegedly used Social Security numbers that differ from his actual Social Security number.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justi.complaint	-
Chinnapatr Chunhasomboon	3/31/2021	USDOJ	Consumer fraud	Chinnapatr Chunhasomboon was charged with mail fraud, wire fraud, smuggling, introducing misbranded drugs into U.S. commerce, introducing unapproved new drugs into U.S. commerce, and making false statements to federal agents for allegedly selling unapproved chloroquine phosphate to customers worldwide, including in the United States, as a treatment for both COVID-19 and for malaria. Chunhasomboon allegedly evaded and attempted to evade U.S. Customs inspectors by disguising his shipments of chloroquine phosphate as fish tank accessories and cell phone cases, among other things.	18 USC §§ 545, 1001, 1341, 1343; 21 USC § 331	Pennsylvania	M.D. Pa.	Criminal enforcement	Yes	No	No	3:21-cr-00093-RDM	-	-	https://www.justi.complaint	-

Hassan Kanyike	3/29/2021	USDOJ	PPP fraud; EIDL fraud	Hassan Kanyike pleaded guilty to allegedly perpetrating a scheme to fraudulently obtain approximately \$1.8 million in COVID-19 relief guaranteed by the Small Business Administration (SBA) through the Economic Injury Disaster Loan (EIDL) program and the Paycheck Protection Program (PPP). Kanyike admitted that he submitted six fraudulent PPP loan applications and two fraudulent EIDL applications. The applications sought funds to purportedly pay the salaries of employees whom he claimed worked for two of his businesses, but Kanyike admitted during his plea that the company had substantially fewer employees and substantially lower payroll. Kanyike further admitted that he obtained additional Employer Identification Numbers from the IRS in April and May 2020, so that he could apply for multiple loans for the same used-car business. Kanyike then used a substantial portion of the PPP loan proceeds for his own personal benefit.	18 USC § 1343	California	C.D. Cal.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-29-01	Kanyike plea agreement
Hanalei Aipoalani	3/26/2021	USDOJ	CRF fraud	Hanalei Aipoalani pleaded guilty to, inter alia, allegedly agreeing to accept a bribe for the administration of grants under the CARES Act. In August 2020, Aipoalani was hired to serve as Honolulu City and County's Department of Community Services's CARES Program Administrator and was responsible for administering Coronavirus Relief Fund ("CRF") programs. In that capacity, Aipoalani agreed to accept a financial benefit from an applicant who filed two fraudulent applications for CARES Act Funds under the agreement that Aipoalani would influence the approval of the grant applications and would receive a financial benefit in return for the approvals.	-	District of Columbia	D.D.C.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Robert Lee Snowden Jr.	3/26/2021	USDOJ	EIDL fraud	Robert Lee Snowden Jr. pleaded guilty to conspiracy to commit wire fraud and to aggravated identity theft for, inter alia, allegedly attempting to obtain an Economic Injury Disaster Loan (EIDL) using the identity of at least one of the alleged victims in a separate scheme to obtain SNAP benefits using the victim's stolen identification information. With the victim's information, Snowden allegedly established a fictitious business entity in the name of the victim and obtained fraudulent credentials to apply for EIDL.	18 USC §§ 1028A, 1349	Maryland	D. Md.	Criminal enforcement	Yes	No	No	1:21-cr-00022-ELH	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	Snowden plea agreement
pfizermx.com; pfizer-vaccines.com; pfizerstockrate.com; pfizerkasa.com; unicefcovid19relief.com; unicefcovid19child.com; unicefinternship.com	3/26/2021	USDOJ	Consumer fraud	The United States obtained court authorization to seize four domains that purported to be the legitimate websites of Pfizer, Inc. ("Pfizer") - specifically, "pfizermx.com," "pfizer-vaccines.com," "pfizerstockrate.com," and "pfizerkasa.com." In addition, the government seized three websites claiming to be associated with the United Nations International Children's Emergency Fund (UNICEF) - specifically, "unicefcovid19relief.com," "unicefcovid19child.com," and "unicefinternship.com." Although each of the seized domains purported to be the legitimate websites of either Pfizer or UNICEF, the sites instead appeared to have been designed to obtain the personal information of website visitors for nefarious purposes, such as fraud or phishing attacks.	-	Virginia	E.D. Va.	Civil enforcement	No	Yes	No	-	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Michael Rech	3/26/2021	USDOJ	PPP fraud	Michael Rech was charged with wire fraud and money laundering in connection with his alleged application and receipt of approximately \$177,500 in Paycheck Protection Program (PPP) loans. Between April 2020 and February 2021, Rech applied for three PPP loans. On his application, Rech fraudulently claimed that his companies had employees and that he had been paying wages to these employees, which qualified him for the PPP loans. Subsequent investigation revealed that Rech did not have any employees and did not paid wages to any employees.	18 USC §§ 1343, 1956, 1957	New York	W.D.N.Y.	Criminal enforcement	Yes	No	No	6:21-mj-04044-MWP	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	Rech complaint
Yashica Sherea Bain	3/26/2021	USDOJ	PPP fraud	Yashica Sherea Bain was charged with wire fraud, bank fraud, and conspiracy and attempt to commit wire fraud and bank fraud for allegedly obtaining a PPP loan of \$388,790 for her company Microblading Brow Studio, LLC, using falsified documents. It is alleged that Bain failed to use the PPP loan on business-related or payroll-related expenses and, instead, made substantial payments to herself and others, withdrew large amounts in cash, and used money towards the purchase of a bar and lounge in Miami, Florida. Bain also allegedly conspired with others to obtain millions of dollars in fraudulent PPP loans, and participated in a conspiracy to submit at least \$34 million worth of fraudulent PPP loan applications.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0:21-mj-06152	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Shanika Shantae Duhart	3/26/2021	USDOJ	PPP fraud	Shanika Shantae Duhart was charged with wire fraud, bank fraud, and conspiracy and attempt to commit wire fraud and bank fraud for allegedly obtaining a PPP loan of \$388,790 for her company Hair She Goes, Inc., using falsified documents. It is alleged that Duhart failed to use the PPP loan on business-related or payroll-related expenses and instead made substantial payments to herself and to others, withdrew large amounts in cash, transferred money to other entities, and used money to pay for her personal expenses. Duhart also allegedly participated in a conspiracy to submit at least \$34 million worth of fraudulent PPP loan applications.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0:21-mj-06153	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Yamil Herrera	3/26/2021	USDOJ	EIDL fraud	Yamil Herrera was charged with wire fraud for allegedly receiving over \$300,000 from two fraudulent EIDL loans and advances.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:21-mj-02532	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Barry Wolf	3/26/2021	USDOJ	PPP fraud; EIDL fraud	Barry Wolf was charged with wire fraud for allegedly receiving over \$500,000, primarily from two fraudulent PPP loans. Wolf also allegedly submitted five fraudulent EIDL applications.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	9:21-mj-08099	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Yansel Jimenez Blanco	3/26/2021	USDOJ	PPP fraud	Yansel Jimenez Blanco was charged with wire fraud, bank fraud, and money laundering for allegedly applying for a \$487,500 PPP loan to pay business expenses for his trucking company, such as employee payroll, but Blanco then used the funds to pay for personal expenses, or transferred the funds to multiple individuals who were not employees.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
James Medard (a/k/a "James Menard" a/k/a "James Joseph")	3/26/2021	USDOJ	PPP fraud; EIDL fraud	James Medard was charged with wire fraud, money laundering, and aggravated identity theft for allegedly applying for fraudulent loans, including two EIDL loans—one under the name of a business he controlled, and a second one in his name—and submitted false earnings information, a falsified IRS form, as well as false personal identifying information to conceal his true identity and obtain approval for the loans. Medard also allegedly applied for a fraudulent PPP loan, for which he submitted falsified IRS forms, and lists of purported employees containing the means of identification of real people who did not work for the defendant or his business, and did not authorize the defendant or his business to use their means of identification to support a PPP loan application.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:21-mj-02514	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-
Oldley Saintfumin; Lucie Adras	3/26/2021	USDOJ	Unemployment fraud	Oldley Saintfumin and Lucie Adras were charged for their alleged unauthorized use of debit cards issued in other individuals' names by various state employment offices that distribute unemployment insurance benefits. From in or around May 2020, through September 2020, various states allegedly reported that dozens of unemployment insurance benefit claims had been submitted or accessed from an internet Protocol address associated with the defendants' residence.	-	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:21-mj-02529-CMM	-	-	https://www.justice.gov/usdoj/criminal-division/press-releases/record-2021-03-26-01	-

Wally Dorlus; Marcgenson Marc; Roberto Geronimo	3/26/2021	USDOJ	PPP fraud	Wally Dorlus, Marcgenson Marc, and Roberto Geronimo were charged for their alleged participation in a scheme and conspiracy to obtain fraudulent PPP loans based on falsified employee and payroll obligations and documentation. Dorlus was allegedly a tax preparer who, in exchange for kickbacks, facilitated the filing of over 167 fraudulent PPP loan applications, which included falsified supporting payroll tax documentation, seeking over \$28 million in PPP loan funds on behalf of companies under his control and over 100 other companies. Of those, approximately 33 PPP loans were allegedly funded in the approximate amount of \$5.5 million. It is alleged that Dorlus collected kickbacks ranging from 12.5% to 25% of the PPP loan proceeds and that Marc was a recruiter for Dorlus who shared in the kickbacks, which were paid to entities under the control of Dorlus and Marc or in cash. Marc, in turn, allegedly recruited at least one other individual to apply for fraudulent PPP loans through Dorlus and recruited additional applicants in exchange for a credit on the kickback he owed. Geronimo, who was on bond pending trial on federal drug conspiracy charges allegedly received a fraudulent PPP loan for approximately \$250,000 based on falsified payroll tax documentation submitted by Dorlus.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0-21-mj-06175-PMH	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Cindi Ellis Denton	3/26/2021	USDOJ	PPP fraud	Cindi Ellis Denton was charged with wire fraud, bank fraud, and conspiracy and attempt to commit wire fraud and bank fraud for allegedly obtaining a PPP loan of \$401,310 for her company Emerald Jade Business Solutions, using falsified documents. It is alleged that Denton failed to use the PPP loan on business-related or payroll-related expenses and instead made substantial payments to herself and others.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Dennes Garcia	3/26/2021	USDOJ	PPP fraud	Dennes Garcia was charged with wire fraud, bank fraud, and conspiracy and attempt to commit wire fraud and bank fraud for allegedly obtaining a PPP loan of \$285,742 for his company, Dhanda Corporation, using falsified documents. It is alleged that Garcia failed to use the PPP loan on business-related or payroll-related expenses and instead made substantial payments to himself and others.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0-21-mj-06150	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Johnesha Travis	3/26/2021	USDOJ	PPP fraud	Johnesha Travis and his alleged co-conspirator were charged with conspiracy to commit wire fraud and bank fraud, wire fraud, and bank fraud for allegedly obtaining a PPP loan of \$152,605 for their company, Luxury Yacht Rentals Plus, LLC, using falsified documents.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0-21-mj-06173	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Kenbrell Armod Thompkins	3/26/2021	USDOJ	Unemployment fraud	Kenbrell Armod Thompkins was charged with access device fraud and aggravated identity theft for allegedly using the stolen identities of numerous Florida residents to obtain fraudulent unemployment insurance benefits from the State of California. California distributed these unemployment benefit funds in the form of debit cards, which were subsequently mailed to addresses associated with Thompkins in Miami and Aventura, Florida.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-21-cr-20136	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Leonel Rivero	3/26/2021	USDOJ	PPP fraud	Leonel Rivero was charged for his alleged participation in a scheme to obtain fraudulent PPP loans based on falsified income and expense information and tax documentation. According to the allegations in the information, Rivero owned a tax-preparation business and submitted approximately 118 fraudulent PPP loan applications on behalf of himself and his accomplices.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-21-cr-20160-UU	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Justin Etwaru	3/26/2021	USDOJ	PPP fraud	Justin Etwaru was charged with conspiracy to commit an offense against the United States relating to the alleged fraudulent receipt of a Paycheck Protection Program (PPP) loan of approximately \$57,000.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-21-cr-20156	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Ioannis Kralievits	3/26/2021	USDOJ	PPP fraud	Ioannis Kralievits was charged with conspiracy to commit an offense against the United States relating to the alleged fraudulent receipt of a Paycheck Protection Program (PPP) loan of approximately \$767,000.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-21-cr-20057-CMA	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Jericca Rosado	3/26/2021	USDOJ	PPP fraud	Jericca Rosado was charged with wire fraud, bank fraud, and conspiracy and attempt to commit wire fraud and bank fraud for allegedly participating in a conspiracy to submit at least \$34 million worth of fraudulent Paycheck Protection Program (PPP) loan applications. Specifically, Rosado allegedly received a \$50,000 kickback payment in connection with a fraudulent PPP loan of \$454,272, of which she passed \$25,000 to a co-conspirator, as well as a second kickback payment in connection with a fraudulent PPP loan obtained by Devonte Thames, charged by separate criminal complaint. Rosado allegedly conspired with Thames and others to receive additional kickback payments from fraudulently obtained PPP loan proceeds, and directed kickback payments from fraudulently obtained PPP loan proceeds to other co-conspirators and their businesses.	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1-21-mj-00274	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Devonte Thames	3/26/2021	USDOJ	PPP fraud	Devonte Thames was charged with wire fraud, bank fraud, and conspiracy and attempt to commit wire fraud and bank fraud for allegedly participating in a conspiracy to submit at least \$34 million worth of fraudulent PPP loan applications. Specifically, the criminal complaint alleges that Thames received a fraudulent Paycheck Protection Program (PPP) loan of \$409,735 for his own company, the Berrietta E. Thames Foundation LLC. The criminal complaint also alleges that Thames conspired to submit additional fraudulent PPP loan applications for other companies by recruiting others to submit such applications in exchange for kickbacks.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0-21-mj-06101-IMS	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Kimberly Cleare	3/26/2021	USDOJ	EIDL fraud	Kimberly Cleare was charged with wire fraud for allegedly submitting at least 13 fraudulent - Economic Injury Disaster Loans (EIDL) applications to the U.S. Small Business Administration on behalf of herself and other individuals. The complaint further alleges that Cleare provided substantially similar data on all the applications that she submitted, even though the applications were being submitted on behalf of different business entities.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-21-mj-02515-CMM	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-
Hayden Philip Breau; D'Quincy Marquis Jones	3/25/2021	USDOJ	Financial Aid fraud	Hayden Philip Breau and D'Quincy Marquis Jones were charged with conspiracy to commit - identity theft and identity theft for allegedly using personally identifiable information ("PII"), specifically, the Louisiana College Student Identification Numbers and passwords of other students to access the Louisiana College Online Student Portal ("The Portal") to apply for CARES Act emergency financial aid grants in the names of individuals and direct the grants to specific bank accounts controlled by members of the conspiracy. In total, members of this conspiracy used, without lawful authority, the Louisiana College Student Identification Numbers and passwords of nine individuals to access the Portal, to apply for, and direct payment of CARES Act emergency financial aid from the Higher Education Emergency Relief Fund.	Louisiana	W.D. La.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justi - ce.gov/usdoj-wdla/fr/laou-louisiana-college-students-charged-identity-theft	-
Alicia Quarterman; Katrina Lawson; India Middleton; James McFarland; Tranesha Quarterman; Darryl Washington; Adarin Jones (A/K/A Adrian Jones); Katie Quarterman; Nikia Wakefield; Victor Montgomery	3/24/2021	USDOJ	PPP fraud; EIDL fraud	Alicia Quarterman, Katrina Lawson, India Middleton, James McFarland, Tranesha Quarterman, Darryl Washington, Adarin Jones, Katie Quarterman, Nikia Wakefield, and Victor Montgomery were charged with various counts of conspiracy to commit wire fraud, wire fraud, bank fraud, mail fraud, and money laundering for their alleged participation in a scheme to submit fraudulent loan applications on behalf of non-existent businesses as part of the Economic Injury Disaster Loan (EIDL) program and the Paycheck Protection Program (PPP). The defendants not only allegedly submitted false information for the business loans, but for the PPP, they also allegedly created fake Internal Revenue Service documentation to support the applications.	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	3-21-cr-00006-TCB-RGV -	-	-	https://www.justi - ce.gov/usdoj-sdfl/fr/louthern-district-florida-takes-sweeping-action-against-cars-act-fraud	-

Christopher D. Casamento	3/23/2021	USDOJ	Consumer fraud	Christopher D. Casamento was charged with Interstate Transportation of Stolen Property for allegedly stealing from the University of Pittsburgh 11,615 pieces of PPE, namely, Aura N95 respirator masks, surgical face masks and particulate respirator masks, which he sold on his eBay vendor page "steel-city-motor-toys" and shipped to buyers in states outside of Pennsylvania.	18 USC § 2314	Pennsylvania	W.D. Pa.	Criminal enforcement	Yes	No	No	2:21-cr-00116-AJS	-	-	-	https://www.justice.gov/usao-cd/pd/pd-mc/emergency-management-officials-charge-scheme-stolen-ppe-ebay	-
Jeremie Saintvil	3/23/2021	USDOJ	PPP fraud	Jeremie Saintvil was charged with bank fraud, making false statements to a federally insured institution, aggravated identity theft, and making false statements for allegedly fraudulently obtaining or attempting to obtain over \$1,500,000 in Paycheck Protection Program (PPP) loans. Saintvil also allegedly stole the identities of eight elderly individuals – seven of whom were residents of senior living facilities and one who was related to him – as a part of his complex scheme to obtain more than \$1.5 million in forgivable loans.	18 USC §§ 1001, 1014, 1028A, 1344, 2	Florida	N.D. Fla.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-sdfl/newsroom/press-release/file/13718976/download	-
Tracy Kirkland	3/23/2021	USDOJ	EIDL fraud	Tracy Kirkland pleaded guilty to wire fraud for allegedly receiving a federally guaranteed loan for \$66,400 under the Small Business Administration (SBA) Economic Injury Disaster Loan (EIDL) Program, falsely claiming to own a business in Swainsboro with three employees. Kirkland then allegedly used the funds to purchase a 2019 Dodge Charger R/T, made large cash withdrawals from her bank account, and made other non-business-related purchases.	-	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-sdga/pr/swainsboro-ga-woman-admits-defrauding-government-program-designed-provide-covid-19	-
Gelpys Joel Peralta-Gutierrez	3/23/2021	USDOJ	PPP fraud	Gelpys Joel Peralta-Gutierrez was charged with wire fraud conspiracy relating to a fraudulent application for a loan under the Small Business Administration's (SBA's) Paycheck Protection Program (PPP). Peralta-Gutierrez allegedly arranged for a PPP loan application to be submitted on behalf of his company, J Films HD Inc., claiming that he employed 62 employees with a total monthly payroll of nearly \$700,000, when the location that Peralta-Gutierrez submitted as his business address, however, was a New York City Housing Authority apartment at the Queensbridge Houses, and in applications Peralta-Gutierrez submitted for other business relief, he acknowledged that, in reality, he business had only one employee, and total revenues of approximately \$50,000.	18 USC §§ 1349, 3551	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:21-cr-00149-DG	-	-	-	https://www.justice.gov/usao-edny/newsroom/press-release/file/13718956/download	-
Alicia Ayers; Andrea Ayers; Traci Proctor	3/23/2021	USDOJ	EIDL fraud	Alicia Ayers, Andrea Ayers, and Traci Proctor were charged with conspiracy to commit wire fraud, wire fraud, false statements, and aggravated identity theft in connection with an alleged scheme to defraud the Small Business Administration (SBA), resulting in a loss to the SBA of more than \$1.6 million. The three allegedly used the identities of approximately 300 other individuals (the "Applicants") to submit approximately 315 online applications to the SBA, seeking over \$3 million of funds through the SBA's Economic Injury Disaster Loan (EIDL) Program. The three also falsely represented to the SBA, among other things, that the Applicants were the owners of businesses with ten or more employees. The SBA made advance payments of approximately \$1,690,000 to the Applicants, who often then kicked back a portion of the advance payments to the three.	18 USC §§ 1001, 1028A, 1343, 1349	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	21-MJ-3110	-	-	-	https://www.justice.gov/usao-sdny/pr/three-defendants-charged-16-million-covid-19-fraud-scheme	-
Mark Arone Okuo; Florence Mwende Musau	3/22/2021	USDOJ	Unemployment fraud	Mark Arone Okuo and Florence Mwende Musau were charged with conspiracy to commit wire and bank fraud for their alleged participation, inter alia, in a scheme to collect pandemic unemployment assistance (PUA) in the names of two individuals who did not actually apply for such benefits.	18 USC § 1349	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:21-mj-06204-MPK	-	-	-	Okuo and Musau, complaint	-
Shaneesha White	3/22/2021	USDOJ	Unemployment fraud	Shaneesha White was charged with theft of government funds, fraud associated with disaster relief, and wire fraud for allegedly illegally receiving NYS unemployment insurance benefit payments in the name of a federal prisoner, and that she then allegedly used the unemployment benefits to pay for various expenses including a trip to Florida, cosmetic surgery, footwear, and cosmetics.	-	New York	W.D.N.Y.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-wfdny/pr/20210322-woman-arrested-and-charged-stealing-unemployment-benefits-used-money-pay	-
Keith Anton Johnson	3/19/2021	USDOJ	PPP fraud	Keith Anton Johnson was charged with wire fraud for allegedly fraudulently received \$203,500 in Payroll Protection Program (PPP) funds after allegedly submitting payroll information purporting to be that of current employees to justify the basis for a PPP loan. On these forms, he allegedly attested to an employee's current status when, in fact, the one-time employee had quit in January 2020, according to the charges. Johnson was also allegedly ineligible for the funds due to a pending indictment in a separate case.	-	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-sdtx/newsroom/press-release/file/13718956/download	-
Christopher A. Hayes	3/18/2021	USDOJ	CFAP fraud	Christopher A. Hayes was charged with making a false claim to the U.S. Department of Agriculture's (USDA) Coronavirus Food Assistance Program (CFAP) after allegedly claiming loss of livestock at his commercial farming operation, when he allegedly did not own or operate a commercial farming operation and did not have losses associated with any livestock when he made a claim under CFAP. In addition, Hayes allegedly submitted a fraudulent IRS Form 7200, which, when used legitimately, allows an employer to request an advance payment of employer credits under the Families First Coronavirus Response Act (FFCRA).	-	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-sdga/pr/northonia-man-charged-defrauding-usda-covid-related-program	-
Tamesha Lashelle Brown; Malik Abdul McCaully	3/18/2021	USDOJ	Unemployment fraud	Tamesha Lashelle Brown and Malik Abdul McCaully pleaded guilty to conspiring to commit various offenses, including mail fraud, wire fraud, bank fraud, disaster relief fraud, and access device fraud for allegedly obtaining personal identifying information of other individuals, without those individuals' knowledge or consent, and then using that information to file fraudulent applications for unemployment assistance.	-	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-sdga/pr/hawthorns-admits-guilt-scheme-steal-thousands-covid-19-unemployment-insurance-funds	-
John Zhong	3/18/2021	USDOJ	PPP fraud	John Zhong was charged with bank fraud, false representation of a Social Security number, and money laundering for allegedly submitting 10 fraudulent Paycheck Protection Program (PPP) loan applications to several lenders on behalf of 10 purported businesses. The applications allegedly contained false and fraudulent representations to the participating lenders, including documentation purporting to be from the IRS.	18 USC §§ 1344, 1956; 42 USC § 408	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	Mag. No. 21-13103	-	-	-	https://www.justice.gov/usao-dnj/newsroom/press-release/file/13718956/download	-
Elvin German	3/17/2021	USDOJ	Unemployment fraud	Elvin German was charged with wire fraud and aggravated identity theft in connection with an alleged COVID-19 unemployment benefit scheme. German allegedly used the identities of over 550 unknowing victims to file false claims in order to receive over \$1.4 million in COVID-19 unemployment benefits.	18 USC §§ 1028A, 1349, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	-	-	-	-	https://www.justice.gov/usao-sdny/pr/defendant-charged-14-million-covid-19-fraud-scheme	-
Leonel Rivero	3/17/2021	USDOJ	PPP fraud	Lionel Rivero was charged with wire fraud in connection with an alleged scheme to obtain over 100 COVID-19-relief loans under the Paycheck Protection Program (PPP). Rivero allegedly submitted approximately 118 fraudulent PPP loan applications on behalf of himself and his accomplices. Combined, the 118 PPP loan applications allegedly sought more than \$2.3 million in PPP loans, and on each PPP loan application, Rivero allegedly falsified the applicant's prior-year income and expenses and submitted fraudulent IRS tax forms. Rivero and his accomplices allegedly received approximately \$975,582 in PPP loans as a result of the fraud.	18 USC § 1343	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:21-cr-20160-UU	-	-	-	https://www.justice.gov/usao-sdfla/pr/76-stice.gov/usa/sdfla/pr/76-press-release/file/13718956/download	-

Donald Franklin Trosin	2/16/2021	USDOJ	PPP fraud; EIDL fraud	Donald Franklin Trosin pleaded guilty to one count of major fraud against the United States and one count of money laundering conspiracy for allegedly participating in a scheme to defraud the United States out of Paycheck Protection Program (PPP) and Economic Injury Disaster Loans (EIDL) funds, and conspired to launder funds from over \$1.2 million in illegally obtained loan funds.	18 USC §§ 1031, 1956	Iowa	N.D. Iowa	Criminal enforcement	Yes	No	No	5:20-cr-04066	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/16/usdoj-announces-charged-donald-franklin-trosin	-
Miranda Devlin	2/12/2021	USDOJ	PPP fraud	Miranda Devlin was charged with false statements on an application and mail fraud for, inter alia, allegedly submitting an application for a Paycheck Protection Program (PPP) loan in the amount of \$32,700 on behalf of an entity she created, the Common Nucleus of Cancer, LLC (CNC). In CNC's application, Devlin made multiple false statements and provided false IRS documents to support the statements.	-	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:21-mj-70212-MAG	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/12/usdoj-announces-charged-miranda-devlin	-
Arrayit Corporation; Rene Schem	2/11/2021	SEC	Securities fraud	Arrayit Corporation was charged with violating the antifraud provisions of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder for allegedly making false and misleading statements about the development of a COVID-19 test, and with violating reporting provisions of Section 13(a) of the Exchange Act and Rules 13a-1 and 13a-12 thereunder for allegedly failing to file required financial reports. In fact, as alleged, at the time, Arrayit had not yet purchased materials needed to make a test. The company's co-founder and CEO Rene Schem was charged with allegedly making misrepresentations to investors about the financial reports, and for her role as a control person of the company.	15 USC §§ 78j, 78m; 17 CFR §§ 240.10b-5, 240.13a-1, 240.13a-13	California	N.D. Cal.	Civil enforcement	Yes	Yes	Yes	5:21-cv-01053	-	-	https://www.sec.gov/litigation/press-releases/2021/02/11/20210211-sec-01053.htm	-
Amina Abbas	2/11/2021	USDOJ	Embezzlement	Amina Abbas was charged with embezzlement of government property for allegedly intentionally misappropriating government funds that were designed to aid medical providers in the treatment of patients suffering from COVID-19 and used them for her own personal expenses. Abbas allegedly previously owned a business that received approximately \$37,656.95 designated for the medical treatment and care of COVID-19 patients, even though it was never operational during the pandemic. Abbas then allegedly misappropriated the funds by issuing checks to her family members for personal use.	-	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:21-cr-20103	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/11/usdoj-announces-charged-amina-abbas	-
Olakitan Oluwalade; Odunayo Baba Oluwalade; Kelly Lamont Williams	2/11/2021	USDOJ	Consumer fraud	Olakitan Oluwalade, Odunayo Baba Oluwalade, and Kelly Lamont Williams were charged with conspiracy to commit wire fraud in connection with a scheme to allegedly sell purported COVID-19 vaccines. The scheme involved creating a fake website that told visitors they may be able to buy a COVID-19 vaccine ahead of time.	-	Maryland	D.M.D.	Criminal enforcement	Yes	No	No	1:21-mj-346, 1:21-mj-347, 1:21-mj-348	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/11/usdoj-announces-charged-olakitan-oluwade-et-al	-
Tracy Ann Stankiewicz	2/11/2021	USDOJ	Unemployment fraud	Tracy Ann Stankiewicz pleaded guilty to one count of fraud in connection with emergency benefits for allegedly filing an unemployment assistance application in the name of an inmate at State Correctional Institution-Greene, where she represented that she was the inmate, and that the inmate was unemployed as a direct result of the pandemic, and that the inmate could accept a job if one were offered.	-	Pennsylvania	W.D. Pa.	Criminal enforcement	Yes	No	No	2:20-cr-00249-DSC	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/11/usdoj-announces-charged-tracy-ann-stankiewicz	-
Christoph Geisler; Pooja Gupta	2/11/2021	Wyoming AG	Consumer fraud	Christoph Geisler and Pooja Gupta were charged with violating the Wyoming Consumer Protection Act for allegedly using University of Wyoming resources to sell N95 respirators at substantial markups approaching 1,000% on eBay in the early days of the pandemic when personal protective equipment was in especially short supply.	Wyo. Stat. Ann. §§ 40-12-101-114	Wyoming	Albany County District Court	Civil enforcement	Yes	No	No	Cv-35399	-	-	-	-
Sean M. Andre	2/10/2021	USDOJ	PPP fraud	Sean M. Andre was charged with conspiracy to commit bank fraud, conspiracy to commit wire fraud, and engaging in transfers of wire fraud and bank fraud proceeds of a value greater than \$10,000 for allegedly fraudulently obtaining at least \$4,184,781 in Paycheck Protection Program (PPP) loans. The applications for the loans allegedly fraudulently claimed that the companies for the loans each employed dozens of people and paying out millions of dollars in salaries per year, when in fact, none of these companies has ever reported employees to the New York State Department of Labor, nor reported income to the IRS.	18 USC §§ 1343, 1344, 1349, 1957	New York	N.D.N.Y.	Criminal enforcement	Yes	No	No	1:21-mj-00048-CFH	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/10/usdoj-announces-charged-sean-m-andre	-
Don V. Cisternino	2/8/2021	USDOJ	PPP fraud	Don V. Cisternino was charged with wire fraud, aggravated identity theft, and illegal monetary transactions for allegedly fraudulently securing more than \$7.2 million in emergency funds through a Paycheck Protection Program (PPP) loan, which falsely claimed that Cisternino's New York business, Magnifico, had 441 employees and monthly payroll expenses in 2019 of more than \$2.8 million.	18 USC §§ 1028A, 1343, 1957	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	6:21-cr-00016-WVB-DCI	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/08/usdoj-announces-charged-don-v-cisternino	https://www.kltx.com/news/local/2021/02/08/usdoj-announces-charged-don-v-cisternino/
Any and all funds up to the amount of \$212,742.63	2/8/2021	USDOJ	EIDL fraud	The Department of Justice brought a civil forfeiture action against \$212,742.63 in two bank accounts that were proceeds allegedly traceable to a violation of theft of government funds and of wire fraud. The monies were provided as part of the Economic Injury Disaster Loan (EIDL) program, based on allegedly fraudulent loan applications for two companies whose alleged business addresses were storage units and whose registered agents were receiving unemployment benefits.	18 USC §§ 641, 1341	Louisiana	M.D. La.	Civil forfeiture	No	No	-	3:21-cv-00086-BAJ-RLB	-	-	-	Complaint
Christina Burden	2/5/2021	USDOJ	PPP fraud	Christina Burden was charged with bank fraud for allegedly applying for and later receiving \$684,375 in a forgivable loan from the government's Paycheck Protection Program (PPP) for her shell entity "Blessing Box Co LLC." Burden also allegedly submitted nine other fraudulent applications for PPP loans between April and June of 2020, one of which resulted in her receiving an additional \$307,916 in PPP funds. In total, Burden attempted to obtain over \$4.5 million in PPP forgivable loans for four different shell entities. Burden also allegedly submitted one fraudulent Economic Injury Disaster Loan Program (EIDL) application for one of the same shell entities, for which she received \$150,900 in funds.	18 USC § 1344	California	N.D. Cal.	Criminal enforcement	Yes	No	No	4:21-mj-70194-MAG	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/05/usdoj-announces-charged-christina-burden	https://www.kycc.com/news/2021/02/05/usdoj-announces-charged-christina-burden/
Larry Jordan; Sutahk El (a/k/a Curtis Jordan a/k/a Hugo Hurt)	2/4/2021	USDOJ	PPP fraud	Larry Jordan and Sutahk El were charged with wire and bank fraud conspiracy, bank fraud, and engaging in monetary transactions with criminally derived property for their alleged participation in a scheme to file fraudulent loan applications seeking nearly \$7,000,000 in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA). Jordan and El allegedly conspired to submit at least eight fraudulent loan applications in an attempt to obtain nearly \$7,000,000 for their company, S Semis Inc., involving numerous false and misleading statements about the companies' respective business operations and payroll expenses.	18 USC §§ 1344, 1349, 1957	New York	W.D.N.Y.	Criminal enforcement	Yes	No	No	1:21-cr-00009-JLS-HKS	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/04/usdoj-announces-charged-larry-jordan-et-al	-
Robert S. Stewart, Jr.	2/3/2021	USDOJ	Consumer fraud; PPP fraud; EIDL fraud	Robert S. Stewart was charged with making false statements, wire fraud, and theft of government funds for allegedly making false statements to the Federal Emergency Management Agency (FEMA) and the Department of Veterans Affairs (VA) in order to obtain lucrative contracts to provide COVID-19 personal protective equipment (PPE). Stewart also allegedly fraudulently obtained loans under the federal Paycheck Protection Program (PPP) and the Economic Injury Disaster Loan (EIDL) Program, among other charged conduct.	18 USC §§ 641, 1001, 1343	Virginia	E.D. Va.	Criminal enforcement	Yes	No	-	1:21-cr-00005-RDA	-	-	https://www.usdoj.gov/justice/criminal/press-releases/2021/02/03/usdoj-announces-charged-robert-s-stewart-jr	-

Amber L. Bolen	2/3/2021	USDOJ	EIDL fraud	Amber L. Bolen was charged with wire fraud and fraud in connection with major disaster or emergency benefits for allegedly submitting an application for an Economic Injury Disaster Loan for a business, known as "amber bolen," which did not exist. The SBA wired approximately \$41,000 in loan proceeds into Bolen's bank account.	18 USC §§ 1040, 1343	Illinois	C.D. Ill.	Criminal enforcement	Yes	No	No	3:21-cr-30013-RM-TSH	-	-	https://www.usdoj.gov/usao-edcf/pressrel/2-women-indicted-charged-fraud-relates-small-business-administration-covid-19	-	
Paul Penn	2/2/2021	USDOJ	Consumer fraud	Paul Penn was sentenced to five months and 29 days of home confinement after pleading guilty to conspiracy to commit wire fraud for attempting to sell 50 million non-existent facemasks to a foreign government.	-	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	4:20-cr-00046	-	-	Five months in prison; 29 days of home confinement	https://www.usdoj.gov/usao-sdga/georgia-man-sentenced-after-admitting-fraud-attempt-sell-50-million-non-existent-fs	-
Patricia Ashton Derges	2/1/2021	USDOJ	Consumer fraud	Patricia Ashton Derges was charged with wire fraud, making false statements, and distributing by means of the Internet without a valid prescription for allegedly participating in a fraud scheme in which she made false claims about a supposed stem cell treatment marketed through her clinics in southern Missouri, and then illegally providing prescription drugs to clients of those clinics.	18 USC §§ 1001, 1343; 21 USC § 841	Missouri	W.D. Mo.	Criminal enforcement	Yes	No	No	21-3016-01-CR-S-BCW	-	-	-	https://www.usdoj.gov/usao-wdmo/pr/state-immolation-indicted-stem-cell-2786/download-fraud-scheme-illegally-distributing-prescription	-
Roderique Thompson; Micah K. Baisden; Travis C. Crosby; Keith A. Maloney; Tabronx W. Smith; Thomas D. Wilson	1/28/2021	USDOJ	PPP fraud	Roderique Thompson, Micah Baisden, Travis Crosby, Keith Maloney, Tabronx Smith, and Thomas Wilson were charged with bank fraud, making false statements, money laundering, 2 and conspiracy to commit bank fraud for allegedly participating in a scheme to submit \$1.5 million in fraudulent Paycheck Protection Program (PPP) loans on behalf of five businesses based in Georgia and South Carolina.	18 USC §§ 1014, 1344, 1349, 1956, 2	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:21-cr-00011-UNA	-	-	-	https://www.usdoj.gov/usao-wdmo/pr/state-immolation-indicted-stem-cell-2786/download-fraud-scheme-illegally-distributing-prescription	-
Antonio D. Hosey; Timothy Williams; Stanley Dorcus; Kenneth L. Wright; Mark A. Stewart	1/28/2021	USDOJ	PPP fraud	Timothy Williams, Stanley Dorcus, Kenneth L. Wright, and Mark A. Stewart pleaded guilty to conspiracy to commit wire fraud and making false statements, and Antonio D. Hosey pleaded guilty to conspiracy to commit wire fraud and money laundering, for allegedly participating in a scheme to submit \$1.5 million in fraudulent Paycheck Protection Program (PPP) loans on behalf of five businesses based in Georgia and South Carolina.	-	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	Dorcus - 1:20-cr-00320; Hosey - 1:20-cr-00396; Stewart - 1:20-cr-00319; Williams - 1:20-cr-00339; Wright - 1:20-cr-00285	-	-	-	https://www.usdoj.gov/usao-wdmo/pr/state-immolation-indicted-stem-cell-2786/download-fraud-scheme-illegally-distributing-prescription	-
Didier K. Kindambu	1/28/2021	USDOJ	PPP fraud	Didier K. Kindambu pleaded guilty to bank fraud for allegedly fraudulently obtaining two loans for over \$2.5 million under the Paycheck Protection Program (PPP), which he then spent on non legitimate expenses including a Lexus automobile and Cessna aircraft, personal taxes, the down-payment on a luxury residence in Leesburg, and his day-to-day living expenses.	18 USC §§ 1001, 1344, 1957	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	1:20-cr-00260-RDA	-	-	-	https://www.usdoj.gov/usao-edva/pr/man-pleads-guilty-25-million-conspiracy-fraud	-
Jorge Abramovs	1/28/2021	USDOJ	PPP fraud	Jorge Abramovs was charged with bank fraud, making false statements, and money laundering for allegedly obtaining nearly \$2 million in Paycheck Protection Program (PPP) loans from seven different lenders by, among other things, submitting multiple loan applications in the names of three different businesses while falsely claiming to have numerous employees earning wages. The indictment further alleges that Abramovs used the PPP funds for personal (rather than business) purposes, including purchasing a Tesla, a Bentley, two condominiums, and paying his home mortgage.	18 USC §§ 1014, 1344	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:21-cr-00027-RFB-DIA	-	-	-	https://www.usdoj.gov/usao-dnv/pr/man-charged-25-million-covid-relief-fraud	-
Mitchacole Johnson; Larry Witherspoon	1/28/2021	USDOJ	Unemployment fraud	Mitchacole Johnson and Larry Witherspoon were charged with mail fraud, wire fraud, and conspiracy to commit mail and wire fraud for allegedly filing at least 66 claims for fraudulent Michigan unemployment insurance benefits, causing a loss to the state of over \$150,000.	18 USC §§ 1341, 1343, 1349	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:21-mj-30053-DUTY	-	-	-	https://www.usdoj.gov/usao-edmi/pr/three-defendants-charged-two-cases-unemployment-fraud-cases-combined-losses-exceed-half	-
Jordan Armstrong	1/28/2021	USDOJ	Unemployment fraud	Jordan Armstrong was charged with wire fraud, fraud in connection with access devices, and aggravated identity theft for allegedly filing fraudulent applications for unemployment insurance benefits on behalf of individuals in Michigan, California, and Pennsylvania.	18 USC §§ 1028A, 1029, 1343	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:21-mj-30035-DUTY	-	-	-	https://www.usdoj.gov/usao-edmi/pr/three-defendants-charged-two-cases-unemployment-fraud-cases-combined-losses-exceed-half	-
Joseph Ransome	1/27/2021	USDOJ	Unemployment fraud	Joseph Ransome pleaded guilty to wire fraud for defrauding the COVID-19 Unemployment Insurance (UI) benefit program in multiple states after filing for UI benefits based on fraudulent claims, including non-existent employment and false reason for termination.	18 USC § 1343	North Carolina	W.D.N.C.	Criminal enforcement	Yes	No	No	3:21-cr-00022-RIC-OSC	-	-	-	https://www.usdoj.gov/usao-wdnc/pr/indian-trail-man-pleads-guilty-wire-fraud-scheme-defraud-covid-19-unemployment	-
Keith Nicoletta	1/27/2021	USDOJ	PPP fraud	Keith Nicoletta pleaded guilty to conspiracy to launder stolen COVID relief funds after participating in a scheme to steal more than \$1.9 million in emergency loan funds from the Paycheck Protection Program (PPP). The fraudulent PPP loan application claimed that Nicoletta's local business had 69 employees with a purported monthly payroll exceeding \$760,000—or more than \$9 million annually. In fact, the business had no employees and its address was actually Nicoletta's home.	18 USC §§ 1344, 1957	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:20-mj-02027-ISS	-	-	-	https://www.usdoj.gov/usao-mdfl/pr/sarasota-city-man-admits-stealing-and-hoarding-covid-relief-funds	-
Kenneth Bryan Ritchey	1/27/2021	USDOJ	Price gouging	Kenneth Bryan Ritchey was charged with conspiracy to commit wire fraud and mail fraud, conspiracy to defraud the United States, conspiracy to commit hoarding of designated scarce materials, and hoarding of designated scarce materials for allegedly participating in a scheme to defraud health care providers, including the VA, of more than \$1.8 million by acquiring PPE and other designated materials from all possible sources, including home improvement stores and online retailers, and ultimately hoarding the same.	18 USC §§ 371, 1349, 4512	Mississippi	S.D. Miss.	Criminal enforcement	Yes	No	No	1:21-cr-00006-HSO-RPM	-	-	-	https://www.usdoj.gov/usao-sdm/pr/birmingham-man-charged-scheme-release-file-136-board-personal-protective-equipment-and-price-gouage-health-care	-
PPE Supplies, LLC; Casey Bradford	1/26/2021	Oklahoma AG	Consumer fraud	PPE Supplies allegedly breached its contract with the Oklahoma Department of Health after failing to deliver four orders of personal protective equipment (PPE) and Casey Bradford allegedly misrepresented facts to the state that if he were paid up front for half the cost of one purchase order, he could expedite the shipment of protective masks for delivery to the state within two weeks.	-	Oklahoma	Oklahoma County District Court	Civil enforcement	Yes	Yes	No	CS-2021-366	-	-	-	https://www.ok.gov/articles/civil-a-district-court/lorry-general-hunter-files-petition-seeking-money-bailed-ppe-order	https://www.ok.gov/articles/civil-a-district-court/lorry-general-hunter-files-petition-seeking-money-bailed-ppe-order

US Fed Group, LLC (a/k/a United States Fed Group, LLC Treasury Department, US FED Treasury Department, United States Fed Group, US Fed Group, United States Fed Group, United States Fed Group Consortium Syndicate, US FED Group Business Solutions; Trade-Backed Equity LLC; Alex St. James Railey Cisco (a/k/a Alex St. James, William Sir Knight AlexSt. James)	1/22/2021	USDOJ	Consumer fraud	The Department of Justice sought to enjoin US Fed Group, LLC, Trade-Backed Equity LLC, and Alex St. James Railey Cisco from further committing wire fraud for allegedly participating in a scheme to induce a US company to wire \$2,432,250 to US Fed Group to purchase 3M N95 face masks from US Fed Group. But US Fed Group allegedly did not provide the masks and did not return the money, although it did transfer the money to an account controlled by Trade-Backed Equity that was owned by St. James.	18 USC § 1343	Iowa	N.D. Iowa	Civil enforcement	Yes	Yes	No	1:21-cv-00008-CJW-MAR	-	-	-	US Fed Group, et al complaint	-
Dennis George Chinn	1/21/2021	USDOJ	Unemployment fraud	Dennis George Chinn has pleaded guilty and has been sentenced to three months in federal prison in connection with unemployment fraud to obtain benefits related to COVID-19 relief funds. Chinn fraudulently obtained over \$13,000 in unemployment benefits. He has been sentenced to three months in prison and a two-year term of supervised relief, along with being ordered to pay \$12,231 in restitution.	18 USC § 641	Iowa	N.D. Iowa	Criminal enforcement	Yes	No	No	5:20CR04082-001	-	3 months imprisonment; 2 years supervised relief; restitution	https://www.justice.gov/usao-ndia/pr/26-may-man-arrested-federal-prison-covid-19-related-unemployment-fraud	Chinn, information	-
Johnny T. Stine	1/21/2021	USDOJ	Consumer fraud	Johnny Stine has been charged with introducing misbranded drugs into interstate commerce. Starting in March 2020, Stine made representations that he had a COVID-19 vaccine available for sale. An undercover investigation revealed that Stine claimed to have a vaccine and injected or offered to inject multiple people with the purported vaccine.	-	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	MI-21-025	March through August 2020	-	https://www.justice.gov/usao-wd-wash/pr/20-jan-johnny-stine-complained	Stine complaint	-
Lucy Oworae and Richard Oworae	1/21/2021	USDOJ	EIDL fraud	Lucy and Richard Oworae have been arrested and charged in connection with allegedly fraudulent applications for business loans in violation of the CARES Act. They allegedly spent the loans that they obtained on personal expenses. According to charging documents, the Oworaes created fictitious companies in order to apply for EIDL loans totaling approximately \$194,700.	18 USC § 1343, 18 USC § 1001	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	4:21-mj-04014-DHH	July through August 2020	-	https://www.justice.gov/usao-ma/pr/20-jan-lucy-and-richard-oworae-complained	Oworae, complaints	-
Gauravjit Singh	1/20/2021	USDOJ	Consumer fraud	Gauravjit Singh has been charged with one count of wire fraud in connection with his claims to be a purveyor of PPP. Singh and his victims entered into an agreement whereby Singh's purported business would provide the victims with 1.5 million medical gowns for \$7.125 million. Singh never purchased or delivered the medical gowns, instead using the deposit paid by the victims for online gaming and other personal expenses.	18 USC § 1343	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	May 2020	-	https://www.justice.gov/usao-nj/pr/20-jan-gauravjit-singh-complained	Singh, release file/1357316/download	-
P&K Brothers, LLC, d/b/a Boxofresell; Phan Tran	1/19/2021	Pennsylvania AG	Price gouging	P&K Brothers LLC and its managing member Phan Tran have been charged with selling N95 masks at unconscionably excessive prices through Amazon.	73 P.S. § 232.1, 201-1	Pennsylvania	Court of Common Pleas, Philadelphia County	Civil enforcement	Yes	Yes	No	-	March 2020	-	-	-	-
\$365,600.00 from Investor Bank	1/18/2021	USDOJ	PPP fraud	The Department of Justice brought a civil forfeiture action against \$365,600.00 from Investor Bank as property traceable to wire fraud and bank fraud. The fraud was allegedly committed by Richard Hebert and Elvin Sterling after they allegedly submitted fraudulent Paycheck Protection Program (PPP) loan applications on behalf of a construction company, which were then approved and funded for \$365,600.	18 USC §§ 1343, 1344	Louisiana	N.D. La.	Civil forfeiture	No	No	No	3:21-cv-00042-JWD-EWD	-	-	-	\$365,600.00 from Investor Bank complaint	-
Colonial Automotive Group, Inc.	1/15/2021	Massachusetts AG	Unemployment Fraud	Attorney General Healey announced that Colonial Automotive Group has agreed to pay \$1 million in penalties for allegedly taking advantage of unemployment benefits during the COVID-19 pandemic. Colonial allegedly encouraged furloughed employees to apply for benefits through the state Department of Unemployment Assistance and requested that these same employees continue to work without pay.	-	Massachusetts	Suffolk Superior Court	Assurance of Discontinuance	No	Yes	-	-	-	\$1 million payment to the state general fund	Colonial Automotive to Pay \$1 Million for Exploiting State Unemployment System During the Pandemic, I. Mass.gov	-	-
Colonial Automotive Group, Inc.	1/15/2021	Massachusetts AG	Unemployment fraud	Attorney General Healey announced that Colonial Automotive Group has agreed to pay \$1 million in penalties for allegedly taking advantage of unemployment benefits during the COVID-19 pandemic. Colonial allegedly encouraged furloughed employees to apply for benefits through the state Department of Unemployment Assistance and requested that these same employees continue to work without pay.	-	Massachusetts	Suffolk Superior Court	Assurance of Discontinuance	No	Yes	-	-	-	\$1 million payment to the state's general fund	Colonial Automotive to Pay \$1 Million for Exploiting State Unemployment System During the Pandemic, I. Mass.gov	-	-
Samuel Yates	1/15/2021	USDOJ	PPP fraud	Samuel Yates was charged with wire fraud for allegedly filing bank loan applications fraudulently seeking more than \$5 million dollars in forgivable loans through the Paycheck Protection Program (PPP) guaranteed by the Small Business Administration (SBA) under the Coronavirus Aid, Relief, and Economic Security (CARES) Act. Yates allegedly claimed to have over 400 employees earning wages when, in fact, no employees worked for his purported business.	18 USC § 1343	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	5:21-cr-00003-RWS-CMC	-	-	https://www.justice.gov/usao-cv-tx/pr/20-jan-samuel-yates-complained	Yates, complaint	-
Rodney L. Stevenson II	1/14/2021	USDOJ	Consumer fraud	Rodney L. Stevenson II was charged with wire fraud, mail fraud, and money laundering for allegedly operating an e-commerce site that claimed to have N95 masks for sale during the current COVID-19 pandemic, although Stevenson and his company allegedly delivered almost none of the masks and generally refused to refund customers.	18 USC §§ 1341, 1343, 1956, 1957	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:21-cr-00018-CRB	-	-	https://www.justice.gov/usao-cv-ca/pr/20-jan-rodney-l-stevenson-complained	Stevenson, complaint	-
Clifton Page; Sally Jung	1/13/2021	USDOJ	EIDL fraud	Clifton Page and Sally Jung were charged with major fraud against the United States for allegedly filing falsified Economic Injury Disaster Loan (EIDL) applications with the Small Business Administration (SBA). For example, in June and July 2020, Page and Jung allegedly filed 222 EIDL applications, all of which purported to be for businesses with exactly ten employees - the minimum number of employees required to obtain the maximum EIDL advance of \$10,000. From those 222 applications, the SBA allegedly issued 130 EIDL advances in the amount of \$10,000—\$1.3 million total.	18 USC § 1031	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	5:21-MJ-00007-CMC	-	-	https://www.justice.gov/usao-cv-tx/pr/20-jan-clifton-page-and-sally-jung-complained	Page, Jung, complaint	-
Roosevelt Fernandez	1/12/2021	USDOJ	Identity theft	Roosevelt Fernandez was charged with wire fraud and aggravated identity theft for, inter alia, allegedly depositing someone else's Economic Income Payment provided by the Coronavirus Aid, Relief, and Economic Security Act.	18 USC §§ 1028A, 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-mj-04290-DHH	-	-	https://www.justice.gov/usao-ma/pr/20-jan-roosevelt-fernandez-complained	Fernandez, complaint	-
SlideBelts Inc.; Brigham Taylor	1/12/2021	USDOJ	PPP fraud	SlideBelts Inc. and its president and CEO Brigham Taylor entered into a settlement with the government to resolve allegations that they made false statements to federally insured banks that SlideBelts was not in bankruptcy in order to influence those banks to approve, and the Small Business Administration (SBA) to guarantee, a Paycheck Protection Program loan to SlideBelts.	12 USC § 1818a; 31 USC §§ 3729-3733	California	N.D. Cal.	Civil enforcement	Yes	Yes	No	-	-	\$100,000 penalty, which includes \$17,500 of restitution from Taylor	https://www.justice.gov/usao-cv-ca/pr/20-jan-slidebelts-inc.-brigham-taylor-complained	Taylor, complaint	-
Joule Pharmacy Group, Inc. (d/b/a Joule Wellness Pharmacy)	1/12/2021	Virginia AG	Price gouging	The Virginia AG entered into a settlement with Joule Pharmacy Group, Inc. after Joule Pharmacy allegedly violated the Virginia Post-Disaster Anti-Price Gouging Act by charging \$22 per bottle for 16-oz bottles of rubbing alcohol, a price level that greatly exceeded the price level at which the same or similar products were offered for sale in the business's trade area during the 10-day period before the state of emergency was declared.	VC §§ 59.1-525 to 59.1-529.1	Virginia	Arlington County Circuit Court	Assurance of voluntary compliance	No	Yes	No	-	-	Pay \$2,500 in civil penalties and attorneys' fees; disgorge all profits made from the offending sales	https://www.sos.state.va.us/office-of-the-attorney-general/press-releases/2021-01-14-joule-pharmacy-settlement	Joule Pharmacy, Filed.pdf	-

Giraldo Caraballo	1/8/2021	USDOJ	PPP fraud	Giraldo Caraballo was charged with bank fraud, making false statements, and money laundering for allegedly applying for and receiving approximately \$420,000 in a Paycheck Protection Program (PPP) loan from a bank on behalf of Professional Skills Inc., a company that he controlled. Caraballo allegedly falsely claimed on the PPP loan application that the company had 28 employees and an average monthly payroll of \$168,000. Caraballo also allegedly applied for and received approximately \$55,000 in Economic Injury Disaster Loan (EIDL) relief. In his EIDL application, Caraballo allegedly falsely claimed that his company had four employees and a 12-month gross revenue of \$180,000, when in fact, Caraballo's company had zero employees and no payroll expenses.	18 USC §§ 1014, 1344, 1957	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-21-mj-02014-JB	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Andrew Aaron Lloyd; Russell A. Schort	1/7/2021	USDOJ	PPP fraud	Andrew Aaron Lloyd and Russell A. Schort were charged with wire fraud, bank fraud, and money laundering for allegedly taking advantage of economic relief programs administered by the Small Business Administration (SBA), including Economic Injury Disaster Loans (EIDLs) and the Paycheck Protection Program (PPP). The two allegedly applied for and received at least three PPP loan payments using three separate entities, totaling more than \$2.2 million and after receiving the funds, Lloyd transferred at least \$1.8 million to a personal online brokerage account and purchased various securities.	18 USC §§ 1343, 1344, 1957	Oregon	D. Or.	Criminal enforcement	Yes	No	No	6-21-mj-00001-MK	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Carlos Smith	1/7/2021	USDOJ	PPP fraud	Carlos Smith was charged with wire fraud, making false statements, and money laundering for allegedly engaging in fraud related to the Paycheck Protection Program (PPP) and the Economic Injury Disaster Loan Program (EIDL). Smith allegedly applied for and obtained \$270,000 in PPP funds for CLS Financial Services Inc., an Indiana-based company that he owned. In his loan application, Smith allegedly stated that the company had 61 employees and an average monthly payroll of \$108,000, even though Smith knew the company had no actual employees and no payroll expenses, the indictment states. Smith also allegedly fraudulently represented in the application that he was not convicted of a felony criminal offense within the last five years, even though he knew he had been convicted of such an offense. Smith similarly allegedly obtained \$153,900 in EIDL loans by stating in the application that his company had two employees and \$1.8 million in gross revenue last year, even though he knew the company had no such employees or revenue, the indictment states.	18 USC §§ 1014, 1343, 1957	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	20cr922	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
David Unitan (a/k/a Danny Cohen)	12/22/2020	USDOJ	PPP fraud	David Unitan, a/k/a Danny Cohen, was charged with aggravated identity theft, wire fraud, and money laundering for allegedly submitting six Economic Injury Disaster Loans (EIDL) applications using another individual's social security number. Of the six applications, two were funded for a total of \$295,000, and the funds were allegedly routed to bank accounts that were then used to purchase personal vehicles for Unitan.	-	Oregon	D. Or.	Criminal enforcement	Yes	No	No	3-20-mj-00293	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Jeremy Clawson	12/22/2020	USDOJ	PPP fraud	Jeremy Clawson was charged with theft of government property for allegedly using proceeds from a Small Business Administration (SBA) Economic Injury Disaster Loans (EIDL) loan totaling \$145,200 to withdraw \$49,905 in the form of a cashier's check to purchase a 2016 Dodge Challenger. The loan was made for the benefit of Halperin Manufacturing Company in San Diego, California, though there is allegedly no record of any such company.	-	Oregon	D. Or.	Criminal enforcement	Yes	No	No	3-20-mj-00294	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Smokehouse Distribution	12/21/2020	Michigan AG	Price gouging	Smokehouse Distribution entered into an assurance of voluntary compliance with the Michigan Attorney General after allegedly advertising excessively priced face masks for sale on a website called BeamersSmoke.	MCL 445.901 et seq	Michigan	Oakland County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20-181665-CZ	-	-	https://www.michigan.gov/ag/0,4,7334_7_3339_92297_99936_248009_00.html	https://www.michigan.gov/ag/0,4,7334_7_3339_92297_99936_248009_00.html
Bryan Robinson	12/21/2020	USDOJ	PPP fraud	Bryan Robinson was charged with wire fraud and engaging in transactions in unlawful proceeds for allegedly perpetrating a scheme to submit a fraudulent Economic Injury Disaster Loan (EIDL) application to the SBA and a fraudulent Paycheck Protection Program (PPP) loan application to a financial technology company. Robinson allegedly submitted two fraudulent applications in the name of ATeam LLC, which is a dance company, for: (1) a PPP loan for approximately \$1,502,000; and (2) an EIDL for approximately \$150,000. The loan applications represented that ATeam had 37 employees, significant payroll expenses, and substantial revenue. But ATeam in fact was a dance company and did not pay any wages.	-	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2-20-mj-00766-EIY	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Leon Miles	12/21/2020	USDOJ	PPP fraud	Leon Miles was charged with wire fraud for allegedly submitting a false application to the Paycheck Protection Program (PPP) for a \$1,904,593.00 PPP loan on behalf of a limited liability company he owns in Brooklyn, New York, falsely claiming that the company had 50 employees and a total average monthly payroll of \$761,838.00.	18 USC § 1343	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	20-mj-1234	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Markhwan Berning	12/21/2020	USDOJ	Unemployment fraud	Markhwan Berning was charged with false representation of a social security number for allegedly obtaining unemployment insurance benefits, including newly created federal pandemic-related benefits, by falsely representing to the New York State Department of Labor a fake social security number as his own.	-	New York	N.D.N.Y.	Criminal enforcement	Yes	No	No	1-20-CR-379(LEK)	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Peter Khaim; Arkady Khaimov	12/21/2020	USDOJ	Medicare fraud	Peter Khaim and Arkady Khaimov were charged with conspiracy to commit health care fraud and wire fraud and conspiracy to commit money laundering. Khaim was charged with concealment money laundering and aggravated identity theft, and Khaimov was charged with concealment money laundering for their roles in a \$30 million health care fraud and money laundering scheme, in which they exploited emergency codes and edits in the Medicare system that went into effect due to the COVID-19 pandemic in order to submit fraudulent claims for expensive cancer drugs that were never provided, ordered, or authorized by medical professionals.	18 USC §§ 1028A, 1349, 1956, 3551, 2	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1-20-cr-00580-AMD	-	-	https://www.justice.gov/usdoj-crm/frs/record/criminal-relief-fraud-0	-
Big Brother Security Programs, Inc.; Shelley Palmer	12/21/2020	Vermont AG	Price gouging	Big Brother Security Programs, Inc. and Shelley Palmer were charged with violating the Vermont Consumer Protection Act for allegedly engaging in price gouging of personal protective equipment during the COVID-19 crisis by importing surgical masks that cost 30 cents each and re-sold them to Central Vermont Medical Center for \$2.50 each.	9 V.S.A. § 2451 et seq.	Vermont	Ottisenden Superior Court	Civil enforcement	Yes	No	No	326-4-20 Crvc	-	-	https://ago.vermont.gov/blog/2020/04/13/attorney-general-press-release-covid-19-price-gouging-cases/	https://ago.vermont.gov/wp-content/uploads/2020/04/Palm-Complaint.pdf
Decision Diagnostics Corp.; Keith Berman	12/18/2020	SEC	Securities fraud	Decision Diagnostics Corp. and its CEO Keith Berman were charged with allegedly making false and misleading claims in numerous press releases that the company had developed a working, break-through technology that could accurately detect Covid-19 through a quick blood test, when Decision Diagnostics allegedly lacked a proven method for detecting the virus and had no physical testing device and its advisors had warned that the testing kit they were trying to manufacture would not work as Decision Diagnostics had described.	15 USC § 78j; 17 CFR § 240.10b-5	New York	S.D.N.Y.	Civil enforcement	Yes	Yes	Yes	1-20-cv-10658	-	-	https://www.sec.gov/news/press/2020/2020-177	https://www.sec.gov/Investigation/Complaints/2020/177.pdf

Adam Winston James	12/18/2020	USDOJ	PPP fraud	Adam Winston James was charged with aggravated identity theft for allegedly applying for a 18 USC § 1028A Paycheck Protection Program (PPP) loan under false pretenses. James allegedly applied on behalf of a company he claimed to own and operate, Velocity Innovations LLC. As part of the application, James allegedly used the identification of at least seven other people without their knowledge, fraudulently claiming they were employees of Velocity Innovations LLC. James allegedly received \$125,900 from the bank as a result of the scheme.	7 USC § 136j	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	4-20-cr-00334-CVE	-	-	https://www.justice.gov/usao-ndok/jpr/two-men-charged-after-fraudulent-applying-paycheck-protection-program-loans	-
Jiule Lin	12/18/2020	USDOJ	Consumer fraud	Jiule Lin pleaded guilty to distributing and selling an unregistered pesticide after he listed for sale on eBay an unregistered pesticide, "Toamit Virus Shut Out," to buyers across the United States. Based on Lin's eBay listing, the pesticide took the form of a card-shaped device to be worn as a lanyard around the user's neck.	18 USC § 1344	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-cr-10210-JCB	-	-	https://www.justice.gov/usao-ma/jpr/press-release/file/134-charged-covid-19-7111/download-related-press-file-fraud-scheme	-
Keith Berman	12/18/2020	USDOJ	Securities fraud	Keith Berman was charged with securities fraud and making false statements for allegedly engaging in a scheme to defraud investors by falsely claiming his company Decision Diagnostics Inc. had developed a 15-second test to detect COVID-19 in a finger prick sample of blood. In truth, Berman allegedly knew his test was merely an idea and not a validated method of accurately detecting COVID-19, much less an actual product ready for manufacture and sale.	15 USC § 78f, 78ff; 18 USC § 1001; 17 CFR § 240.10b-5	District of Columbia	D.D.C.	Criminal enforcement	Yes	No	No	1:20-cr-00278-TNM	-	-	https://www.justice.gov/usao-dc/press-release/file/134-charged-covid-19-7111/download-related-press-file-fraud-scheme	-
mordernrx.com; regeneronmedical.com	12/18/2020	USDOJ	Consumer fraud	The US Attorney's Office for the District of Maryland seized "mordernrx.com" and "regeneronmedical.com," which purported to be the websites of actual biotechnology companies developing treatments for the COVID-19 virus, but instead appeared to have been used to allegedly collect the personal information of individuals visiting the sites, in order to use the information for nefarious purposes, including fraud, phishing attacks, and/or deployment of malware	-	Maryland	D.M.D.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-md/jpr/maryland-us-attorney-claims-sites-serve-domain-names-purporting-be-websites	-
Rafael Maturino	12/18/2020	USDOJ	PPP fraud	Rafael Maturino was charged with bank fraud for allegedly applying for a Paycheck Protection Program (PPP) loan under false pretenses. Maturino allegedly applied for a PPP loan on behalf of a company he claimed to own and operate, Maturino Enterprises, Inc., and submitted forms that allegedly misrepresented the company's payroll expenditures, amount of taxes paid, and the number of people employed. As a result of his alleged scheme, he received \$97,800 from the bank.	18 USC § 1344	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	4-20-cr-00334-CVE	-	-	https://www.justice.gov/usao-ndok/jpr/two-men-charged-after-fraudulent-applying-paycheck-protection-program-loans	-
Tiffany Pacheco (a/k/a Tiffany Taverty); Arthur Pacheco	12/18/2020	USDOJ	Unemployment fraud	Tiffany Pacheco (a/k/a Tiffany Taverty) and Arthur Pacheco were charged with conspiracy to commit wire fraud, and Tiffany Pacheco was charged with wire fraud, for allegedly claiming Pandemic Unemployment Assistance (PUA) funds for which they were not eligible. Tiffany Pacheco submitted inflated unemployment assistance claims for herself and Arthur, even though Arthur was ineligible because he was incarcerated in Texas at the time Tiffany submitted his claim.	18 USC §§ 1343, 1349	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-mj-04371-DHH; 1:20-mj-04372-DHH	-	-	https://www.justice.gov/usao-ma/jpr/former-department-unemployment-assistance-employee-and-husband-arrested-fraud-charges	-
Andrea M. Gervais (a/k/a Andrea M. Dangerfield)	12/17/2020	USDOJ	Unemployment fraud	Andrea M. Gervais was charged with mail fraud for allegedly participating in a scheme involving approximately 100 fraudulent Pandemic Unemployment Assistance (PUA) claims in the names of persons other than Gervais. At least 12 of the 100 claims were allegedly processed for payment, and over \$200,000 in PUA benefits were allegedly paid out to Gervais's Roseville address in the form of Bank of America debit cards.	18 USC § 1341	California	E.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-00189-AC	-	-	https://www.justice.gov/usao-edca/press-release/file/134-charged-covid-19-6736/download-benefit-fraud-schemes-charged-eastern-district-california	-
Celestine Coletta Strong; Jawuan Polk; Patrick Earl Lewis; Ty'zhoun Marqui Lewis	12/17/2020	USDOJ	PPP fraud	Celestine Coletta Strong, Jawuan Polk, Patrick Earl Lewis, and Ty'zhoun Marqui Lewis were charged with conspiracy, wire fraud, and money laundering for allegedly participating in a scheme to fraudulently obtain loans from the CARES Act Paycheck Protection Program (PPP). The four allegedly conspired to submit loan applications with falsified employee and wage information, fake bank statements, and other false information in an attempt to obtain 15 different loans totaling more than \$3.5 million in loan proceeds.	18 USC § 1343, 1349, 1957	Arizona	D. Az.	Criminal enforcement	Yes	No	No	2:20-mj-05300-DMF	-	-	https://www.justice.gov/usao-ar/jpr/two-charged-covid-19-fraud	-
Izzat Freitekh; Tarik Freitekh (a/k/a Tarek Freitekh)	12/17/2020	USDOJ	PPP fraud	Izzat Freitekh and Tarik Freitekh were charged with conspiracy to commit wire fraud and bank fraud, and Izzat Freitekh was charged with making false statements, for allegedly participating in a scheme to obtain, through multiple fraudulent loan applications, more than \$1.7 million in COVID-19 relief guaranteed by the Small Business Administration through the Paycheck Protection Program (PPP). Both allegedly submitted and caused to be submitted fraudulent PPP loan applications on behalf of three sets of companies, and Izzat Freitekh allegedly made materially false statements to law enforcement agents that a company called "Cyber Capital" was responsible for submitting the PPP loan applications at issue.	18 USC §§ 1001, 1343, 1344, 1349, 2	North Carolina	W.D.N.C.	Criminal enforcement	Yes	No	No	3:20-cr-00435	-	-	https://www.justice.gov/usao-wdnc/jpr/two-charged-covid-19-fraud	-
Nyika Gomez	12/17/2020	USDOJ	Unemployment fraud	Nyika Gomez was charged with fraud and identity theft for allegedly conspiring to submit fraudulent pandemic unemployment insurance claims for California state prisoners and out-of-state residents whose identifying information was stolen.	-	California	S.D. Cal.	Criminal enforcement	Yes	No	No	3:20-mj-05356-KSC	-	-	https://www.justice.gov/usao-sdca/jpr/two-charged-covid-19-fraud	-
Sholanda Thomas; Christina Smith	12/17/2020	USDOJ	Unemployment fraud	Sholanda Thomas and Christina Smith were charged with conspiracy to commit mail fraud and aggravated identity theft charges for the submission of several fraudulent California Employment Development Department (EDD) unemployment insurance claims in Thomas' and other Central California Women's Facility (CCWF) inmates' names.	18 USC §§ 1028A, 1349	California	E.D. Cal.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-edca/jpr/two-charged-covid-19-fraud	-
Bryon Jones	12/16/2020	USDOJ	EIDL fraud	Bryon Jones was charged with wire fraud, making false statements, and engaging in monetary transactions in criminally derived property for allegedly submitting a fraudulent loan application for an Economic Injury Disaster Loan (EIDL) sponsored by the United States Small Business Administration (SBA) that resulted in the disbursement of \$142,900 in federal emergency relief funds. Jones, who at the time was on federal supervised release for a previous felony conviction, submitted a loan application that contained false statements and misrepresentations regarding his company's revenues, costs, and employees and his prior criminal record.	18 USC §§ 1014, 1343, 1957	North Carolina	W.N.C.	Criminal enforcement	Yes	No	No	3:20-cr-00438-RIC-DSC	-	-	https://www.justice.gov/usao-wnc/jpr/two-charged-covid-19-relief-loan-fraud	-
Cara Marie Kirk-Connell	12/16/2020	USDOJ	Unemployment fraud	Cara Marie Kirk-Connell pleaded guilty to fraud and related activity in connection with access devices for knowingly using approximately 50 unauthorized access devices. Kirk-Connell used stolen personal identifiable information, such as dates of birth and Social Security numbers, to apply for unemployment insurance benefits in the names of other people, and obtained from the California Employment Development Department (EDD) multiple debit cards that contained more than \$500,000 in COVID-related unemployment benefits to which she was not entitled.	18 USC § 1029	California	C.D. Cal.	Criminal enforcement	Yes	No	No	5:20-cr-00210-AB	-	37 months incarceration	https://www.justice.gov/usao-cdca/jpr/judge-pleads-guilty-federal-criminal-charges-fraudulently-obtaining-over-500000	Kirk-Connell plea, agreement

Maurice Mills	12/16/2020	USDOJ	Unemployment fraud	Maurice Mills was charged with wire fraud for allegedly submitting unemployment insurance benefits claims on behalf of approximately 20 individuals to the State of New York, although many of these claims were in the names of individuals located in Texas and directed the benefits to be sent to locations in New Jersey.	18 USC § 1343	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	20-8426 (IAD)	-	-	https://www.justice.gov/usao-cdny/press-releases-man-charged-stealing-unemployment-insurance-benefits	https://www.justice.gov/usao-cdny/press-releases-man-charged-stealing-unemployment-insurance-benefits	-
Melvin Stout	12/16/2020	USDOJ	PPP fraud	Melvin Stout pleaded guilty to making a false statement on a loan application to obtain money through the Small Business Administration's Paycheck Protection Program (PPP). In connection with a scheme that falsely represented ownership of small businesses, which he and others alleged were eligible for PPP funds.	-	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	5:20-cr-50073-001	-	-	https://www.justice.gov/usao-ark/stout-information	https://www.justice.gov/usao-ark/stout-information	-
Matthew Jason Welch	12/15/2020	USDOJ	PPP fraud	Matthew Jason Welch pleaded guilty to wire fraud for attempting to illegally obtain \$35,000 from the Paycheck Protection Program (PPP) by submitting a false PPP loan application to one of the participating lenders. Welch falsely certified in the application that he was not "subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction" when he was actually subject to felony criminal charges in Montana and Wyoming.	18 USC § 1343	Montana	D. Mont.	Criminal enforcement	Yes	No	No	1:20-cr-00103-DLC	-	-	https://www.justice.gov/usao-mt/press-releases-man-charged-fraudulent-ppp-loan	https://www.justice.gov/usao-mt/press-releases-man-charged-fraudulent-ppp-loan	Welch plea agreement
Azhar Sarwar Rana	12/14/2020	USDOJ	PPP fraud	Azhar Sarwar Rana was charged with bank fraud and money laundering for allegedly fraudulently obtaining a federal Paycheck Protection Program (PPP) loan of over \$5 million. Rana allegedly submitted a fraudulent PPP loan application to a lender on behalf of a corporate entity, Azhar Sarwar Rana LLC, that purportedly invested in real estate development.	18 USC §§ 1344, 1957, 2	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	20-12468	-	-	https://www.justice.gov/usao-nj/press-releases-man-charged-fraudulently-obtaining-5-million-loan	https://www.justice.gov/usao-nj/press-releases-man-charged-fraudulently-obtaining-5-million-loan	-
Robert Sloan Mateer	12/11/2020	USDOJ	Unemployment fraud	Robert Sloan Mateer was charged with possessing unauthorized access devices with intent to defraud and aggravated identity theft, inter alia, for allegedly using stolen identities to fraudulently obtain unemployment insurance benefits, which he later used to purchase a Maserati luxury SUV.	18 USC § 1028A	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-cr-00580-ODW	-	-	https://www.justice.gov/usao-cdca/press-releases-man-who-allegedly-bought-maserati-suv-fraudulently-obtained-unemployment-benefits	https://www.justice.gov/usao-cdca/press-releases-man-who-allegedly-bought-maserati-suv-fraudulently-obtained-unemployment-benefits	Mateer indictment
Besim Kukaj	12/10/2020	USDOJ	PPP fraud	Besim Kukaj was charged with bank fraud conspiracy, inter alia, for allegedly participating in a scheme to obtain Government-guaranteed loans from FDIC-insured banks through the Paycheck Protection Program (PPP) by means of false and fraudulent pretenses, representations, and documents.	18 USC §§ 371, 875, 1349	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-sdny/press-releases-man-charged-fraudulently-obtaining-ppp-loans	https://www.justice.gov/usao-sdny/press-releases-man-charged-fraudulently-obtaining-ppp-loans	-
Ngoc Manh Nguyen (a/k/a Peter Nguyen); Victoria Diezy Ho (a/k/a Vicky Ho); Dat Tat Ho	12/10/2020	USDOJ	PPP fraud	Ngoc Manh Nguyen, a/k/a "Peter Nguyen," Victoria Diezy Ho, and Dat Tat Ho were charged with major fraud against the United States, conspiracy to commit wire fraud and bank fraud, and conspiracy to make false statements for allegedly participating in a fraudulent scheme to obtain over \$13 million in Government-guaranteed loans designed to provide relief to small businesses during the novel coronavirus/COVID-19 pandemic. In connection with loan applications for COVID-19 relief available from the Paycheck Protection Program ("PPP") of the Small Business Administration, the defendants falsely and grossly overstated the number of employees and payroll at their nail salons and other businesses through fraudulent and doctored payroll and tax records in order to obtain larger loans.	18 USC §§ 371, 1031, 1349, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	20-MAG-12908	-	-	https://www.justice.gov/usao-sdny/press-releases-man-charged-fraudulently-obtaining-13-million-loans	https://www.justice.gov/usao-sdny/press-releases-man-charged-fraudulently-obtaining-13-million-loans	-
Zachary Puznak; Zenger LLC	12/9/2020	Indiana AG	Consumer fraud	The Indiana Attorney General sued Zachary Puznak and Zenger LLC under the Indiana Deceptive Consumer Sales Act and Indiana False Claims Act for allegedly undertaking a scheme to fraudulently obtain hundreds of millions of dollars from the State of Indiana during the COVID-19 pandemic by offering to sell N95 respirator masks on behalf of 3M, when they had no intention to do so.	Indiana Code §§ 24-5-0.5-1 et seq; 5-11-5.5-1 et seq	Indiana	Marion County Circuit/Superior Court	Civil enforcement	Yes	Yes	No	49013-2012-PL-041285	-	-	https://events.in.gov/event/ag-claims-hill-journal-s-eval19-scam	https://events.in.gov/event/ag-claims-hill-journal-s-eval19-scam	-
Scott Suber	12/9/2020	USDOJ	PPP fraud	Scott Suber pleaded guilty to bank fraud for submitting a fraudulent application for a Paycheck Protection Program (PPP) loan, and then using the loan proceeds for his own personal benefit, including making large cash withdrawals and travel to Las Vegas. The application falsely represented that Suber's business, Debris or Not Debris Property Preservation, Inc., had eight employees and an average monthly payroll of \$140,000, and in support of the fraudulent application, a false Form 941, Employer's Quarterly Federal Tax Return was submitted.	18 USC § 1344	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	2:20-cr-00110-RGD-LRL	-	-	https://www.justice.gov/usao-edva/press-releases-man-pleads-guilty-paycheck-protection-program-fraud	https://www.justice.gov/usao-edva/press-releases-man-pleads-guilty-paycheck-protection-program-fraud	Suber plea agreement
Robert Decker	12/7/2020	New York AG	Unemployment fraud	Robert Decker faced an 11-count indictment in connection with his alleged theft of more than \$6,000 in unemployment insurance benefits issued by the state of New York under the Coronavirus Aid, Relief, and Economic Security Act. Despite working almost every day during a 10-week period, Decker allegedly still filed 10 false weekly re-certifications for eligibility for unemployment insurance, asserting that he had not worked a single day during any of those weeks.	-	New York	-	Civil enforcement	Yes	No	No	-	-	-	https://ny.nyc.gov/site/press-releases/2020/let-survey-general-james-labor-commissioners-read-on-and-state-police-acting	https://ny.nyc.gov/site/press-releases/2020/let-survey-general-james-labor-commissioners-read-on-and-state-police-acting	-
Charles J. Jones	12/7/2020	USDOJ	EIDL fraud	Charles J. Jones was charged with fraud in connection with major disaster or emergency benefits as well as wire fraud for allegedly submitting an application for an Economic Injury Disaster Loan for a business, known as "Just Like Jilla," which did not exist. In addition, Jones allegedly denied having been convicted of a felony within five years of when he completed the loan application. The Small Business Administration allegedly wired approximately \$160,000 in loan proceeds into Jones' bank account.	18 USC §§ 1040, 1343	Illinois	C.D. Ill.	Criminal enforcement	Yes	No	No	3:20-cr-30073-SEM-TSH	-	-	https://www.justice.gov/usao-cdill/press-releases-man-indicted-charges-fraud-related-small-business-administration	https://www.justice.gov/usao-cdill/press-releases-man-indicted-charges-fraud-related-small-business-administration	Jones indictment
Desmond Fodje Bobga	12/7/2020	USDOJ	Consumer fraud	Desmond Fodje Bobga was charged with fraud conspiracy, wire fraud, forging and counterfeiting the seal of a court of the United States, and aggravated identity theft for his alleged involvement in a puppy fraud scheme perpetrated against American consumers. From around June 2018 to December 2020, Fodje Bobga allegedly conspired with others to offer puppies and other animals for sale on Internet websites, convinced victims to purchase the pets, and then claimed the pet transport was delayed and additional money was needed for delivery. More recently, Bobga and others claimed more money was needed because the pet had been exposed to the coronavirus.	18 USC §§ 505, 1028A, 1343, 1349	Pennsylvania	W.D. Pa.	Criminal enforcement	Yes	No	No	2:20-mj-01365-MPK	-	-	https://www.justice.gov/usao-wdpa/press-releases-man-indicted-charges-fraud-related-small-business-administration	https://www.justice.gov/usao-wdpa/press-releases-man-indicted-charges-fraud-related-small-business-administration	-

Peter Garrido-Baez	12/7/2020	USDOJ	EIDL fraud	Peter Garrido-Baez was charged with fraud in connection with major disaster or emergency benefits, wire fraud, and money laundering for allegedly applying for and receiving two Small Business Administration COVID-19 related loans for "Garrido Apartments," one for approximately \$26,000 and one for approximately \$72,500. Garrido-Baez then allegedly transferred \$72,500 of the proceeds into a different account at a local bank and had \$79,800 wired from the local bank to a bank account in the Dominican Republic, even though the loan agreement required proceeds be solely used as working capital to alleviate economic injury caused by disaster and expressly prohibits using any portion of the loan proceeds to relocate outside the disaster area.	18 USC §§ 1040, 1343, 1957	Illinois	C.D. Ill.	Criminal enforcement	Yes	No	No	3:20-cr-30072-RM-TSH	-	-	https://www.justice.gov/usao-cd-il/press-releases/central-illinois-men-indicted-charges-fraud-related-small-business-administration	-	
Tiffany Acuff	12/2/2020	USDOJ	PPP fraud	Tiffany Acuff pleaded guilty to making a false statement on a loan application to obtain money through the Small Business Administration's Paycheck Protection Program (PPP), in connection with a scheme that falsely represented ownership of small businesses, which she and others alleged were eligible for PPP funds.	18 USC § 1001	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	5:20-cr-50069-TLB	-	-	https://www.justice.gov/usao-wd-ark/newsroom/2020/12/02/arkansas-pleads-guilty-making-false-statements-obtain-coronavirus-relief	Acuff plea agreement	
Valarie Watson	12/2/2020	USDOJ	PPP fraud	Valarie Watson pleaded guilty to making a false statement on a loan application to obtain money through the Small Business Administration's Paycheck Protection Program (PPP), in connection with a scheme that falsely represented ownership of small businesses, which she and others alleged were eligible for PPP funds.	18 USC § 1001	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	5:20-cr-50070-TLB	-	-	https://www.justice.gov/usao-wd-ark/newsroom/2020/12/02/arkansas-pleads-guilty-making-false-statements-obtain-coronavirus-relief	Watson plea agreement	
Naya Austin; Shanay Outlaw	12/1/2020	USDOJ	Unemployment fraud	Naya Austin and Shanay Outlaw were charged with, inter alia, aggravated identity theft for allegedly using the names and social security numbers of other persons to file fraudulent applications for COVID-19-related unemployment benefits.	18 USC § 1028A, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-sdny/press-releases/2020/12/01/unsubscribes-gorilla-stone-nation-gang-charged-racketeering-murder-narcotics	https://www.justice.gov/usao-sdny/press-releases/2020/12/01/download	
Maverik, Inc.; Jacksons Food Stores, Inc.; Stinker Stores, Inc.	11/30/2020	Idaho AG	Price gouging	Maverik, Inc., Jacksons Food Stores, Inc., and Stinker Stores, Inc. entered into a settlement with the Idaho Attorney General following an investigation into their fuel prices during the state's COVID-19-related state of emergency declared in March 2020. Under the agreement, each company will earn credits by selling fuel at a price at which it can earn credits when the margin between the gas retailer's retail price and the wholesale price for its product is less than the existing average margin of the states surrounding Idaho.	-	Idaho	-	Civil enforcement	No	Yes	No	-	-	-	https://www.ag.idaho.gov/newsroom/announcements/settlement-of-gouging-investigation/	https://www.ag.idaho.gov/content/updates/2020/11/30/settlement-11242020.pdf	
\$319,113.11 in funds seized from United Community Bank account number xxxxxx1408 held in the name of Flame Trucking; \$14,169.57 in funds seized from Navy Federal Credit Union Bank account number xxxxxx090 held in the name of Maurice Fayne; \$169,650.90 in funds seized from Wells Fargo bank account number xxxxxx0467 held in the name of Cawanza Wilkins; \$79,482.00 in United States currency; miscellaneous jewelry; \$15,195.62 in funds seized from Navy Federal Credit Union Bank account number xxxxxx1000 held in the name of Maurice Fayne; \$136,000.00 in funds; One Great Dane, VIN 1GRAA062FW703701; One Great Dane, VIN 1GRAA0629FW703748; One Great Dane, VIN 1GRAA0629FW703750; One Great Dane, VIN 1GRAA0626FW703754; One Great Dane, VIN 1GRAA0621FW703743; One Great Dane, VIN 1GRAA0621FW703760	11/26/2020	USDOJ	PPP fraud	The United States brought a civil forfeiture action against assets allegedly connected to a scheme by Maurice Fayne, who was previously charged with bank fraud for allegedly seeking millions in forgivable loans guaranteed by the Small Business Administration that he certified he would use to retain workers and cover business expenses. But Fayne allegedly used over \$1.5 million of the loan proceeds for personal expenses, including jewelry and child support.	18 USC § 1344	Georgia	N.D. Ga.	Civil enforcement	Yes	No	Yes	1:20-cv-04810-MHC	-	-	-	Flame Trucking et al complaint	
Paschal Ngozi Eleanya; Arael Doolittle	11/24/2020	USDOJ	Consumer fraud	Paschal Ngozi Eleanya and Arael Doolittle were charged with wire fraud for allegedly attempting to fraudulently sell 50 million non-existent N95 facemasks to a foreign government.	18 USC § 1343	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	4:20-cr-00621	-	-	https://www.justice.gov/usao-sdtx/press-releases/houston-men-charged-attempting-fraudulently-sell-50-million-masks	-	
Fusion Health and Vitality LLC; Fusion Ionx LLC; Matthew Ryncarz	11/23/2020	USDOJ	Consumer fraud	The USDOJ sought to enjoin Fusion Health and Vitality LLC, Fusion Ionx LLC, and Matthew Ryncarz for alleged manufacturing and selling unapproved treatments for COVID-19 and other diseases in violation of the Food, Drug, and Cosmetic Act.	21 USC § 331	Georgia	S.D. Ga.	Civil enforcement	Yes	Yes	-	4:20-cv-00296-WTM-CLR	-	-	https://www.justice.gov/usao-sdga/press-releases/2020/11/23/enjoined	Fusion Health and Vitality et al complaint	
Rocco A. Malanga	11/19/2020	USDOJ	PPP fraud	Rocco A. Malanga was charged with wire fraud, bank fraud, and money laundering for allegedly fraudulently obtaining nearly \$2 million in federal Paycheck Protection Program (PPP) loans. Malanga allegedly submitted at least three PPP loan applications on behalf of three different business entities that fabricated their number of employees and average monthly payroll. He then diverted the PPP loan funds to accounts under the control of his relatives, including his minor children, and to another company that did not obtain a PPP loan.	18 USC §§ 2, 1343, 1344, 1957	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	Mag. No. 20-8452	-	-	https://www.justice.gov/usao-nj/press-releases/2020/11/19/businessman-arrested-fraudulently-obtaining-nearly-2-million-ppp-loans	https://www.justice.gov/usao-nj/press-releases/2020/11/19/complaint-8716/download	
Federal Resources Supply Company	11/18/2020	Massachusetts AG	Consumer fraud	The Massachusetts Attorney General Maura Healey secured a \$550,000 agreement and entered into an assurance of discontinuance with Federal Resources Supply Company. Federal Resources Supply Company allegedly sold thousands of dollars' worth of hand sanitizer to the Massachusetts Bay Transportation Authority (MBTA) without the key ingredient - alcohol.	Massachusetts False Claims Act; Massachusetts Consumer Protection Act	Massachusetts	Suffolk Superior Court	Assurance of Discontinuance	No	Yes	-	-	March 2020 - unknown	\$550,000	-	https://www.mass.gov/news/department-of-revenue-office-investigates-consumer-plaint-sold-ineffective-hand-sanitizer-alternative-to-mba	-
EMC Group, Inc. d/b/a Supreme Suppliers (EMC)	11/17/2020	NY AG	Price gouging	The New York Attorney General Letitia James today announced that she has stopped three Amazon sellers from price gouging hand sanitizer. EMC Group d/b/a Supreme Suppliers (EMC) allegedly sold hand sanitizer at prices that grossly exceeded the price at which the same or similar products were readily obtainable in the trade area.	-	New York	-	-	No	Yes	-	-	February 10, 2020 to March 4, 2020	EMC consumers will receive partial refunds -- ranging from \$6.10 to \$403.67 -- depending on the product purchased, amount paid, and quantity ordered.	-	https://ny.ny.gov/press-releases/2020/11/17/attorney-general-letitia-james-stops-three-amazon-sellers-price-gouging-hand-sanitizer	-

Mobile Rush, Inc. d/b/a Best_Deals_27 (Mobile Rush)	11/17/2020	NY AG	Price gouging	The New York Attorney General Letitia James today announced that she has stopped three Amazon sellers from price gouging hand sanitizer. Mobile Rush d/b/a Best_Deals_27 allegedly sold hand sanitizer at prices that grossly exceeded the price at which the same or similar products were readily obtainable in the trade area.	-	New York	-	-	No	Yes	-	-	February 10, 2020 to March 11, 2020	Mobile Rush consumers will receive partial refunds — ranging from \$3.16 to \$1,477.40 — depending on the product purchased, amount paid, and quantity ordered.	https://ag.ny.gov/press-releases/2020/01/attorney-general-james-stops-amazon-sellers-price-gouging-hand-sanitizer	-	
Yvette Chaya d/b/a Northwest-Lux (Northwest-Lux)	11/17/2020	NY AG	Price gouging	The New York Attorney General Letitia James today announced that she has stopped three Amazon sellers from price gouging hand sanitizer. Yvette Chaya d/b/a Northwest-Lux allegedly sold hand sanitizer at prices that grossly exceeded the price at which the same or similar products were readily obtainable in the trade area.	-	New York	-	-	Yes	Yes	-	-	March 1, 2020 to March 6, 2020	Northwest-Lux consumers will receive partial refunds — ranging from \$13.78 to \$209.27 — depending on the product purchased, amount paid, and quantity ordered.	https://ag.ny.gov/press-releases/2020/01/attorney-general-james-stops-amazon-sellers-price-gouging-hand-sanitizer	-	
7 11 (Seven Eleven Stores)	11/17/2020	Oregon AG	Price gouging	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with 7-Eleven stores in Portland, Salem and Eugene. 7-Eleven allegedly charged excessive prices for individual face masks.	-	Oregon	-	-	No	Yes	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to stop charging excessive prices for face masks and comply with Oregon's price-gouging law.	https://www.doj.state.or.us/media/home/news-media/releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/	-	
EF Tours	11/17/2020	Oregon AG	Consumer fraud	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with EF Tours. EF Tours allegedly was not providing refunds in accordance with Oregon law.	-	Oregon	-	-	No	Yes	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to increase the amount of refunds offered to consumers in accordance with Oregon law, which requires businesses to refund prepaid amounts if they do not provide goods or services and do not have a good-faith reason for keeping the money.	https://www.doj.state.or.us/media/home/news-media/releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/	-	
Live Your Colour, Inc.	11/17/2020	Oregon AG	Consumer fraud	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with Live Your Colour, Inc. Live Your Colour, Inc. allegedly advertised that its silk socks could protect against COVID-19.	-	Oregon	-	-	No	Yes	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to not make any representation that relates to COVID-19 in connection with the advertising, promotion, sale or distribution of its products without competent and reliable scientific evidence to support the claims.	https://www.doj.state.or.us/media/home/news-media/releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/	-	
Plaid Pantry	11/17/2020	Oregon AG	Price gouging	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with Plaid Pantry. Plaid Pantry allegedly charged excessive prices for individual face masks.	-	Oregon	-	-	No	Yes	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to stop charging excessive prices for face masks and comply with Oregon's price-gouging law.	https://www.doj.state.or.us/media/home/news-media/releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/	-	
Sher-Ray, Inc.	11/17/2020	Oregon AG	Consumer fraud	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with Sher-Ray, Inc. Sher-Ray, Inc. allegedly advertised one of its products, an aromatherapy diffuser blend called "Respiratory Remedy" as a possible cure or mitigation for COVID-19.	-	Oregon	-	-	No	Yes	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to not make any representation that relates to COVID-19 in connection with the advertising, promotion, sale or distribution of its products without competent and reliable scientific evidence to support the claims.	https://www.doj.state.or.us/media/home/news-media/releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/	-	
Amir Aqeel, Siddiq Azeemuddin, Rifat Bajwa, Pardeep Basra, Mayer Misak, Mauricio Navia, and Richard Reuth	11/17/2020	USDOJ	PPP fraud	Amir Aqeel, Siddiq Azeemuddin, Rifat Bajwa, Pardeep Basra, Mayer Misak, Mauricio Navia, and Richard Reuth have been charged in connection with an alleged scheme to fraudulently obtain \$16 million in PPP loans. The defendants are charged with conspiracy to commit wire fraud and wire fraud. Aqeel and Azeemuddin are also charged with money laundering. Defendants allegedly submitted at least 80 fraudulent loan applications, and spent the proceeds on items such as luxury cars.	-	Texas	Southern District of Texas	Criminal enforcement	Yes	No	No	4-20-cr-583	April 2020 through October 2020	-	https://www.justice.gov/opa/pr/2020/apr/20-cv-00048	https://www.justice.gov/opa/pr/2020/apr/20-cv-00048	-

Aditya Raj Sharma	11/16/2020	USDOJ	PPP fraud	Aditya Raj Sharma, the founder and former CEO of Crosscode Inc., has been charged with wire fraud in connection with a false PPP loan application. After being fired from Crosscode, Sharma formed a new, separate company called Kloudgate Inc., and submitted a false PPP loan application in the name of "Crosscode dba Kloudgate." Based on fraudulent misstatements regarding employees and payroll, Sharma received \$562,500 in PPP funds, which he then spent transferred to his personal bank account, spending portions of the funds on a down payment on a pool for his personal residence, among other expenses.	18 USC §§ 1349, 981; 28 USC § 2461	Minnesota	District of Minnesota	Criminal enforcement	Yes	No	No	CR 20-261	April 26, 2020 to May 2020	-	https://www.justice.gov/usdoj-crm/fr/crosscode-ppp-loan-charged	-
Kadiedra Ra'Shawn White	11/16/2020	USDOJ	Unemployment fraud; Identity theft	Kadiedra Ra'Shawn White is charged with wire fraud, aggravate identity theft, and possession of fifteen or more unauthorized access devices. White allegedly filed fraudulent online applications for unemployment benefits in Arizona, Ohio, and Nevada using personal information of the victims without their permission.	18 USC § 1343	Georgia	Northern District of Georgia	Criminal enforcement	Yes	No	No	1:20-CR-413	June 2020 through October 8, 2020	-	https://www.justice.gov/usdoj-crm/fr/kadiedra-ra-shawn-white-charged	-
Natural Solutions Foundation; Rima Laibow; Ralph Fucetola	11/13/2020	USDOJ	Consumer fraud	According to a civil complaint, Natural Solutions Foundation, Dr. Rima Laibow, and Ralph Fucetola sold a nano silver product they claimed would cure, mitigate, treat, or prevent COVID-19. The complaint alleges the the claims made about the drug lacked support from clinical studies or other credible scientific support.	21 USC §332	New Jersey	District of New Jersey	Civil complaint for injunction	Yes	Yes	No	Civil Action No. 20-16016	May to November 2020	-	https://www.justice.gov/usdoj-crm/fr/natural-solutions-foundation-rima-laibow-ralph-fucetola-charged	-
Capitol Petroleum Group, LLC	11/12/2020	District of Columbia AG	Price gouging	Capitol Petroleum Group, LLC was sued for violating the District of Columbia Natural Disaster Consumer Protection Act and the District of Columbia Consumer Protection Procedures Act for allegedly doubling the normal mark-up they obtained from gasoline sales immediately prior to the pandemic.	D.C. Code §§ 28-4101, et seq; 28-3901, et seq.	District of Columbia	District of Columbia Superior Court	Civil enforcement	No	Yes	No	-	-	-	https://www.dccourts.gov/cases/capitol-petroleum-group-llc/	-
DOC Disinfectant LLC	11/12/2020	Tennessee AG	Consumer fraud	DOC Disinfectant LLC entered into a settlement with the Tennessee AG after allegedly making allegedly deceptive and misleading claims related to its cleaning products and services throughout the COVID-19 pandemic. Without admitting wrongdoing, DOC Disinfectant agreed to pay \$5,000 and not make any deceptive or misleading claims that products or services are effective against COVID-19.	Tenn. Code Ann. § 47-18-104	Tennessee	Davidson County Circuit Court	Civil enforcement	No	Yes	No	20C2438	-	-	https://www.tn.gov/newsroom/2020/11/12/doc-disinfectant-llc-settlement	-
Darryl T. Williams, Sr.	11/12/2020	USDOJ	PPP fraud	Darryl T. Williams was charged with wire fraud for allegedly making false representations to the SBA and to a financial lender regarding the gross revenue and number of employees of his businesses.	18 USC § 1343	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00105-JRH-BKE	-	-	https://www.justice.gov/usdoj-crm/fr/darryl-t-williams-sr-charged	-
Jada S. Nelson; Sonya Barnes	11/12/2020	USDOJ	EIDL fraud	Jada S. Nelson and Sonya Barnes were charged with wire fraud and aiding and abetting for allegedly making false representations to the SBA regarding their businesses' existence, gross revenue, and number of employees.	18 USC §§ 1343, 2	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00103-JRH-BKE	-	-	https://www.justice.gov/usdoj-crm/fr/jada-s-nelson-sonya-barnes-charged	-
Michael Bischoff	11/12/2020	USDOJ	PPP fraud	Michael Bischoff was charged with bank fraud for allegedly submitting Paycheck Protection Program (PPP) applications that contained false and fraudulent representations to the participating lenders, including false representations about the amount of payroll and number of employees working at Bischoff's entities. Certain applications also allegedly included false documentation, purportedly from the IRS, and others allegedly fraudulently used another person's personal identifying information to secure approval for the loans.	18 USC § 1343	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	20-cr-20556	-	-	https://www.justice.gov/usdoj-crm/fr/michael-bischoff-charged	Bischoff plea agreement
Orell Plummer	11/12/2020	USDOJ	EIDL fraud	Orell Plummer was charged with wire fraud for allegedly making false representations to the SBA regarding the gross revenue and number of employees in his business.	18 USC § 1343	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00106-JRH-BKE	-	-	https://www.justice.gov/usdoj-crm/fr/orell-plummer-charged	-
Rose Mary Coleman	11/12/2020	USDOJ	EIDL fraud	Rose Mary Coleman was charged with wire fraud for allegedly making false representations to the SBA regarding her business's gross revenue and number of employees.	18 USC §§ 1343, 2	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00107-JRH-BKE	-	-	https://www.justice.gov/usdoj-crm/fr/rose-mary-coleman-charged	-
Whitney Adwan Mack	11/12/2020	USDOJ	EIDL fraud	Whitney Adwan Mack was charged with wire fraud and misuse of a social security number for allegedly making false representations to the SBA regarding her businesses' gross revenue, number of employees, and using a Social Security number that did not belong to her.	18 USC § 1343; 42 USC § 408	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00104-DHB-BKE	-	-	https://www.justice.gov/usdoj-crm/fr/whitney-adwan-mack-charged	-
Dennis W. Haggerty	11/10/2020	USDOJ	Consumer fraud	Dennis W. Haggerty was charged with wire fraud for allegedly swindling more than \$2.6 million from hospitals who paid for scarce personal protective equipment amidst the COVID 19 pandemic. Haggerty and two business partners allegedly formed a company in March 2020 to sell personal protective equipment. Two large university hospitals – one in Chicago and the other in Iowa City, Iowa – ordered a combined one million N95 face masks from the company. As a deposit on the masks, the hospitals paid more than \$3 million into a bank account that Haggerty allegedly falsely represented as a company account but which was actually solely controlled by Haggerty. Haggerty then allegedly spent part of the hospitals' funds for his own personal benefit, including purchasing two Maserati automobiles and a Land Rover sport-utility vehicle.	18 USC § 1343	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	20-cr-00789	-	-	https://www.justice.gov/usdoj-crm/fr/dennis-w-haggerty-charged	-
Po Shan Wong; Zhen Wu	11/10/2020	USDOJ	Consumer fraud	Po Shan Wong and Zhen Wu of JCD Distribution Inc. (JCD) were charged with conspiring to distribute and sell one or more pesticides that are not registered with the United States Environmental Protection Agency (EPA) and that are adulterated or misbranded. Wong and Wu allegedly advertised "Virus Shut Out Cards" on their company's website and Facebook page, and marketed and sold these products to customers by phone, making various untested claims regarding the effectiveness of the cards in killing COVID-19.	7 USC §§ 136j, 136i; 18 USC § 371	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-01054-SMG	-	-	https://www.justice.gov/usdoj-crm/fr/po-shan-wong-zhen-wu-charged	-
Princess Terry	11/9/2020	USDOJ	PPP fraud	Princess Terry was charged with wire fraud and making false statements for allegedly executing a scheme to defraud the Small Business Administration's (SBA) Paycheck Protection Program (PPP) by falsely representing to the SBA and two different financial institutions that Caring Hearts Memphis was still a going business with employees and payroll obligations, despite the fact that it had not been in active operation since 2017 and had no employees. Terry also allegedly falsely certified that she was not under indictment, and submitted forged bank statements to document her loan applications. In this manner, Terry allegedly fraudulently obtained \$250,000 in PPP loan funds.	18 USC §§ 1014, 1343	Tennessee	W.D. Tenn.	Criminal enforcement	Yes	No	No	2:20-cr-20194-MSN	-	-	https://www.justice.gov/usdoj-crm/fr/princess-terry-charged	-

One or more unknown parties deceiving customers into making purchases through: www.clearyps.com, www.arlysol.com, www.broclea.com, www.cadlea.com, www.cleancate.com, www.cleander.com, www.cleomila.com, www.clean-sale.com, www.cleatn-sell.com, www.clorox-sale.com, www.clorox-sales.com, www.cloroxstore.com, www.crysol.com, www.crysol.com, www.littlestoke.com, www.lybclean.com, www.lysolclean.com, www.lysol-clean.com, www.lysol-cleaners.com, www.lysol-free.com, www.lysolsales.com, www.lysolservicebest.com, www.lysol-sell.com, www.lysol-wipe.com, and www.thadlean.com	11/5/2020	FTC	Consumer fraud	The FTC brought suit against one or more unknown parties allegedly deceiving customers into making purchases through 25 counterfeit websites, which had been playing on consumers' COVID-19 pandemic fears to trick them into paying for Clorox and Lysol products that the parties never delivered.	15 USC § 45; 16 CFR Part 435	Ohio	N.D. Ohio	Civil enforcement	-	-	No	5:20-cv-02494	-	-	https://www.ftc.gov/enforcement/cases-proceedings/2002-cases/complaints/2023/one-or-more-unknown-parties-ftc	https://www.ftc.gov/enforcement/cases-proceedings/2002-cases/complaints/2023/one-or-more-unknown-parties-ftc	-
Judith Ann Middleton	11/2/2020	USDOJ	Unemployment fraud	Judith Ann Middleton was charged with mail fraud, wire fraud, and conspiracy to commit fraud, and converting public funds for private use for allegedly participating in a scheme to fraudulently receive Washington State unemployment benefits using other individuals' names and identification.	18 USC §§ 641, 1341, 1343, 1349	Mississippi	S.D. Miss.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
A.M. Cleaning & Supplies LLC	10/30/2020	Michigan AG	Price gouging	A.M. Cleaning & Supplies LLC entered into an assurance of voluntary compliance with the Michigan Attorney General after allegedly violating the Michigan Consumer Protection Act (MCPA) by engaging in practices such as price-gouging. The Attorney General had allegedly received several consumer complaints against A.M. Cleaning & Supplies that alleged the store drastically increased its prices of hand sanitizer. Complaints began after the business posted a message on social media advertising hand sanitizer at \$40 for a 12-ounce bottle, \$40 for an 8-ounce bottle and \$20 for a 4-ounce bottle. Days before, the bottles were reportedly priced at \$7.50, \$5 and \$2.50, respectively.	-	Michigan	Washtenaw County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20-338-CZ	-	\$4,500 payment to the Attorney General's office, with \$3,500 to be used to reimburse affected consumers through a claims process	https://www.michigan.gov/ag/0,4534_7_359_22207_47203_543774_00.htm	https://www.michigan.gov/ag/0,4534_7_359_22207_47203_543774_00.htm	-
Hopelyn Rhannon Ausk	10/30/2020	USDOJ	Unemployment fraud	Hopelyn Rhannon Ausk was charged with mail fraud, bank fraud, aggravated identity theft, possession of stolen U.S. mail, unlawful possession of U.S. Postal Service keys, and obstruction of justice for allegedly engaging in two separate fraud schemes: first, Ausk obtained the personally identifiable information (PII) of at least 20 individuals and filed fraudulent unemployment insurance benefit claims under their identities. EDO approved many of these applications and mailed benefits in the form of prepaid debit cards to addresses under Ausk's control. Once received in the mail, she activated the cards and spent the benefits on herself. Second, in 2019 and 2020, Ausk perpetrated a bank fraud scheme that involved theft of U.S. mail, identity theft, and fraudulent use of stolen bank cards. Ausk manufactured counterfeit U.S. Postal Service keys to break into cluster mailboxes and steal mail containing victim PII, financial information, and bank cards. She then used the bank cards and victim accounts to obtain cash and purchase merchandise for herself. Some of the stolen mail also included U.S. Economic Impact Payment checks (i.e., stimulus checks) mailed to California residents.	18 USC §§ 1028A, 1341, 1344, 1512, 1704, 1708	California	N.D. Cal.	Criminal enforcement	Yes	No	No	2:20-cr-00204-JAM	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
Steven R. Goldstein; Raymond Magana	10/29/2020	USDOJ	PPP fraud	Steven R. Goldstein and Raymond Magana were charged with making false statements to the government, fraud in connection with major disaster or emergency benefits, wire fraud, bank fraud, conspiracy, and false statements to the Small Business Administration for allegedly fraudulently obtaining more than \$1.95 million in Paycheck Protection Program (PPP) loans for their companies by submitting false tax documents and false employee information, and then transferring hundreds of thousands of dollars of the funds into their personal bank accounts.	-	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-05181-DUTY	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
Austin Hsu	10/27/2020	USDOJ	PPP fraud	Austin Hsu was charged with wire fraud for allegedly submitting nine fraudulent disaster loan applications on behalf of five different companies through the Economic Injury Disaster Loan (EIDL) and the Paycheck Protection Program (PPP). Hsu also allegedly made numerous false and misleading statements about the companies' respective business and operations.	18 USC §§ 1343, 2	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	2:20-mj-00091-BAT	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
Johnny Richardson; Micahia Taylor	10/27/2020	USDOJ	Unemployment fraud	Johnny Richardson and Micahia Taylor were charged with allegedly participating in a multi-million dollar unemployment insurance fraud scheme aimed at defrauding the State of Michigan and the U.S. Government of funds earmarked for unemployment assistance during the COVID19 pandemic. Richardson and Taylor allegedly conspired with Brandi Hawkins, a former contract employee for the State of Michigan Unemployment Insurance Agency charged in a criminal complaint this past July, to fraudulently release over \$2.5 million in government money that was intended to support individuals who lost their jobs.	18 USC § 1343	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30448-DUTY; 2:20-mj-30449-DUTY	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
Michael C. Moller	10/27/2020	USDOJ	PPP fraud	Michael C. Moller pleaded guilty to bank fraud for applying for and receiving nearly \$600,000 in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA), which he claimed were to be used to pay employees for a business he operated, "Top Notch Tax." FBI and IRS Criminal Investigation Agents determined that "Top Notch Tax" was not incorporated with the Massachusetts Secretary of State, nor could investigators locate any tax or bank records for the company. Moller also admitted that acting alone, or with family members and associates, he caused eleven fraudulent PPP loan applications to be filed in the name of his girlfriend's son. The money was to pay employees of "Alves Top Notch," another business for which investigators could find no records.	-	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20MJ73LDA	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
Safeway, Inc.	10/26/2020	Contra Costa County DA; Alameda County DA	Price gouging	Safeway, Inc. was charged with unlawful business practices for allegedly offering for sale and sold two hand sanitizer products at prices greater than 50% above Safeway's cost. During the period in question, Safeway sold over 22,000 units of one of the hand sanitizer products and 65,000 units of the other.	BPC § 17200 et seq.	California	Contra Costa Superior Court	Civil enforcement	No	Yes	No	MSC20-02180	-	-	-	-	-
Antonio De Carvalho Vicente; Angel Bautista Rossi	10/23/2020	USDOJ	Unemployment fraud	Antonio De Carvalho Vicente, Angel Bautista Rossi, and four other individuals whose true identities have not been confirmed, were aggravated identity theft and false representation of a Social Security number for allegedly scheming to misuse stolen identities to obtain official identification documents and other government benefits, including Pandemic Unemployment Assistance (PUA) under the Coronavirus Aid, Relief, and Economic Security Act.	-	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-mj-06621-MPK	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-
Justin Ezeiruaku; Torri Pedro; Akume Ehogho; Kassan Knight; Nakirah Matthews; Owen Taylor; Kwenson Watts; Nasir Johnson; Daeson Monroe; Daron Curry; Talib Crump; Noah Brent-Magri; Isaiah White; Ali Shoultz	10/23/2020	USDOJ	Unemployment fraud	Justin Ezeiruaku, Torri Pedro, Akume Ehogho, Kassan Knight, Nakirah Matthews, Owen Taylor, Kwenson Watts, Nasir Johnson, Daeson Monroe, Daron Curry, Talib Crump, Noah Brent-Magri, Isaiah White, and Ali Shoultz were charged with bank fraud for allegedly scheming to defraud banks and individuals with counterfeit economic stimulus checks purportedly issued by the Treasury Department pursuant to the Coronavirus Aid, Relief, and Economic Security (CARES) Act.	18 USC § 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/record-133	-

Keith William Nicoletta	10/23/2020	USDOJ	PPP fraud	Keith William Nicoletta was charged with bank fraud and illegal monetary transactions for allegedly fraudulently securing more than \$1.9 million in emergency funds associated with a Paycheck Protection Program ("PPP") loan, by falsely claiming that he had a local scrap metal business with 69 employees whose purported monthly payroll expenses exceeded \$760,000—or more than \$9 million annually, when in truth, he had reported no wages to the State of Florida for any employees for 2019 or 2020. Once the emergency loan was secured, Nicoletta also allegedly did not use the PPP funds for qualified expenses.	18 USC §§ 1344, 1957	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:20-mj-02027-JSS	-	-	https://www.justice.gov/usao-sd-fl/newsroom/record/20201023-01
Thomas Smith; Stephen Smith; Samuel Davis Jr.; Robert Hamilton; Jonathan Henley	10/22/2020	USDOJ	PPP fraud	Thomas Smith, Stephen Smith, Samuel Davis Jr., Robert Hamilton, and Jonathan Henley were charged with bank fraud and money laundering for allegedly participating in a scheme to file fraudulent loan applications seeking more than \$1.1 million in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA). The defendants allegedly submitted several fraudulent PPP loan applications to a federally insured financial institution and the SBA in the names of businesses with no actual operations or employees, where they allegedly misrepresented the number of employees and payroll expenses.	18 USC §§ 1344, 1957, 2	Wisconsin	E.D. Wis.	Criminal enforcement	Yes	No	No	2:20-cr-00196-BHL	-	-	https://www.justice.gov/usao-wis/newsroom/record/20201022-01
Amanda Garner	10/21/2020	Louisiana AG	Unemployment fraud	Amanda Garner was charged with government benefits fraud for allegedly filing fraudulent COVID-19 related unemployment claim and weekly certifications. Garner allegedly obtained approximately \$5,200 in unemployment benefits fraudulently as she did not qualify for unemployment because she remained employed during the COVID-19 shutdown and was not financially impacted.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	https://www.ag.la.gov/newsroom/record/20201021-01
Christina French	10/21/2020	Louisiana AG	Unemployment fraud	Christina French was charged with money laundering, government benefits fraud, and computer fraud for allegedly hacking the Louisiana Workforce Commission's online account of a Shreveport resident. French allegedly redirected the victim's benefits to an out-of-state bank account that she controlled and that French transferred approximately \$6,000 into that fraudulent account.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	https://www.ag.la.gov/newsroom/record/20201021-02
Demarcus Evans	10/21/2020	Louisiana AG	Unemployment fraud	Demarcus Evans was charged with identity theft and government benefits fraud for allegedly female acquaintance's personal information to file fraudulent COVID-19 related unemployment claims. Evans allegedly defrauded the State of Louisiana of approximately \$8,600.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	https://www.ag.la.gov/newsroom/record/20201021-03
Kayla Giles; Teri Thibodeaux	10/21/2020	Louisiana AG	Unemployment fraud	Kayla Giles and Teri Thibodeaux were charged with identity theft, money laundering, government benefits fraud, and criminal conspiracy for allegedly using approximately 25 stolen identities to file fraudulent COVID-19 related unemployment claims – valued at approximately \$300,000 – in multiple states. In addition to the identity theft scheme, the two allegedly made fraudulent claims in their own names in multiple states – with Giles receiving approximately \$13,000 and Thibodeaux receiving \$37,000.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	https://www.ag.la.gov/newsroom/record/20201021-04
Jefferson Robert (a/k/a Johnny Eto)	10/21/2020	USDOJ	Unemployment fraud	Jefferson Robert was charged with wire fraud for allegedly opening bank accounts using a fake name, "Johnny Eto," that he used to apply for Washington State unemployment benefits using the personal information of another individual.	18 USC §§ 1343, 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-nj/newsroom/record/20201021-01
Didier Kindambu	10/20/2020	USDOJ	PPP fraud	Didier Kindambu was charged with bank fraud for allegedly fraudulently obtaining two loans issued under the CARES Act Paycheck Protection Program (PPP) that were worth over \$2.5 million. Kindambu allegedly carried out the scheme in connection with two businesses that he owns by creating fraudulent payroll documentation for each business, and then submitting that documentation in support of the PPP loan applications.	18 USC § 1344	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	1:20-mj-00286-MSN	-	-	https://www.justice.gov/usao-vir/newsroom/record/20201020-01
Brandy D. Scalfie; Janisha L. Jones; Sharika L. Carpenter	10/19/2020	USDOJ	EIDL fraud	Brandy Scalfie, Janisha Jones, and Shakira Carpenter were charged with wire fraud and conspiracy to defraud the United States for allegedly participating in a scheme that resulted in 401 fraudulently filed applications seeking Economic Injury Disaster loans made available to those impacted by the coronavirus pandemic. Numerous applications were allegedly submitted using false information for the purpose of facilitating the fraudulent activity, and approved loan applications allegedly netted approximately \$1.1 million in fraudulently obtained funds.	18 USC §§ 371, 1343, 2	Tennessee	W.D. Ten.	Criminal enforcement	Yes	No	No	2:20-cr-20179-TLP	-	-	https://www.justice.gov/usao-ten/newsroom/record/20201019-01
Fontrell Antonio Baines	10/16/2020	USDOJ	Unemployment fraud	Fontrell Antonio Baines was charged with fraud in connection with access devices, aggravated identity theft, and interstate transportation of stolen property for allegedly possessing and using debit cards pre-loaded with unemployment benefits administered by the California Employment Development Department (EDD). The debit cards were allegedly issued in the names of third-parties, including identity theft victims, and the applications for these debit cards allegedly listed addresses to which Baines had access in Beverly Hills and Koreatown.	18 USC §§ 1028A, 1029, 2314	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-04992-OUTY	-	-	https://www.justice.gov/usao-cd-ca/newsroom/record/20201016-01
Antwine D. Hunter	10/15/2020	USDOJ	Unemployment fraud	Antwine D. Hunter was charged with mail fraud and aggravated identity theft for allegedly possessing at least eight Nevada Department of Employment, Training & Rehabilitation (DETR) debit cards and at least 15 identification cards in other people's names.	18 USC §§ 1028A, 1341	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-903-DIA	-	-	https://www.justice.gov/usao-nv/newsroom/record/20201015-01
Delashaun Dean	10/15/2020	USDOJ	Unemployment fraud	Delashaun Dean was charged with possession of counterfeit and unauthorized access devices and identity theft for allegedly possessing at least 15 California Employment Development Department (EDD) debit cards containing unemployment insurance benefits issued in other people's names.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-901-VCF	-	-	https://www.justice.gov/usao-nv/newsroom/record/20201015-02
Jasmine-Royshell Kanisha Black	10/15/2020	USDOJ	Unemployment fraud	Jasmine-Royshell Kanisha Black was charged with conspiracy to commit mail fraud, aggravated identity theft, and obstruction of mail for allegedly using her position as a mail carrier to help a co-conspirator find straw addresses to which fraudulently obtained debit cards could be sent. Black then allegedly intercepted and delivered those cards to her co-conspirator in person.	18 USC §§ 1028A, 1349, 1702	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-986-VCF	-	-	https://www.justice.gov/usao-nv/newsroom/record/20201015-03
Joseph Holmes; Emelio Rochester	10/15/2020	USDOJ	Unemployment fraud	Joseph Holmes and Emelio Rochester were charged with conspiracy to possess counterfeit and unauthorized access devices and aggravated identity theft for allegedly possessing at least 17 California Employment Development Department (EDD) debit cards issued in the names of other people.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-902-DIA	-	-	https://www.justice.gov/usao-nv/newsroom/record/20201015-04
Keheir Jordan Parker; Robert Barber	10/15/2020	USDOJ	Unemployment fraud	Keheir Jordan Parker and Robert Barber were charged with conspiring to effectuate illegal transaction with an access device and aggravated identity theft for allegedly possessing 12 California Employment Development Department (EDD) debit cards issued in the names of other people.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-895-VCF	-	-	https://www.justice.gov/usao-nv/newsroom/record/20201015-05
Kenneth Greenland; Brittany Griesel; Paul Naeger	10/15/2020	USDOJ	Unemployment fraud	Kenneth Greenland, Brittany Griesel, and Paul Naeger were charged with conspiracy to effect illegal transactions with access devices aggravated identity theft for allegedly using California Employment Development Department (EDD) debit cards containing unemployment insurance benefits issued in other people's names. Greenland and Griesel were also charged with illegal transactions with access devices for allegedly making ATM withdrawals with the cards.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-892-VCF	-	-	https://www.justice.gov/usao-nv/newsroom/record/20201015-06

Junior Mertile	10/14/2020	USDOJ	Unemployment fraud	Junior Mertile was charged with wire fraud, bank fraud, conspiracy to commit wire fraud and bank fraud, access device fraud, money laundering, conspiracy to commit money laundering, aggravated identity theft, theft of public money, conspiracy to commit access device fraud and theft of public money, and conspiracy to commit aggravated identity theft for allegedly participating in a scheme to use stolen personal identifying information belonging to Rhode Islanders, and others, to apply online for unemployment benefits, and to have those benefits direct deposited into one of many bank accounts opened expressly for receiving fraudulently obtained unemployment insurance benefit payments, as well as fraudulently obtained federal and state tax refunds.	18 USC §§ 371, 641, 1028, 1028A, 1343, 1344, 1349, 1956	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00095-PAS	-	-	https://www.justice.gov/usao-sdny/files/hubland-c-arrested-more-e-complaints-12m-sealed-federal-state-investigation-cases-act-unemployment-benefits
Cara Marie Kirk-Connell	10/9/2020	USDOJ	Unemployment fraud	Cara Marie Kirk-Connell was charged with identity theft, mail fraud, and access device fraud for allegedly defrauding California's unemployment insurance system by using stolen personal information obtained from the darknet to fraudulently receive more than a half-million dollars in COVID-19 unemployment benefits.	18 USC §§ 1028A, 1029, 1341	California	C.D. Cal.	Criminal enforcement	Yes	No	No	5:20-mj-00537-DUTY	-	-	https://www.justice.gov/usao-sdny/Kirk-Connell-complaint
Dinesh Sah	10/9/2020	USDOJ	PPP fraud	Dinesh Sah was charged with wire fraud, bank fraud, and money laundering for allegedly participating in a scheme to file fraudulent loan applications seeking approximately \$24.8 million in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA) under the Coronavirus Aid, Relief, and Economic Security (CARES) Act. Sah allegedly submitted 15 fraudulent applications, filed under the names of various purported businesses that he owned or controlled, to eight different SBA-approved lenders seeking approximately \$24.8 million in PPP loans.	18 USC §§ 1343, 1344, 1957, 2	Texas	N.D. Tex.	Criminal enforcement	Yes	No	No	3:20-cr-00484-S	-	-	https://www.justice.gov/usao-sdny/sah-released-24-million-covid-relief-fraud
Hugo Chico	10/8/2020	USDOJ	Consumer fraud	The Department of Justice sought to enjoin Hugo Chico from engaging in wire fraud for allegedly participating in a scheme to mislead members of the public that he could provide valid COVID-19 prevention treatments and that he was qualified to administer said COVID-19 prevention treatments.	18 USC § 1345	Texas	W.D. Tex.	Criminal enforcement	Yes	No	No	3:20-cv-00254-FM	-	-	Chico complaint
Jeremy Trapp	10/8/2020	USDOJ	EIDL fraud	Jeremy Trapp was charged with wire fraud for allegedly applying for a \$42,500 Economic Injury Disaster Loan program (EIDL) loan and \$10,000 grant, claiming that he was the sole proprietor of a car wash business located at his home address in Brooklyn, when such car wash business did not actually exist.	18 USC §§ 1343, 2	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-00915-VMS	-	-	https://www.justice.gov/usao-edny/jtrapp-released-24-million-covid-relief-fraud
Krikor Topouzian	10/8/2020	USDOJ	Price gouging	Krikor Topouzian was charged with violating the Defense Production Act of 1950 for allegedly selling masks for prices as high as \$19.95 per mask – a markup from the purchase prices of approximately 185% to 367% per mask. Topouzian engaged in the alleged criminal conduct despite repeated warnings, including from law enforcement, about the illegal nature of his conduct.	50 USC §§ 4512, 4513	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	20-cr-721	-	-	https://www.justice.gov/usao-sdny/topouzian-released-24-million-covid-relief-fraud
Diamond Blue Smith; Toney C. Johnson	10/6/2020	USDOJ	PPP fraud	Diamond Blue Smith and Toney C. Johnson were charged with wire fraud, bank fraud, and conspiracy to commit wire fraud and bank fraud for allegedly participating in a scheme to file fraudulent loan applications seeking more than \$24 million in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA). The scheme allegedly involved the preparation of at least 90 fraudulent applications, most of which were submitted.	18 USC §§ 1343, 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0:20-mj-06450-AOV	-	-	https://www.justice.gov/usao-sdfl/smith-and-johnson-arrested-complaints-artists-and-performers-man-charged-covid-relief-fraud
Ahmad Kanan	10/5/2020	USDOJ	PPP fraud	Ahmad Kanan pleaded guilty, in part, to Coronavirus Aid, Relief, and Economic Security (CARES) Act fraud for allegedly applying for Paycheck Protection Program (PPP) loans funded by the CARES Act while using a false spelling of his name and indicating that he was not under indictment on criminal charges, when in fact he was.	18 USC §§ 981, 982, 1956, 1343, 2461, 2	Wisconsin	W.D. Wis.	Criminal enforcement	Yes	No	No	3:20-cr-00081-wmc	-	42 months in federal prison; restitution in the amount of \$147,060	https://www.justice.gov/usao-wis/kanan-indictment
Attila Colar a/n/a Dahoud Sharieff Bey, a/n/a Sharieff Dahoud Bey, a/n/a Dawud Sharieff Bey Ahd, a/n/a Dawud Azadene, a/n/a Attila Collan	10/2/2020	USDOJ	PPP fraud	Attila Colar a/n/a Dahoud Sharieff Bey, a/n/a Sharieff Dahoud Bey, a/n/a Dawud Sharieff Bey Ahd, a/n/a Dawud Azadene, a/n/a Attila Collan was charged with bank fraud for allegedly seeking over \$22 million dollars in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on fraudulent loan applications.	18 USC § 1344	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:20-cr-91311-SK	-	-	https://www.justice.gov/usao-sdca/attila-colar-complaint
Vincent Hazzard; Jacob Fulton; Christopher Hersh; Kenneth Huggins; Biancha Kranzley; Jennifer D'Hulster; Arthur Johnson; Jermaine Plumer; Rafael Rodriguez; Dwayne Washington; Leroy Barnes; Andrew Simms; Dexter Pitts a/n/a Kevin Perry	10/2/2020	USDOJ	Unemployment fraud	Vincent Hazzard, Jacob Fulton, Christopher Hersh, Kenneth Huggins, Biancha Kranzley, Jennifer D'Hulster, and Arthur Johnson were charged federally with fraudulently applying for and obtaining emergency unemployment benefits related to COVID-19. The defendants are charged with submitting false applications claiming that the prison inmates lost jobs as a result of the pandemic and are available to work full-time. Separately, Jermaine Plumer, Rafael Rodriguez, Dwayne Washington, Leroy Barnes, Andrew Simms, and Dexter Pitts a/n/a Kevin Perry were arrested on state charges as part of an ongoing investigation by the Pennsylvania Office of Attorney General in partnership with the U.S. Attorney's Office.	18 USC §§ 2, 641, 1040, 1341	Pennsylvania	E.D. Pa.	Criminal enforcement	Yes	No	No	2:20-cr-00324-GAM	-	-	https://www.justice.gov/usao-edpa/indictments-and-complaints
Arkansas Regenerative Medical Center, Ltd.; Sarah Knife Chief; Serge P. Francois	9/30/2020	Arkansas AG	Consumer fraud	Arkansas Regenerative Medical Center, LTD, Sarah Knife Chief, M.D., Serge P. Francois, D.C. are charged with violating the Arkansas Deceptive Trade Practices Act by allegedly fraudulently promoting to Northwest Arkansas's Latino community expensive stem cell and ozone therapies, costing upwards of \$3,000, to combat COVID-19.	Ark. Code Ann. § 4-88-101-115	Arkansas	Washington County Circuit Court	Civil enforcement	Yes	Yes	No	72CV-20-2057	-	-	https://www.arkansas.gov/news/ark-center/news-releases/validating-ark-regenerative-health-center-over-covid-19-immunity-boost-claim/
Martin Kao	9/30/2020	USDOJ	PPP fraud	Martin Kao was charged with bank fraud and money laundering for allegedly fraudulently obtaining over \$12.8 million in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on fraudulent loan applications.	18 USC §§ 1344, 1957	Hawaii	D. Haw.	Criminal enforcement	Yes	No	No	1:20-mj-01208-WRP	-	-	https://www.justice.gov/usao-sdny/kao-released-12-8-million-covid-relief-fraud
Dang Chanchai	9/29/2020	USDOJ	Consumer fraud	Dan Chanchai was charged with wire fraud for allegedly defrauding a New Jersey company of \$1.5 million in its purchase of COVID-19 personal protective equipment (PPE), by sending a series of fake documents that led the company to order masks that were never actually delivered.	18 USC §§ 1343, 2	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2020R00797	-	-	https://www.justice.gov/usao-nj/dan-chanchai-national-indictment-defrauding-nj-company-purchase-personal-protective

Tristan Bishop Pan	9/29/2020	USDOJ	PPP fraud	Tristan Bishop Pan was charged with wire fraud, bank fraud, and engaging in unlawful monetary transactions for allegedly seeking over \$6 million in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on fraudulent loan applications supported by fake documents.	18 USC §§ 1344, 1957	North Carolina	E.D.N.C.	Criminal enforcement	Yes	No	No	5:20-cr-00436-BO	-	-	https://www.justice.gov/pan-indictment	https://www.attorneygeneral.gov/pressroom/2020/09/29/attorney-general-announces-charges-against-tristan-bishop-pan
Kensley Ramos	9/28/2020	CFTC	Commodities fraud	Kensley Ramos was charged with fraudulent solicitation, misappropriation, operation of an unlawful commodity pool, and failure to register with the CFTC for allegedly falsely promising individuals the ability to profit from the COVID-19 pandemic by trading in off-exchange foreign currency (forex) and binary options with guaranteed 300 percent weekly returns.	7 USC §§ 2, 6b, 6c, 6m, 6o; 17 CFR § 4.20, 4.21, 4.22, 5.2, 5.3, 32.4	Texas	N.D. Tex.	Civil enforcement	Yes	No	Yes	3:20-cv-02985-X	-	-	https://www.cftc.gov/pressroom/2020/09/28/cftc-announces-charges-against-kensley-ramos	https://www.media4pressreleases/81806/en/kensley-ramos-complaint-09-28-20/download
ESF Inc. d/b/a ESF Camps	9/28/2020	Pennsylvania AG	Price gouging	ESF Camps agreed to refund tuition consumers paid them before the pandemic forced emergency declarations and closures.	73 PS §§ 201-1-et seq.	Pennsylvania	Montgomery County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	Full refund for tuition paid for summer program, \$10,000 in costs for Pennsylvania AG's public protection and education programming	https://www.attorneygeneral.gov/press-releases/2020/09/28/esf-camps-attorneys-general-announces-charges-against-esf-camps	https://www.attorneygeneral.gov/press-releases/2020/09/28/esf-camps-attorneys-general-announces-charges-against-esf-camps
Jonathan Markovich	9/27/2020	USDOJ	PPP fraud	Jonathan Markovich was charged with making false statements to a financial institution, bank fraud, and conspiracy to commit wire fraud, inter alia, for allegedly submitting a fraudulent loan application through the Paycheck Protection Program ("PPP") for approximately \$511,807.	18 USC §§ 1014, 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0:20-mj-06469-PMH	-	-	https://www.justice.gov/markovich	https://www.justice.gov/markovich
Mark Schena	9/25/2020	SEC	Securities fraud	Mark Schena was charged with making allegedly false and misleading statements concerning his company's development of a COVID-19 blood test and its intention to resolve its delinquency in filing required periodic reports with the SEC. Schena allegedly falsely claimed that his company had developed a COVID-19 blood test when it had not yet purchased materials to make a test.	15 USC § 78j; 17 CFR § 240.10b-5	California	N.D. Cal.	Civil enforcement	Yes	No	Yes	5:20-cv-06717	10/28-4/2020	-	https://www.sec.gov/news/press-releases/2020/09/25/sec-charges-mark-schena	https://www.sec.gov/news/press-releases/2020/09/25/sec-charges-mark-schena
Jamel Johnson	9/25/2020	USDOJ	Unemployment fraud	Jamel Johnson was charged with wire fraud for allegedly using stolen identities to file for, and receive, COVID-19 Unemployment Insurance (UI) benefits. These charges were in addition to those brought against Johnson months earlier for his alleged involvement in a scheme to obtain fraudulent bank loans using stolen personal information of identity theft victims.	18 USC § 1343	North Carolina	W.D.N.C.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/johnson	https://www.justice.gov/johnson
Kensley Ramos	9/25/2020	USDOJ	Commodities fraud	Kensley Ramos was charged with commodities fraud for allegedly falsely promising individuals the ability to profit from the COVID-19 pandemic by trading in off-exchange foreign currency (forex) with guaranteed 300 percent weekly returns.	18 USC § 1348	Texas	N.D. Tex.	Criminal enforcement	Yes	No	Yes	3:20-cr-00444-L	-	-	https://www.justice.gov/ramos	https://www.justice.gov/ramos
Michael C. Moller	9/22/2020	USDOJ	PPP fraud	Michael C. Moller was charged with making a false statement and bank fraud for allegedly seeking over \$4.7 million in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on loan applications for businesses for which there were no records.	15 USC § 645; 18 USC § 1344	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00073-LDA	4/2020	-	https://www.justice.gov/moller	https://www.justice.gov/moller
Jeffrey Bennett; Tashon Ragan; Jahaad Flip; Janel Blackman	9/17/2020	USDOJ	EIP fraud	Jeffrey Bennett, Tashon Ragan, Jahaad Flip, and Janel Blackman were charged with conspiracy to commit bank fraud, Ragan and Flip were charged with passing fictitious obligations, and Bennett and Ragan were charged with aggravated identity theft for their alleged roles in a conspiracy to commit bank fraud, including soliciting U.S. Postal Service (USPS) employees to steal check books and credit cards from the mail, depositing fraudulent checks, including pandemic relief checks, and using credit cards without authorization.	18 USC §§ 514, 1028A, 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	Mag. No. 20-1089	-	-	https://www.justice.gov/bennett	https://www.justice.gov/bennett
Jean R. Lavanture (a/k/a Rudy Lavanture)	9/17/2020	USDOJ	PPP fraud	Jean Lavanture allegedly fraudulently obtained almost \$5 million in PPP loans, with which he purchased an 8.54-acre estate in New Jersey. The loan applications submitted by Lavanture allegedly contained false representations about his business's employees, revenues, and payroll.	18 USC § 1344	New Jersey	District of New Jersey	Criminal enforcement	Yes	No	No	-	6/2020-7/2020	-	https://www.justice.gov/lavanture	https://www.justice.gov/lavanture
Uzoamaka Leonard Ohaebosim	9/17/2020	USDOJ	PPP fraud	Uzoamaka Leonard Ohaebosim was charged with making false statements and bank fraud for allegedly lying about his movie company's payroll expenses and obtaining \$1.2 million in Paycheck Protection Program (PPP) funds. Specifically, as part of the loan application, Ohaebosim allegedly provided a false IRS payroll tax form indicating that his company, Spite the Movie, LLC ("STM"), had over 100 employees who had received wages of approximately \$983,000 with taxes withheld of approximately \$122,000 in the first quarter of 2020. In truth, and in fact, STM had not paid these employees and had not filed this form with the IRS.	18 USC §§ 1014, 1344	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:20-mj-03573-JB	4/2020-6/2020	-	https://www.justice.gov/ohaebosim	https://www.justice.gov/ohaebosim
John Anthony Taylor	9/16/2020	USDOJ	Consumer fraud	Taylor allegedly made false representations that he was a representative for the 3M company who was authorized to sell its N95 masks through his business, Wasatch Promotional Products. Contrary to his representations, he did not have N95 masks to sell and was not a representative of 3M.	18 USC § 1343	Utah	District of Utah	Criminal enforcement	Yes	No	No	2:20-mj-333-DMW	Apr-20	-	https://www.justice.gov/taylor	https://www.justice.gov/taylor
Lola Shalewa Barbara Kasali	9/15/2020	USDOJ	PPP fraud	Kasali has been charged with making false statements to a financial institution, wire fraud, bank fraud, and engaging in unlawful monetary transactions. She allegedly fraudulently obtained almost \$2 million in PPP loans. Kasali submitted PPP loan applications on behalf of two business entities, Lola's Level and Charm Hair Extensions. Both loan applications contained misrepresentations about the entity's employees and payroll expenses.	18 USC §§ 1014, 1343, 1344, 1957	Texas	Southern District of Texas	Criminal enforcement	Yes	No	No	4:20-mj-01644	6/2020-8/2020	-	https://www.justice.gov/kasali	https://www.justice.gov/kasali

Sun Wholesale, Inc.	9/11/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Sun Wholesale, Inc., a New Jersey wholesaler, after receiving tips that the supplier was selling hand sanitizer to Pennsylvania businesses for resale to consumers for unlawful prices. The OAG's investigation found Sun Wholesale sold 389 units of "Wish" hand sanitizer for \$17.00 per 33.8 oz. bottle.	-	Pennsylvania	-	Assurance of voluntary compliance	No	Yes	No	-	-	\$750.00 in civil penalties, restitution of \$1,878.87 for consumers	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-new-jersey-wholesaler-from-price-gouging-gets-money-back-for-pennsylvania-businesses/	-	
Sun Wholesale, Inc.	9/11/2020	Pennsylvania AG	Price Gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with Sun Wholesale, Inc., a New Jersey wholesaler, after receiving tips that the supplier was selling hand sanitizer to Pennsylvania businesses for resale to consumers for unlawful prices. OAG's investigation found Sun Wholesale sold 389 units of "Wish" hand sanitizer for \$17.00 per 33.8 oz. bottle.	-	Pennsylvania	-	Assurance of Voluntary Compliance	No	Yes	No	-	March 6, 2020 through September 10, 2020	\$750.00 in civil penalties, restitution of \$1,878.87 for consumers	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-new-jersey-wholesaler-from-price-gouging-gets-money-back-for-pennsylvania-businesses/	-	
Tiara Walker	9/11/2020	USDOJ	Wire fraud; bank fraud; conspiracy to commit wire and bank fraud	Tiara Walker is charged with wire fraud, bank fraud, and conspiracy to commit wire and bank fraud. She and her co-conspirators allegedly submitted fraudulent PPP loan applications for a total of more than \$24 million, and received loans of approximately \$17.4 million.	18 USC §§ 1343, 1344, 1349	Florida	Southern District of Florida	Criminal enforcement	Yes	No	No	1:20-mj-03523	May 14 to July 24, 2020	-	https://www.justice.gov/usdoj-criminal-prdctf/press-releases/record-24-million-covid-relief-fraud-386/download-scheme	https://www.justice.gov/crimin-fraud/file/1315	-
Joshua J. Bellamy	9/10/2020	USDOJ	PPP fraud	NFL player Joshua Bellamy has been charged with wire fraud, bank fraud, and conspiracy to commit wire and bank fraud for allegedly obtaining \$1.2 million in fraudulent PPP loans for his talent management company. Bellamy allegedly spent the loan proceeds on luxury goods and at a hotel and casino, in addition to withdrawing a large amount in cash.	18 USC §§ 1343, 1344, 1349	Florida	Southern District of Florida	Criminal enforcement	Yes	No	No	20-mj-6428-AOV	5/21/2020-8/3/2020	-	https://www.justice.gov/usdoj-criminal-prdctf/press-releases/record-24-million-covid-relief-fraud-386/download-scheme	https://www.justice.gov/crimin-fraud/file/1315	-
Larry Jordan; SutuKh El (a/k/a Curtis Jordan, a/k/a Hugo Hurt)	9/10/2020	USDOJ	PPP fraud	Larry Jordan and SutuKh El are charged with submitting at least eight fraudulent loan applications that included false statements about their company's business operations and payroll expenses. The two allegedly used the fraudulently obtained funds for personal expenses, such as home improvements and the purchase of a vehicle.	18 USC § 1349	New York	Western District of New York	Criminal enforcement	Yes	No	No	20-MJ-123	4/2020-9/2020	-	https://www.justice.gov/usdoj-criminal-prdctf/press-releases/record-24-million-covid-relief-fraud-386/download-scheme	https://www.justice.gov/crimin-fraud/file/1315	-
Lauren Marcel Duhart; Joshua Bernard Smith; Steve Ronald Lewis; Christopher J. Agard; Henry Duffield; Jeremy Brandon Latourneau; Derick Keane	9/10/2020	USDOJ	PPP fraud	Defendant Christopher Agard allegedly fraudulently obtained a PPP loan for his business, Wild Style Entertainment, supporting his application with falsified documents that misrepresented the company's number of employees and payroll costs. He received a loan of over \$395,000, which he distributed to the other members of the conspiracy.	18 USC §§ 981, 982, 1343, 1349, 1956, 1957; 21 USC §§ 853, 881; 28 USC § 2461	South Carolina	District of South Carolina	Criminal enforcement	Yes	No	No	6:20-cr-00537-CR	5/2020-6/2020	-	https://www.justice.gov/usdoj-criminal-prdctf/press-releases/record-24-million-covid-relief-fraud-386/download-scheme	https://www.justice.gov/crimin-fraud/file/1315	-
Bay-Fx, Inc. (d/b/a The Medicine Shoppe 0430)	9/9/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Bay-Fx, Inc. after they allegedly sold or offered to sell N95 masks, KN95 masks, and 2 oz. hand sanitizer for an unconscionably excessive price in violation of the Price Gouging Law and the Consumer Protection Law.	73 PS §§ 201-2, 232.4	Pennsylvania	Westmorland County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	3114 of 2020	-	Restitution to all customers who purchased N95 Masks, KN95 Masks, and/or 2 oz. bottles of hand sanitizer at excessive prices.	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-price-gouging-at-westmoreland-county-pharmacy-gets-money-back-for-consumers/	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-price-gouging-at-westmoreland-county-pharmacy-gets-money-back-for-consumers/	-
Bay-Fx, INC., D/B/A The Medicine Shoppe 0430	9/9/2020	Pennsylvania AG	Price Gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with The Medicine Shoppe after the Office received tips alleging that the store was selling masks and 2 oz. bottles of hand sanitizer for unlawful prices.	73 PS § 201-1	Pennsylvania	Court of Common Pleas of Westmorland County, Pennsylvania	Assurance of Voluntary Compliance	No	Yes	No	3114 of 2020	March 6, 2020 through September 9, 2020.	Restitution to all customers who purchased N95 Masks, KN95 Masks, and/or 2 oz. bottles of hand sanitizer at excessive prices.	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-price-gouging-at-westmoreland-county-pharmacy-gets-money-back-for-consumers/	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-price-gouging-at-westmoreland-county-pharmacy-gets-money-back-for-consumers/	-
Adesh Abhin Bisson; Michael Jacques Joseph; Victor Torres	9/4/2020	USDOJ	Conspiracy to commit mail fraud	Bisson, Joseph, and Torres have been charged with conspiracy to commit mail fraud in connection with an identity theft scheme, which allegedly included the filing of false tax returns that caused the victims' COVID-19 stimulus checks to be directed to the defendants' bank accounts.	18 USC § 1349	Ohio	Southern District of Ohio	Criminal enforcement	Yes	No	No	1:20-cr-00087-MRB	March 2018 through August 2020	-	https://www.justice.gov/usdoj-criminal-prdctf/press-releases/record-24-million-covid-relief-fraud-386/download-scheme	https://www.justice.gov/crimin-fraud/file/1315	-
Cole Evans; Kiss Industries, LLC; LTD Industries, LLC; MBCE Holdings, LLC	9/3/2020	Colorado AG	Consumer fraud	The Colorado Attorney General entered into an assurance of discontinuance with Cole Evans, Kiss Industries LLC, LTD Industries LLC, and MBCE Holdings LLC after the four respondents allegedly sold hand sanitizer while falsely claiming to own manufacturing facilities and misrepresented the certification of those facilities.	C.R.S. § 6-1-105	Colorado	-	Assurance of discontinuance	Yes	Yes	No	-	-	\$62,500 fine for reimbursement of the State's actual costs and attorneys' fees, restitution, and future consumer fraud or antitrust enforcement, consumer education, or public welfare purposes.	https://cogag.gov/press-releases/2020/09/03-2020-09-03-Med-Shoppe-Filed-AVC.pdf	-	
Complete Medical Supplies, Inc.	9/3/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with Complete Medical Supplies, Inc. for alleged price gouging; the company allegedly sold at least 95 multi-packs of N95 masks to Pennsylvania retailers for an average price of \$12/mask.	73 PS §§ 201-1 et seq., 232.1 et	Pennsylvania	Chester County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$1,630 in civil penalties; \$183.75 for public protection and education programs; \$3,294 in restitution; and a 390 N95 mask donation; with a \$3,120 suspended civil penalty.	https://www.attg-nj.com/general-attg-taking-action/press-releases/ag-shapiro-stops-price-gouging-by-new-jersey-wholesaler/	-	

Jae H. Choi	9/3/2020	USDOJ	PPP fraud	Jae H. Choi was charged with bank fraud and money laundering for allegedly fraudulently obtaining approximately \$9 million in Paycheck Protection Program (PPP) loans by submitting fraudulent loan applications that involved the fabricated existence of hundreds of employees, manipulated bank and tax records, and falsified a driver's license on the applications. Choi allegedly used the fraudulently-obtained PPP loan proceeds to pay for numerous personal expenses, including to buy, among other things, a nearly one million-dollar residential home in Cresskill, New Jersey.	-	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	-	-	https://www.justice.gov/usao-sdny/record-2901/download	https://www.justice.gov/usao-sdny/record-2901/download	-
Casey David Crowther	9/2/2020	USDOJ	PPP fraud	Casey David Crowther was charged with making a false statement for allegedly seeking and receiving more than \$2 million in a Payroll Protection Program (PPP) loan, based on a loan application that included false and misleading statements concerning what the PPP funds would be used for, specifically that the PPP funds would only be used for business-related purposes, to retain workers, and maintain payroll or make mortgage payments, lease payments, and utilities payments.	18 USC § 1014	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	2:20-mj-01094-NPM	4/2020	-	https://www.justice.gov/usao-sdny/record-2226/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Michael Leroi Douras	9/2/2020	USDOJ	PPP fraud	Michael Leroi Douras was charged with bank fraud, making a false statement, money laundering for allegedly making false representations to two banks in an effort to get a Paycheck Protection Program (PPP) loan for his business, Epic Rentals UT LLC, which included misrepresentations about Epic Rentals' monthly payroll and the number of employees the business had.	18 USC §§ 1014, 1344, 1957	Utah	D. Utah	Criminal enforcement	Yes	No	No	2:20-cr-00259-HCN	-	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Nikole L. Edwards	9/2/2020	USDOJ	PPP fraud	Nikole L. Edwards was charged with making false statements for allegedly applying for tens of thousands of dollars of Paycheck Protection Program (PPP) loans by falsely claiming that her business employed two individuals who earned annual salaries of \$75,000 and \$50,000, when in reality, the business did not have any employees.	18 USC § 645	California	S.D. Cal.	Criminal enforcement	Yes	No	No	3:20-cr-02637-GPC	-	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Antonio George	9/1/2020	USDOJ	PPP fraud	Antonio George was charged with wire fraud for allegedly attempted to obtain \$3.1 million in PPP loans on behalf of 19 different companies. However, George allegedly provided false and misleading documents about certain of the companies' respective business operations and payroll expenses, such as identical wage information and employee count records.	18 USC § 1343	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30340-DUTY	4/2020-7/2020	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Jennifer D'Hulster; Zachary Gathercole; Ashley Harrington; Anthony Schweitzer	9/1/2020	USDOJ	Unemployment fraud	Jennifer D'Hulster, Zachary Gathercole, Ashley Harrington, and Anthony Schweitzer were charged with fraud in connection with major disaster or emergency benefits, mail fraud, and conspiracy for allegedly obtaining and attempting to obtain unemployment benefits related to COVID-19 emergency relief funds to which they were not entitled. D'Hulster and Harrington allegedly assisted individuals incarcerated at Chester County Prison and other correctional institutions in fraudulent efforts to obtain Pandemic Unemployment Assistance (PUA) benefits; Harrington allegedly enabled Gathercole, who was incarcerated at Chester County Prison prior to the onset of the pandemic, to receive approximately \$12,865; and Schweitzer allegedly did not actually receive any PUA benefits, but attempted to several times.	18 USC §§ 1040, 1341, 1349	Pennsylvania	E.D. Pa.	Criminal enforcement	Yes	No	No	2:20-mj-01436	5/2020-8/2020	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Jean Fleuridor; Hasan Brown	8/31/2020	USDOJ	PPP fraud	Jean Fleuridor and Hasan Brown were charged with conspiracy to commit bank fraud for their alleged involvement in a scheme to defraud a bank, beginning around 2017, by using approximately 700 synthetic identities, in addition to stolen identities, to create bank accounts and shell companies. From about April through July of 2020, members of the conspiracy allegedly utilized the already-established synthetic identities and associated shell companies and accounts to fraudulently apply for assistance under the Paycheck Protection Program (PPP), and Brown and Fleuridor fraudulently sought and received over \$3 million dollars in PPP relief.	18 USC §§ 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:20-mj-03472-AOR	2017-2020	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Lovely Products LLC	8/30/2020	Texas AG	Price gouging	Lovely Products LLC entered into an assurance of voluntary compliance with the Texas Attorney General after allegedly selling KN95 respirators for \$12 per mask when 3M stated these masks cost as much as \$3.11, indicating a markup of almost 1,000%. In addition, Lovely Products was not an authorized 3M product distributor, and falsely advertised that their KN95 masks were "100% protection."	Tex. Bus. & Com. Code § 17.41, et seq.	Texas	Travis County District Court	Assurance of voluntary compliance	No	Yes	No	D-1-GN-20-006547	-	Restitution to consumers for transactions for the sale of 3M masks that took place from March 11, 2020 through the present; \$5,000 civil penalty; \$2,500 in attorneys' fees.	-	-	-
Melissa Turasky	8/28/2020	USDOJ	PPP fraud	Melissa Turasky was charged with bank fraud and making false statements for allegedly fraudulently obtaining more than \$175,000 from a forgivable PPP loan, based on a fraudulent loan application that included falsified monthly payroll and other business expenses.	18 USC §§ 1014, 1344	Illinois	E.D. Ill.	Criminal enforcement	Yes	No	No	1:20-cr-00560	-	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Penn Jersey Paper Company	8/27/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with Penn Jersey Paper company for alleged price gouging: the business allegedly sold 276 cans of Lysol at \$9.99/each, as well as 4 boxes of disposable face masks at \$99.99/each.	-	Pennsylvania	-	Assurance of voluntary compliance	No	Yes	No	-	3/2020-8/2020	\$2,760.00 in civil penalties, in addition to restitution of \$915.24 for consumers	https://www.attorneygeneral.gov/filings/action/covid-19/ag-philadelphia-gets-restitution-for-ripped-off-consumers/	https://www.attorneygeneral.gov/filings/action/covid-19/ag-philadelphia-gets-restitution-for-ripped-off-consumers/	-
Marek Majtan	8/27/2020	USDOJ	FIFRA violation	Marek Majtan was charged with mail fraud and violating the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) for allegedly fraudulently selling unregistered and misbranded pesticides. Majtan allegedly purchased pesticides of unknown origin from a person he met on Facebook Marketplace, repackaged and labeled them at his home, and advertised on the internet that the product was "Compliant and Approved" by EPA and the CDC, and that it "Kills 99.9% Bacteria & Viruses" and "Kills Covid 19 & Seasonal Flu." Majtan also allegedly sold disinfectant products on eBay and through a personal website without authorization from the EPA.	7 USC §§ 136, 136g; 18 USC § 1341	Maryland	D. Md.	Criminal enforcement	Yes	No	No	1:20-mj-02186-TMD	5/22/2020	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Brandon Casutt	8/26/2020	USDOJ	PPP fraud	Brandon Casutt was charged with making false statements, wire fraud, bank fraud, concealment money laundering, and engaging in unlawful monetary transactions for allegedly perpetrating a scheme to submit fraudulent Economic Injury Disaster Loan (EIDL) applications to the SBA and Paycheck Protection Program (PPP) loan applications to federally insured banks, which resulted in fraudulently obtaining approximately \$500,000, which was then laundered the funds through friends and family in order to buy a house.	18 USC §§ 1014, 1341, 1344, 1956, 1957	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-666-BNW	-	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-
Latoya Stanley; Johnny Philus	8/26/2020	USDOJ	PPP fraud	Latoya Stanley and Johnny Philus were charged with wire fraud and false statements for their alleged participation in a scheme to file fraudulent loan applications seeking more than \$1.1 million in forgivable Paycheck Protection Program (PPP) and Economic Injury Disaster Loans (EIDL) loans guaranteed by the Small Business Administration. Stanley and Philus allegedly submitted four fraudulent applications for over \$1.1 million, which in part referred to employees and a farm business that did not actually exist.	18 USC §§ 1001, 1343	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:20-mj-03470-AOR	5/2020-7/2020	-	https://www.justice.gov/usao-sdny/record-30496/download	https://www.justice.gov/usao-sdny/record-30496/download	-

Kevin Liu	8/14/2020	Minnesota AG	Price gouging	Kevin Liu entered into an assurance of voluntary compliance with the Minnesota Attorney General after allegedly reselling face masks online at greatly inflated and illegal prices. Liu allegedly ran an online resale business through eBay where he made trips around Minnesota in order to purchase local supplies of masks and resold them online to consumers throughout the United States, including Minnesota, at significantly higher prices.	-	Minnesota	Ramsey County District Court	Assurance of voluntary compliance	Yes	No	No	62-CV-20-4429	-	Stop selling N95 and KN95 masks during the emergency; \$6,806.82 to consumer purchasers harmed by these inflated prices	https://www.ag.state.mn.us/Office/Communications/2020/08/14_Ma%20Liu%20case%20summary.pdf	https://www.ag.state.mn.us/Office/Communications/2020/08/14_Ma%20Liu%20case%20summary.pdf
CSG Imports LLC	8/14/2020	USDOJ	Price gouging	CSG Imports LLC entered into a deferred prosecution agreement to resolve violations of the Defense Production Act for allegedly price-gouging customers of personal protective equipment (PPE) during the COVID-19 pandemic. In particular, CSG imports imported into the United States and offered for sale PPE and other health and medical resources that were Designated Scarce Materials in excess of prevailing market prices.	50 USC §§ 4512, 4513	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-8342	3/2020-5/2020	Sell seized PPE at cost; compensate two entities that it sold PPE to in excess of prevailing market prices in the amount of \$400,000	https://www.justice.gov/usdoj-criminal-principal-division/page.do?tid=33&cid=4871&cid2=4871&cid3=download	https://www.justice.gov/usdoj-criminal-principal-division/page.do?tid=33&cid=4871&cid2=4871&cid3=download
KG Imports LLC	8/14/2020	USDOJ	Price gouging	KG Imports LLC entered into a deferred prosecution agreement to resolve violations of the Defense Production Act for allegedly price-gouging customers of personal protective equipment (PPE) during the COVID-19 pandemic. In particular, KG imports imported into the United States, offered, and sold PPE in excess of prevailing market prices.	50 USC §§ 4512, 4513	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-8343	4/2020-5/2020	Sell seized PPE at cost	https://www.justice.gov/usdoj-criminal-principal-division/page.do?tid=33&cid=4881&cid2=4881&cid3=download	https://www.justice.gov/usdoj-criminal-principal-division/page.do?tid=33&cid=4881&cid2=4881&cid3=download
David J. Jeansonne II; Traffic Jam Events, LLC	8/13/2020	FTC	Deceptive marketing	Traffic Jam Events, LLC, and its owner David J. Jeansonne II, were charged with violating the FTC Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale. Jeansonne and Traffic Jam Events were also charged with violating the Truth in Lending Act and Regulation Z for failing to clearly disclose required credit information in their advertising.	15 USC §§ 45, 1664; 12 CFR § 226.24	-	-	Administrative enforcement	Yes	Yes	Yes	Docket No. 9395	3/2020	-	https://www.ftc.gov/news-events/press-releases/2020/08/ftc-issues-charges-against-auto-marketer-deceptive-practice-mailers	https://www.ftc.gov/system/ftc/documents/press-releases/2020/08/ftc-issues-charges-against-auto-marketer-deceptive-practice-mailers
Karen Chapon (a/a Karen Hannafous)	8/13/2020	USDOJ	PPP fraud	Karen Chapon a/a Karen Hannafous was charged with bank fraud and making false statements for allegedly seeking over \$1 million in forgivable loans guaranteed by the Small Business Administration. In support of her six fraudulent loan applications, Chapon made numerous false and misleading statements about her companies' respective business operations and payroll expenses, falsely denied that she had been convicted of a felony in the past five years, and submitted fake and altered documents.	18 USC §§ 1014, 1344	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-664-BNW	4/2020-7/2020	-	https://www.justice.gov/usdoj-criminal-principal-division/page.do?tid=33&cid=4846&cid2=4846&cid3=download	https://www.justice.gov/usdoj-criminal-principal-division/page.do?tid=33&cid=4846&cid2=4846&cid3=download
7-Eleven Inc.	8/12/2020	Oregon AG	Price gouging	The Oregon AG entered into an assurance of voluntary compliance with 7-Eleven, Inc. after 7-Eleven allegedly procured face masks from a third-party manufacturer and separate distributor, which certain 7-Eleven stores in Oregon displayed as a "Disposable Surgical Face Mask," when it did not meet the FDA standard, and sold each mask for \$3.99, when the per-mask cost was \$1.60.	-	Oregon	Multnomah County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20CV28117	-	-	-	-
Plaid Pantries Inc.	8/12/2020	Oregon AG	Price gouging	The Oregon AG entered into an assurance of voluntary compliance with Plaid Pantries, Inc. after Plaid Pantries allegedly procured face masks from a supplier at a cost of \$4.50 per four count package, and then resold them for \$8.99.	-	Oregon	Multnomah County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20CV28086	-	-	-	-
Real property located at 112 V Street NE, Washington, D.C.	8/12/2020	USDOJ	PPP fraud	The United States brought a civil forfeiture action to seize real property located at 112 V Street NE, Washington, D.C., based on the reasonable belief that the property constitutes or is derived from proceeds traceable to alleged PPP fraud conducted by Kenneth Gaughan that involved fake emotional support animal companies.	18 USC §§ 641, 1343, 1344, 1956 (under 18 USC § 981)	District of Columbia	D.D.C.	Civil enforcement	Yes	No	Yes	1:20-cv-02211-TSC	-	-	V Street property complaint	-
Thu Phan Dinh; Tran Khanh; Nguyen Duy Toan (together d/b/a www.ZeroStore.site and other domains)	8/12/2020	USDOJ	Consumer fraud	The United States sought a Temporary Restraining Order against Thu Phan Dinh, Tran Khanh, and Nguyen Duy Toan, all residents of Vietnam, who allegedly engaged in a wire fraud scheme seeking to profit from the COVID-19 pandemic by operating more than 300 websites that fraudulently purported to sell products that became scarce during the pandemic, including hand sanitizer and disinfectant wipes. The order shuts the trio's websites immediately while an investigation of defendants' scheme continues.	18 USC §§ 1343, 1349 (under 18 USC § 1345)	Florida	M.D. Fla.	Civil enforcement	Yes	No	No	8:20-cv-01794	-	-	Dinh et al TRO	-
Hillandale Farms Corp.; Hillandale Farms East, Inc.; Hillandale Farms of PA, Inc.; Hillandale Farms Corn, LLC; Hillandale Farms of Delaware, Inc.; Hillandale-Gettysburg, L.P.	8/11/2020	New York AG	Price gouging	Hillandale Farms Corp., Hillandale Farms East, Inc., Hillandale Farms of PA, Inc., Hillandale Farms Corn, LLC, Hillandale Farms of Delaware, Inc., and Hillandale-Gettysburg, L.P. were charged with price gouging for allegedly increasing the prices of more than four million cartons of eggs sold to major grocery store chains, U.S. military facilities, and wholesale food distributors throughout the state, charging New York customers up to four times the pre-pandemic price for one carton of eggs.	GBL 396-r; Executive Law § 63(12)	New York	New York County State Supreme Court	Civil enforcement	No	Yes	No	-	3/2020-4/2020	-	https://ag.ny.gov/press-releases/2020/08/11/ag-announces-james-jules-one-nations-largest-egg-producers-price-gouging	https://ag.ny.gov/press-releases/2020/08/11/ag-announces-james-jules-one-nations-largest-egg-producers-price-gouging
Arman Manukyan	8/11/2020	USDOJ	PPP fraud	Arman Manukyan was charged with bank fraud and aggravated identity theft for allegedly seeking over \$860,000 in forgivable loans guaranteed by the Small Business Administration for a shell company and then transferring the bulk of his illicit gains to his personal bank accounts.	18 USC §§ 1028A, 1344	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-03710-DUTY	6/2020	-	Manukyan complaint	-
Kenneth Gaughan	8/11/2020	USDOJ	PPP fraud	Kenneth Gaughan was charged with bank fraud, theft of government funds, wire fraud, and money laundering for allegedly seeking over \$2.1 million in forgivable loans guaranteed by the Small Business Administration on behalf of multiple companies, all falsely purporting to register emotional support animals. Gaughan then used a portion of the loan proceeds to purchase a 2020 Cruisers Yachts 338 CX 33-foot watercraft, a 2020 Kia Stinger, and a rowhouse in Northeast, Washington, D.C.	18 USC §§ 641, 1343, 1344, 1956	District of Columbia	D.D.C.	Criminal enforcement	Yes	No	No	1:20-mj-00154-GMH	4/4/2020	-	Gaughan complaint	-
Judex Jean Louis	8/10/2020	USDOJ	PPP fraud	Judex Jean Louis was charged with bank fraud, making false statements, and aggravated identity theft for allegedly receiving proceeds from three fraudulent PPP loans in early June 2020, each hiding Louis's identity as the true loan recipient.	18 USC §§ 1014, 1028A, 1344	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	20-mj-06328-PMH	6/1/20-6/3/20	-	Louis complaint	-
Matthew Ryncarz; Fusion Health; Vitality, LLC (d/b/a/ Pharm Origins)	8/10/2020	USDOJ	Consumer fraud	Matthew Ryncarz was charged with violating the Federal Food, Drug, and Cosmetic Act by allegedly selling a misbranded drug called "Immune Shot" that they falsely claimed would lower consumer's risk of contracting COVID-19 by nearly 50 percent.	21 USC §§ 331, 333	Georgia	S.D. Ga.	Criminal enforcement	Yes	Yes	No	4:20-cr-00075-CLR	3/2020-4/2020	-	Ryncarz and Fusion Health, Vitality, LLC man-and-drugs-advertised-misbranded-treat-covid-19	-

Clinica Hispana la Porte; Luis Alberto Cuan (a/n/a Luis Alberto Cuan Lic)	8/5/2020	Texas AG	Consumer fraud	Clinica Hispana la Porte and Luis Alberto Cuan were sued for violating the Texas Deceptive Trade Practices - Consumer Protection Act by allegedly giving people antibody tests and telling them that it would diagnose an infection, when such test cannot diagnose an active infection. In addition to conducting fraudulent tests, Clinica Hispana also allegedly threw away test results using an unsecured dumpster behind the clinic, which violated the Texas Identity Theft Enforcement and Protection Act.	Tex. Bus. & Com. Code §§ 17.41-17.63, 521.001-521.152	Texas	Harris County District Court	Civil enforcement	Yes	Yes	No	-	-	-	https://www.texasattorneygeneral.gov/news/press-releases/2020/08/05/clinica-hispana-las-porte-fraudulent-covid-testing-and-identity-theft-claims-against-clinica-hispana	https://www.texasattorneygeneral.gov/news/press-releases/2020/08/05/clinica-hispana-las-porte-fraudulent-covid-testing-and-identity-theft-claims-against-clinica-hispana
Lee Price III	8/4/2020	USDOJ	PPP fraud	Lee Price II was charged with making false statements to a financial institution, wire fraud, bank fraud, and engaging in unlawful monetary transactions for allegedly obtaining more than \$1.6 million in Paycheck Protection Program loans and spending the money on luxury items, real estate, and personal entertainment.	18 USC §§ 1014, 1343, 1344, 1957	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	4-20-mj-01366	4/2020-8/2020	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including
American Surgical Supply, Inc.	8/3/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq.; 73 PS § 201-5 face masks for unlawful prices; the Attorney General learned that the business allegedly sold 485 face masks for as much as \$26 per mask.	73 PS §§ 201-1 et seq.; 232.1 et seq.; 73 PS § 201-5	Pennsylvania	Schuylkill County Court of Common Pleas	Assurance of voluntary compliance	No	No	No	-	3/6/2020-7/28/2020	-	https://www.attorneygeneral.gov/taking-action/press-releases/ag-9/2020/08/2020-shapiro-stops-price-gouging-at-two-northeastern-avc.pdf	https://www.attorneygeneral.gov/taking-action/press-releases/ag-9/2020/08/2020-shapiro-stops-price-gouging-at-two-northeastern-avc.pdf
John Lalor Joyce, Keystone Medical Equipment	8/3/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq.; 73 PS § 201-5 face masks for unlawful prices; the Attorney General learned that the business allegedly sold 122 face masks for upwards of \$28 per mask.	73 PS §§ 201-1 et seq.; 232.1 et seq.; 73 PS § 201-5	Pennsylvania	Carbon County Court of Common Pleas	Assurance of voluntary compliance	Yes	Yes	No	-	3/6/2020-7/28/2020	-	https://www.attorneygeneral.gov/taking-action/press-releases/ag-9/2020/08/2020-shapiro-stops-price-gouging-at-two-northeastern-avc.pdf	https://www.attorneygeneral.gov/taking-action/press-releases/ag-9/2020/08/2020-shapiro-stops-price-gouging-at-two-northeastern-avc.pdf
Joel Bellegarde	7/31/2020	USDOJ	Unemployment fraud	Joel Bellegarde was arrested and charged with wire fraud, mail fraud, and aggravated identity theft, for allegedly submitting fraudulent benefits to the State of Oklahoma, benefits which were intended for Oklahoma residents who had lost their jobs due to the economic impact of COVID-19.	18 USC §§ 1028A, 1341, 1343	Florida	S.D. Fla.	Criminal enforcement	yes	no	no	1:20-md-03251-BECERRA	-	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including
William Peck	7/31/2020	USDOJ	Unemployment fraud	William Peck has been charged with mail fraud after allegedly receiving nearly \$100,000 in fraudulent benefits.	18 USC § 1341	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	2:20-cr-00948	5/2020-7/2020	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including
Alvin Lavon Rex	7/30/2020	USDOJ	Unemployment fraud	Alvin Lavon Rex has been charged with one count of mail fraud for allegedly receiving deposits in his account at a bank in Storm Lake that were moneys received from unemployment claims made in Massachusetts and Arizona.	18 USC § 1341	Iowa	N.D. Iowa	Criminal enforcement	yes	No	-	5:20-cr-04062-LTS-KEM	5/2020	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including
Dennis Nobbe	7/29/2020	USDOJ	PPP fraud	Dennis Nobbe was charged by criminal complaint with wire fraud, health care fraud, conspiracy to commit health care fraud and wire fraud, making false statements to a financial institution, money laundering, and conspiracy to commit money laundering; he has been charged for allegedly fraudulently obtaining a Paycheck Protection Program loan and an Economic Injury Disaster Loan (EIDL), and that he orchestrated a conspiracy to submit false and fraudulent claims for reimbursement to Medicare and CareCredit, and to defraud his own patients by charging them thousands of dollars for chiropractic services under false pretenses.	18 USC §§ 1014, 1343, 1347, 1349, 1956, 1956	Florida	S.D. Fla.	Criminal enforcement	Yes	No	no	1:20-mj-03236-JB	-	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including
Spruce Food Market	7/28/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq. The Attorney General learned that the business offered 103 units of hand sanitizer for as much as \$15.99 for an 8 oz. bottle.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Philadelphia Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	3/6/2020-7/24/2020	\$5,150 in Civil Penalties, restitution of \$796.93 to consumers	https://www.attorneygeneral.gov/taking-action/press-releases/ag-9/2020/07/2020-shapiro-stops-price-gouging-at-street-avc.pdf	https://www.attorneygeneral.gov/taking-action/press-releases/ag-9/2020/07/2020-shapiro-stops-price-gouging-at-street-avc.pdf
Gordon H. Pedersen; My Doctor Suggests LLC	7/28/2020	USDOJ	Consumer fraud	Gordon Pedersen has been indicted for posing as a doctor in order to sell a fake treatment for COVID-19. His former company, My Doctor Suggests LLC, has agreed to plead guilty in connection with false and misleading marketing of ingestible silver products as a coronavirus treatment. My Doctor Suggests has severed all ties with Pedersen and is cooperating in his prosecution.	18 USC §§ 1341, 1343; 21 USC §§ 331, 333	Utah	D. Utah	Criminal enforcement	Yes	Yes	No	2:20-cr-00216-DBB	2014-2020	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including
David Taylor Hines	7/27/2020	USDOJ	PPP fraud	David Hines was charged with fraudulently obtaining \$3.9 million in PPP loans and using the funds to purchase, among other things, a \$318,000 sports car for himself.	18 USC §§ 1014, 1344, 1957	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:20-mj-03237-JB	5/9/2020-6/24/2020	-	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including	https://www.justice.gov/opa/pr/2020/08/2020-08-04-attorney-general-announces-charged-covid-relief-funds-improper-expenses-including

Mukund Mohan	7/23/2020	USDOJ	PPP fraud	Mukund Mohan was charged with wire fraud and money laundering for allegedly seeking over \$5.5 million in forgivable loans guaranteed by the Small Business Administration by submitting fraudulent loan applications that made numerous false and misleading statements about the companies' respective business operations and payroll expenses. Mohan also allegedly submitted fake and altered documents, including fake federal tax filings and altered incorporation documents, and transferred at least \$231,000 in fraudulently-obtained loan proceeds to his personal brokerage account for his personal benefit.	18 USC §§ 2, 1343, 1957	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	-	2:20-mj-00451-BAT	-	-	https://www.justice.gov/usdoj-sbsa/crimes/crime.aspx?cid=123&tid=266&download=1	https://www.attorneygeneral.gov/wps/content/jsp/printPrint.do?cid=123&tid=266&download=1	https://www.uscourts.gov/docx/pressrel/2020-07-Comm-v-Paul-Pharm.pdf
Honeybee LLC (d/b/a Honey Bee Gourmet Deli)	7/22/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Honeybee LLC d/b/a Honey Bee Gourmet Deli after the AG received tips alleging that the store was selling KN95 face masks for unlawful prices; the Attorney General learned that the business offered 1,050 face masks for sale at \$8.00/each, with minor decreases in price if consumers purchased more than one mask.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Philadelphia County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	200701067	3/6/2020-7/14/2020	\$156.51 for public protection and education purposes	https://www.attorneygeneral.gov/newsroom/recordings/2020-07-07-22-HoneyBeeGourmetDeli-AVC.pdf	https://www.attorneygeneral.gov/newsroom/recordings/2020-07-07-22-HoneyBeeGourmetDeli-AVC.pdf	-	-
Wagner Sozi	7/21/2020	USDOJ	Unemployment fraud	Wagner Sozi was charged with wire fraud, aggravated identity theft, and making a false claim for allegedly engaging in a scheme to use stolen identity information to open accounts, make purchases, rent cars, and apply for Pandemic Unemployment Assistance benefits. Sozi allegedly obtained this stolen identity information from various sources, including from a Cambridge realty company that collected the personal identifying information of people who sought to rent local apartments.	18 USC §§ 2, 287, 1028A, 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-cr-10137-GAO	2018-2020	-	https://www.usdoj.gov/iso/statement/WagnerSoziIndictment.pdf	https://www.usdoj.gov/iso/statement/WagnerSoziIndictment.pdf	-	-
Brandi Hawkins	7/17/2020	USDOJ	Unemployment fraud	Brandi Hawkins was charged with wire fraud, laundering of monetary instruments, and theft or bribery concerning programs receiving federal funds for allegedly using her position as a contract employee of the Michigan Unemployment Insurance Agency to fraudulently release payment on hundreds of fraudulent claims, resulting in the fraudulent disbursement of over \$2,000,000 of federal and state funds intended for unemployment assistance. Hawkins allegedly used proceeds to purchase high-end handbags and other luxury goods.	18 USC §§ 666, 1343, 1956	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30252-DUTY	6/2020	-	https://www.usdoj.gov/iso/statement/HawkinsComplaint.pdf	https://www.usdoj.gov/iso/statement/HawkinsComplaint.pdf	-	-
Direct Hardwood Flooring and Supplies, LLC (d/b/a Direct Home Supplies); Michael Solomich	7/16/2020	Michigan AG	Consumer fraud	The Michigan Attorney General entered into an assurance of voluntary compliance with Direct Hardwood Flooring and Supplies, LLC (d/b/a Direct Home Supplies) and Michael Solomich after they allegedly violated the Michigan Consumer Protection Act by engaging in practices like price-gouging, misleading consumers about its goods and services, and failing to address legitimate requests for replacements or refunds. For example, Direct Home Supplies allegedly advertised R95 face masks for \$108 each, although they claim the price was for 20 masks.	MCL § 445.901 et seq.	Michigan	-	Assurance of voluntary compliance	Yes	Yes	No	-	-	\$150 to each of the Better Business Bureau and Attorney General complaints; \$15,000 to the Attorney General's office to compensate additional consumers who submit substantiated complaints.	https://www.michigan.gov/ag/fd-filing-2020-07-16-17-2020-534308_00.html	https://www.michigan.gov/ag/fd-filing-2020-07-16-17-2020-534308_00.html	-	-
Andrew Marnell	7/16/2020	USDOJ	PPP fraud	Andrew Marnell was charged with bank fraud for allegedly seeking over \$8.5 million in forgivable loans guaranteed by the Small Business Administration by submitting fraudulent loan applications that made numerous false and misleading statements about the companies' respective business operations and payroll expenses. Marnell also allegedly submitted fake and altered documents, including fake federal tax filings and employee payroll records, and transferred the fraudulently-obtained loan proceeds to his brokerage account to make risky stock-market bets and similarly spent hundreds of thousands of dollars in fraudulently-obtained loan proceeds at a Las Vegas casino.	18 USC § 1344	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-03313-DUTY	5/11/2020	-	https://www.justice.gov/usdoj-sbsa/crimes/crime.aspx?cid=123&tid=266&download=1	https://www.justice.gov/usdoj-sbsa/crimes/crime.aspx?cid=123&tid=266&download=1	-	-
Ganell Tubbs	7/16/2020	USDOJ	PPP fraud	Ganell Tubbs was charged with bank fraud, making a false statement, and engaging in a monetary transaction with proceeds of unlawful activity for allegedly obtaining nearly \$2 million in forgivable loans guaranteed by the Small Business Administration and, in one instance, spending funds from the loan on personal expenses and, in another instance, made false representations in the original loan application.	18 USC §§ 1014, 1344, 1957	Arkansas	E.D. Ark.	Criminal enforcement	Yes	No	No	4:20-cr-00193-BSM	4/30/2020-5/5/2020	41 months in prison	https://www.usdoj.gov/iso/statement/TubbsIndictment.pdf	https://www.usdoj.gov/iso/statement/TubbsIndictment.pdf	https://www.usdoj.gov/iso/statement/TubbsIndictment.pdf	https://www.usdoj.gov/iso/statement/TubbsIndictment.pdf
Craven Randall Casper	7/14/2020	USDOJ	Consumer fraud	Craven Randall Casper pleaded guilty to wire fraud for allegedly creating and operating a website, www.coronavirusprotectionmasks.org, on which he advertised for sale personal protection equipment ("PPE") related to the COVID-19 pandemic. These PPE items included N95 respirator masks, protective masks, and hand sanitizer. However, Casper did not provide the PPE to the majority of his paying customers.	18 USC § 1343	District of Columbia	D.D.C.	Criminal enforcement	Yes	No	No	1:20-cr-00102-APM	-	-	https://www.usdoj.gov/iso/statement/CasperComplaint.pdf	https://www.usdoj.gov/iso/statement/CasperComplaint.pdf	-	-
Huu Tieu	7/14/2020	USDOJ	Consumer fraud	Huu Tieu was charged with mail fraud and introducing a misbranded drug into interstate commerce with the intent to defraud for allegedly marketing and selling a package of herbal mixtures dubbed the "Emergency D-Virus Plan of Care," which he claimed treated COVID-19. Tieu allegedly made these misrepresentations for the purpose of soliciting customers, both patients and health care professionals, to acquire these products so that he could submit reimbursement claims to the patients' insurers, including Medicare and Medi-Cal.	18 USC § 1341; 21 USC §§ 331, 333	California	E.D. Cal.	Criminal enforcement	Yes	No	No	1:20-cr-00109-DAD-BAM	4/2020-7/2020	-	https://www.usdoj.gov/iso/statement/TieuComplaint.pdf	https://www.usdoj.gov/iso/statement/TieuComplaint.pdf	https://www.usdoj.gov/iso/statement/TieuComplaint.pdf	https://www.usdoj.gov/iso/statement/TieuComplaint.pdf

Joshua Thomas Argires	7/14/2020	USDOJ	PPP fraud	Joshua Thomas Argires was charged with wire fraud, bank fraud, making false statements, and engaging in unlawful monetary transactions for allegedly seeking over \$1.2 million in forgivable loans guaranteed by the Small Business Administration by submitting two fraudulent loan applications for two companies, neither of which had employees or paid wages consistent with the amounts claimed in the loan applications. Moreover, funds from the loans were allegedly invested in a cryptocurrency account and a bank account that was slowly depleted via ATM withdrawals.	18 USC §§ 1014, 1343, 1344, 1957	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	4-20-mj-01211	4/2020-7/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/14/argires-complaint	-
D&M Desai Inc. (d/b/a Yorke Pharmacy Inc.)	7/13/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with D&M Desai Inc. d/b/a York Pharmacy Inc. after the AG received tips alleging that the store was selling N95 respirator facemasks for unlawful prices; the Attorney General learned that they sold 30 facemasks for \$20 each.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Bucks County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$1,500 in civil penalties; \$261.09 for public protection and education purposes; \$210 in restitution to consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapes-a-stop-price-gouging-at-yorke-pharmacy-in-levittown/	-
Luke John Flint	7/13/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Luke John Flint from ongoing wire fraud for allegedly creating a website that promotes and purports to allow consumers to pre-order a COVID-19 vaccine, even though such a vaccine was non-existent.	18 USC § 1343 (under 18 USC § 1345)	Kentucky	W.D. Ky.	Civil enforcement	Yes	No	No	3-20-cv-00489-CHB	5/27/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/13/flint-complaint	-
Oludamilare Olugbuyi	7/13/2020	USDOJ	PPP fraud	Oludamilare Olugbuyi was charged with making false statements for allegedly seeking forgivable loans guaranteed by the Small Business Administration based on fraudulent loan applications that included several IRS Forms 1099-MISC reporting hundreds of thousands of dollars in disbursements made to independent contractors.	18 USC § 1014	District of Columbia	D.D.C.	Criminal enforcement	Yes	No	No	1-20-mj-00127-GMH	4/5/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/13/olugbuyi-complaint	-
Carlos Belone	7/10/2020	USDOJ	PPP fraud	Carlos Belone was charged with conspiring to commit health care fraud, wire fraud, payment of health care kickbacks, and making false statements for allegedly seeking forgivable loans guaranteed by the Small Business Administration based on fraudulent loan applications in the name of a company that allegedly submitted false and fraudulent claims to Medicare for orthotic braces that were medically unnecessary, ineligible for Medicare reimbursement and/or not provided as represented. Moreover, to support the allegedly fraudulent loan applications, Belone submitted fake tax documents and doctored profit and loss statements for the company, and after receiving over \$12,000 in loan proceeds, he allegedly directed approximately \$12,000 of the loan money to a personal account under his control.	18 USC §§ 1014, 1320a-7b, 1343, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0-20-mj-06264-LSS	3/2018-6/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/10/belone-complaint	-
Shawn Vogt d/b/a Vogt True Value	7/9/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Shawn Vogt, owner of Vogt's True Value Hardware, after the AG received a complaint alleging that the store was selling facemasks for unlawful prices.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Allegheny County Court of Common Pleas	Assurance of voluntary compliance	Yes	No	No	20-7437	-	\$1,012.80 in restitution to consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapes-a-stop-price-gouging-at-vogts-true-value-hardware-in-allentown/	-
Rose Ann Azzarello; Andrea Renee Pytlinski	7/9/2020	USDOJ	Consumer fraud	Rose Ann Azzarello and Andrea Renee Pytlinski were charged with wire fraud for allegedly participating in a scheme that received and sent Washington State unemployment benefits and the proceeds of an alleged check scam via wire and common courier as directed by someone only known as "William Lewis". As the illicit funds were allegedly deposited into their accounts, Azzarello and Pytlinski would allegedly withdraw the funds prior to the detection of any fraud and then transmit a portion of the ill-gotten funds to a third party while retaining their share.	18 USC § 2, 1343	Indiana	S.D. Ind.	Criminal enforcement	Yes	No	No	3-20-mj-00037-MPB	5/8/2020-5/14/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/09/azzarello-and-pytlski-complaint	-
SuperGoodDeals.com, Inc.; Kevin J. Lipitz	7/8/2020	FTC	Consumer fraud	The Federal Trade Commission charged SuperGoodDeals.com, Inc. and its owner Kevin J. Lipitz with allegedly falsely promising consumers next-day shipping of facemasks and other personal protective equipment (PPE) to deal with the coronavirus pandemic. The website allegedly advertised that PPE was "in stock" and touted "Pay Today, Ships Tomorrow," but frequently took weeks to ship merchandise.	15 USC § 45; 16 CFR § 435	New York	E.D.N.Y.	Civil enforcement	Yes	Yes	Yes	1-20-cv-03027	3/2020-4/2020	-	https://www.ftc.gov/news-events/press-releases/2020/07/ftc-takes-action-supergooddeals-against-marketers-its-promised-next-day-shipping	https://www.ftc.gov/system/press-releases/2020/07/cases/2023-1335
Kooz Concepts International, Inc.	7/8/2020	Michigan AG	Consumer fraud	The Michigan Attorney General entered into an assurance of voluntary compliance with Kooz Concepts International, Inc. after the company allegedly violated the Michigan Consumer Protection Act by selling face masks that were mislabeled as having the N95 designation.	MCL § 445.901 et seq.	Michigan	Kent County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20-10-M5	-	\$1,750 to the Attorney General's office to compensate for costs associated with the investigation giving rise to the assurance of voluntary compliance	-	-
Ahmad Kanan	7/8/2020	USDOJ	PPP fraud	Ahmad Kanan was charged with wire fraud and money laundering for allegedly seeking \$119,560 in forgivable loans guaranteed by the Small Business Administration based on a false application that indicated Kanan was not currently under federal indictment, when the opposite was true. Kanan also allegedly transferred \$47,000 in fraudulently obtained PPP loan proceeds to his checking account.	-	Wisconsin	W.D. Wis.	Criminal enforcement	Yes	No	No	1-20-cr-00081-WMC	-	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/08/kanan-indictment	-
Benjamin Hayford	7/8/2020	USDOJ	PPP fraud	Benjamin Hayford was charged with wire fraud, bank fraud, and making false statements for allegedly seeking over \$8 million in forgivable loans guaranteed by the Small Business Administration by claiming fictitious payroll expenses. To support his loan applications, Hayford allegedly provided fraudulent payroll documentation purporting to establish payroll expenses that were, in fact, non-existent. In addition, Hayford represented that the Limited Liability Partnership for which he applied for relief was established in January 2020 and was operating as of Feb. 15, 2020, when in fact Hayford did not create the partnership until April 2020, several days before he began applying for Paycheck Protection Program (PPP) loans.	15 USC § 645; 18 USC §§ 1014, 1343, 1344	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	4-20-cr-00088-CVE	4/2020-5/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/08/hayford-indictment	-
Hubert Ivan Ugarte; Lisa Bradshaw Rowberry	7/8/2020	USDOJ	PPP fraud	Hubert Ivan Ugarte and Lisa Bradshaw Rowberry were charged with removal of property to prevent seizure, loan application fraud, wire fraud, and money laundering, as well as conspiring to commit removal of property to prevent seizure, loan application fraud, and wire fraud for, inter alia, allegedly seeking \$210,000 in forgivable loans guaranteed by the Small Business Administration based on a false application that indicated Ugarte had not been placed on pretrial diversion and was not currently under federal indictment, when the opposite was true. Ugarte also allegedly agreed that at least 75 percent of the \$210,000 loan would be used for payroll costs, when in fact he used 60 percent of the loan to pay the past due truck payments.	18 USC §§ 371, 1014, 1343, 1957, 2232	Utah	D. Utah	Criminal enforcement	Yes	No	No	2-20-mj-00452-ICB	4/23/2020-5/18/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/08/ugarte-and-rowberry-complaint	https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-22-Regional-Discount-Pharmacy-AVC-accepted.pdf
Kevin Jay Lipitz	7/8/2020	USDOJ	Price gouging	Kevin Jay Lipitz was charged with violating the Defense Production Act and wire fraud for allegedly selling personal protective equipment (PPE) and other health and medical materials, some of which were designated as scarce due to the COVID-19 pandemic, at prices far exceeding prevailing market prices, while also allegedly lying to customers about his inventory of such scarce materials and his ability to timely ship those items to customers.	18 USC § 1343; 50 USC §§ 4512, 4513	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	20-MJ-509	2/2020-4/2020	-	https://www.justice.gov/usdoj/criminal-practice-division/press-releases/2020/07/08/lipitz-indictment	https://www.usdoj.gov/press-releases/2020/07/08/lipitz-indictment

Case Name	Date	State	Type	Description	Filing No.	Court	Plaintiff	Defendant	Settlement	Verdict	Jury Verdict	Amount	Notes
Pivot Concierge Health, LLC, Banyan Medical Systems, LLC	7/7/2020	Nebraska AG	Consumer fraud	Pivot Concierge Health, LLC and Banyan Medical Systems, LLC were charged with violating Nebraska's Consumer Protection Act and its Uniform Deceptive Trade Practices Act for allegedly advertising "rapid" and "same-day" COVID-19 testing, while failing to distinguish between diagnostic and antibody tests, and failing to distinguish the differences between those tests, including limitations of the antibody test.	NRS §§ 59-1601 et seq., § 7-301 et seq.	Nebraska	Douglas County District Court	Civil enforcement	No	Yes	No	\$25,000 in disclosures to customers regarding their COVID-19 testing products	https://ago.nebraska.gov/newsroom/press-releases/covid-19-testing-products
Paoli Pharmacy, Inc.	7/7/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 P.S. §§ 201-1 et seq.; 232.1 et seq. Paoli Pharmacy after the AG received tips alleging the store was selling facemasks for unlawful prices; the business allegedly sold approximately 100 individual N95 masks in ziploc bags for as much as \$25 per mask.	-	Pennsylvania	Chester County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	\$5,300 in civil penalties; \$203.75 for public protection and education purposes; \$1,846.50 in restitution to consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapiro-stop-price-gouging-at-paoli-pharmacy/
Save-On Supplies	7/6/2020	California AG	Price gouging	Save-On Supplies was charged with violating California's Unfair Competition Law by allegedly selling surgical masks and KN95 respirator masks for more than 50 percent above its wholesale costs.	BPC § 17200 et seq.	California	Alameda County Superior Court	Civil enforcement	No	Yes	No	-	-
Approximately \$80,661.05 in funds	7/2/2020	USDOJ	Unemployment fraud	The United States brought a civil forfeiture action to recover approximately \$80,661.05 in funds held at bank accounts allegedly used to perpetuate COVID-19 unemployment fraud. These bank accounts were allegedly used to transfer money from unemployment benefit programs to fraudsters, who used victims' stolen identities to apply for unemployment benefits online; the fraudsters then convinced bank account holders to receive and make transactions with stolen funds on the false belief they were involved in an online romantic relationship with the fraudsters.	-	North Carolina	W.D.N.C.	Civil enforcement	-	-	-	(No number yet found)	-
Approximately \$48,742.50 in funds	6/30/2020	USDOJ	Unemployment fraud	The United States brought a civil forfeiture action to recover approximately \$48,742.50 in funds held at bank accounts allegedly used to perpetuate COVID-19 unemployment fraud. These bank accounts were allegedly used to transfer money from unemployment benefit programs to fraudsters, who used victims' stolen identities to apply for unemployment benefits online, and then used other unsuspecting individuals' bank accounts as "money mule" accounts to receive and transfer the stolen benefits.	-	North Carolina	W.D.N.C.	Civil enforcement	-	-	No	(No number yet found)	-
Eric R. Shibley	6/30/2020	USDOJ	PPP fraud	Dr. Eric R. Shibley was charged with wire fraud and bank fraud for allegedly seeking over \$3 million in forgivable loans guaranteed by the Small Business Administration by submitting fraudulent applications in the names of businesses with no actual operations or by misrepresenting the business's eligibility. In the applications, Shibley misrepresented the number of employees and payroll expenses, concealed his own criminal history, and submitted fake tax documents.	18 USC §§ 2, 1343, 1344	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	MIZO-385	4/2020-6/2020
Mark Scott Grenon; Jonathan David Grenon; Jordan Paul Grenon; Joseph Timothy Grenon	6/30/2020	USDOJ	Consumer fraud	Mark Scott Grenon, Jonathan David Grenon, Jordan Paul Grenon, and Joseph Timothy Grenon were charged with conspiring to defraud the United States and to deliver misbranded drugs and with criminal contempt for allegedly promoting and selling bleach as a "miracle cure-all that could treat, prevent, and cure a variety of serious diseases and disorders," including COVID-19. They were also charged with violating a court order prohibiting them from distributing the product.	18 USC §§ 371, 401	Florida	S.D. Fla.	Criminal enforcement	Yes	No	Yes	1-20-mj-03050-AOR	4/2020-5/2020
Goods and More Incorporated, d/b/a GoodsAndMore, Inc.	6/29/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 P.S. §§ 201-1 et seq.; 232.1 et seq. Goods and More Incorporated after the AG received tips alleging the store was selling hand sanitizer for unlawful prices on Amazon.com; the business allegedly sold 24-packs of 2-ounce bottles of Assured hand sanitizer for as much as \$109.99 per package and single 12-ounce bottles of Purell hand sanitizer for as much as \$39.00 each.	-	Pennsylvania	Lackawanna County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	2020-cv-2490	-
Heirloom Organics	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Heirloom Organics after store allegedly marketed "Pandemic Protection Kits," which implied, inaccurately, that all contents were approved and tested by the CDC, OSHA, or WHO to provide protection specifically against coronavirus.	-	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-
Holistic Health Acupuncture	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Holistic Health Acupuncture after the clinic allegedly used social media to have consumers contact them for herbal treatments, diagnosis, or products related to COVID-19; the clinic allegedly touted "herbal training" for differential diagnosis of the illness and "protocols for fever, wheezing, body aches, respiratory concerns."	-	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-

Holistic Health, P.C.	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Holistic Health, P.C. after the FTC alleged that the store was using marketing materials to suggest Chinese herbal medicine worked to prevent and treat COVID-19.	-	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	-	-	https://www.doj.state.or.us/media-home/news-media-releases/ag-covid19-settles-with-sic-companies-and-medical-clinics-selling-unproven-covid-19-treatments/	-
Inner Works Acupuncture	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Inner Works Acupuncture after the store allegedly promoted Chinese herbal medicine for COVID-19 prevention and treatment.	-	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	-	-	https://www.doj.state.or.us/media-home/news-media-releases/ag-covid19-settles-with-sic-companies-and-medical-clinics-selling-unproven-covid-19-treatments/	-
Love Acupuncture & Wellness Group	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Love Acupuncture & Wellness after the clinic allegedly promoted Chinese herbal medicine that "yielded a 94% improvement rate" for COVID-19 patients; allegedly offered live video-conference appointments to provide consumers with a customized herbal prescription that may reduce the severity of symptoms and shorten the duration of infection; and allegedly promoted a "Clear Lung Tonic Formula" that was purportedly created by a panel of four traditional Chinese medicine practitioners and tested on 214 patients, with 90% improved.	-	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	-	-	https://www.doj.state.or.us/media-home/news-media-releases/ag-covid19-settles-with-sic-companies-and-medical-clinics-selling-unproven-covid-19-treatments/	-
James Mwanza	6/26/2020	USDOJ	Identity theft	James Mwanza was charged with wire fraud, theft of government money, and aggravated identity theft for allegedly preparing and filing fraudulent income tax returns with the IRS, using unlawfully obtained personal identification information of other individuals. The filing of false returns also triggered payment of Economic Impact Payments (EIPs) provided for under the CARES Act.	18 USC § 641, 1028A, 1343	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	4:20-cr-00157-ALM-CAN	1/2020-4/2020	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-26-james-mwanza-indictment	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-26-james-mwanza-indictment
Ameet Goyal	6/24/2020	USDOJ	PPP fraud	Ameet Goyal was charged with wire fraud, bank fraud, and making false statements for, inter alia, allegedly seeking over \$630,000 in forgivable loans guaranteed by the Small Business Administration by falsely representing that he was not under indictment, when in fact he was, and submitting two loan applications for the same business.	18 USC §§ 1001, 1014, 1035, 1343, 1344, 1347, 3147, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	7:19-cr-00844-CS	-	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-ameet-goyal-charged-healthcare-fraud-indicted-defrauding-sba-program	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-ameet-goyal-charged-healthcare-fraud-indicted-defrauding-sba-program
Jase DePaul Gautreaux	6/24/2020	USDOJ	PPP fraud	Jase DePaul Gautreaux was charged with wire fraud, bank fraud, money laundering, and making false statements for allegedly seeking over \$13 million in forgivable loans guaranteed by the Small Business Administration by falsifying his identity, misrepresenting the number of employees and payroll expenses of his putative businesses, and making other inaccurate statements.	-	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	4:20-mj-1106	-	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-jase-depaul-gautreaux-charged-covid-relief-fraud	-
Monica Magdalena Jaworska; Tarik Jaafar	6/24/2020	USDOJ	PPP fraud	Monica Magdalena Jaworska and Tarik Jaafar were charged with conspiring to commit wire fraud for allegedly seeking over \$1.4 million in forgivable loans guaranteed by the Small Business Administration by submitting 18 PPP-program loan applications in the names of four businesses, while claiming certain payroll tax returns were filed with the Internal Revenue Service for each of those businesses when in fact they were not.	18 USC § 1349	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	1:20-mj-167	4/2020-5/2020	12 months in prison and two years of supervised release (Jaafar); forfeiture (Jaworska)	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-monica-magdalena-jaworska-and-tarik-jaafar-charged-covid-relief-fraud	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-monica-magdalena-jaworska-and-tarik-jaafar-charged-covid-relief-fraud			
Nadine Consuelo Jackson	6/24/2020	USDOJ	PPP fraud	Nadine Consuelo Jackson was charged with bank fraud and making a false statement for allegedly seeking over \$2 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. She allegedly claimed to have 73 employees earning wages, when in fact there were few to no other employees working.	18 USC §§ 1001, 1344	Ohio	S.D. Ohio	Criminal enforcement	Yes	No	No	3:20-mj-00301-SIO	3/2020-5/2020	24 months incarceration	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-nadine-consuelo-jackson-charged-covid-relief-fraud	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-24-nadine-consuelo-jackson-charged-covid-relief-fraud			
Ashland Natural Medicine; John Chlebowski, ND, DC	6/23/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Ashland Natural Medicine and John Chlebowski, ND, DC that requires them to obey Oregon's Unlawful Trade Practices Act. Ashland Natural Medicine and Chlebowski agreed to refrain from making certain claims and representations regarding coronavirus or COVID-19 in connection with dietary supplements unless those claims are approved by the FDA, they have competent and reliable scientific evidence that substantiates the representation, and the claim or representation adheres to the Federal Food, Drug, and Cosmetic Act and any regulation promulgated by the FDA, including those promulgated pursuant to the Nutrition Labeling and Education Act.	ORS § 646.632	Oregon	Jackson County Circuit Court	Assurance of voluntary compliance	Yes	Yes	No	20CV21465	-	-	-	-	-	https://www.doj.state.or.us/media-home/news-media-releases/ag-covid19-settles-with-sic-companies-and-medical-clinics-selling-unproven-covid-19-treatments/	-
Fahad Shah	6/23/2020	USDOJ	PPP fraud	Fahad Shah was charged with wire fraud, making a false statement, and money laundering for allegedly seeking over \$3 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly claimed to have over 120 employees earning wages, when in fact no employees worked for his business at the time; he submitted fraudulent documentation to support his loan application; and Shah used proceeds from the loan primarily for personal purchases.	18 USC §§ 1014, 1343, 1957	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	4:20-cr-00156	4/2020-5/2020	-	-	-	-	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-23-fahad-shah-charged-covid-relief-fraud	https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-2020-06-23-fahad-shah-charged-covid-relief-fraud
Duke Inc. (d/b/a Regional Discount Pharmacy)	6/22/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Duke Inc. after the AG received tips alleging that the store was selling N95 face masks for unlawful prices; the business allegedly sold 353 face masks at \$8.00/each.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Lancaster County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	CI-20-04271	-	\$1,765.00 in civil penalties; \$181.25 for public protection and education purposes; \$741.30 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-chapter-stops-price-gouging-at-regional-discount-pharmacy-in-lancaster/	-			
Blackhawk Growth Corp. ("BLR2P")	6/22/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Blackhawk Growth Corp. ("BLR2P") because of questions regarding the accuracy and adequacy of information in the marketplace about Blackhawk and the market for its securities, including public statements made by Blackhawk between April and May 2020 in press releases and in video interviews regarding the company's purported agreement to distribute COVID-19 antibody test kits in the United States and other countries, and its sales and delivery of those test kits.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 89122	4/16/2020-5/11/2020	Temporary suspended trading	https://www.sec.gov/financing/usp/permissions/2020/4-89122.pdf	https://www.attorneygeneral.gov/content/uploads/2020/06/NatureNE2%20N95-Guide-AVC.pdf	https://www.attorneygeneral.gov/content/uploads/2020/06/NatureNE2%20N95-Guide-AVC.pdf		

Elijah Majak Buoi	6/22/2020	USDOJ	PPP fraud	Elijah Majak Buoi was charged with wire fraud and making false statements for allegedly seeking over \$13 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly misrepresented to the lender the number of employees he had, their payroll expenses, and that the United States was the employees' primary residence.	18 USC §§ 1014, 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-cr-10130-FDS	4/2020-6/2020	-	https://www.justice.gov/opa/pr/massachusetts-man-charged-covid-relief-fraud
Katrin Golan	6/18/2020	California AG	Price gouging	Katrin Golan was charged with violating an Executive Order prohibiting price gouging by allegedly charging an unconscionably excessive price for KN95 personal protective masks. An investigation by the California Department of Justice allegedly found that Golan had been purchasing individual masks for \$5 each and selling them at \$10 each.	GC § 8665	California	Los Angeles County Superior Court	Civil enforcement	Yes	No	No	0C00502	4/24/2020	-	https://oag.ca.gov/news/press-releases/attorney-general-press-release-against-price-gouging-during-covid-19
Bookit Operating, LLC; Arthur Paul Finlaw	6/18/2020	Massachusetts AG	Consumer fraud	Bookit Operating, LLC and Arthur Paul Finlaw were charged with engaging in unfair and deceptive acts and practices in violation of the Massachusetts Consumer Protection Act for allegedly taking money from consumers for travel reservations despite knowing the reservations would be cancelled due to the COVID-19 pandemic, and then refusing to pay refunds.	MGL ch. 93A, § 2; 940 CMR 15.03	Massachusetts	Suffolk County Superior Court	Civil enforcement	Yes	Yes	No	-	3/17/2020-3/21/2020	-	https://www.mass.gov/news/bookit-operating-llc-and-arthur-paul-finlaw-charged-with-violating-the-massachusetts-consumer-protection-act-for-allegedly-taking-money-from-consumers-for-travel-reservations-despite-knowing-the-reservations-would-be-cancelled-due-to-the-covid-19-pandemic-and-then-refusing-to-pay-refunds
Marcus Fultz (d/b/a Danielle's on 2nd); Ellen Fultz (d/b/a Danielle's on 2nd)	6/18/2020	Ohio AG	Price gouging	Marcus and Ellen Fultz were charged with violating the Ohio Consumer Sales Practices Act for allegedly hoarding hundreds of bottles of hand sanitizer during the COVID-19 pandemic and selling them online via Amazon for 11 times the retail price.	ORC §§ 1345.02, 1345.03	Ohio	Athens County Court of Common Pleas	Civil enforcement	Yes	No	No	20-CI-0110	2/2020-3/2020	-	https://www.ohioattorneygeneral.gov/Media/News-Releases/June-2020/AG-Violates-Privacy-Gouges-100s-Inflated-Hand-Sanit
Michael George McQuarn	6/18/2020	USDOJ	PPP fraud	Michael George McQuarn was charged with wire fraud and making false statements for allegedly seeking over \$2 million in forgivable loans guaranteed by the Small Business Administration based on fictitious companies. He allegedly caused to be submitted to the lender fraudulent applications and supporting paperwork, claiming the funds were for legitimate business purposes, when in fact the money was used for his own personal use.	15 USC § 645; 18 USC § 1343	Texas	W.D. Tex.	Criminal enforcement	Yes	No	No	1:20-mj-00526-AWA	4/2020-6/2020	-	https://www.justice.gov/usao-sao/mcquarn-complaint
David J. Jeanson II; Traffic Jam Events, LLC	6/17/2020	FTC	Deceptive marketing	David J. Jeanson II and Traffic Jam Events, LLC were charged with violating the FTC Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale.	15 USC § 45 (under 15 USC § 53)	Louisiana	E.D. La.	Civil enforcement	Yes	Yes	Yes	2:20-cv-01740-WBV-DM3/1/2020	Case dismissed by FTC to pursue a broader administrative proceeding	https://www.ftc.gov/news-events/press-releases/2020/06/market-used-deceptive-covid-19-stimulus-mailers-lure-consumers	
Landmark Supply, Inc.	6/17/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Landmark Supply, Inc. after the AG received a consumer complaint alleging that a Pennsylvania pharmacy was selling hand sanitizer for unlawful prices; Landmark Supply allegedly sold 8 oz. bottles of "Purell Hand Sanitizer" to Pennsylvania pharmacies for \$7.98 and \$9.50 per bottle.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Bucks County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$2,150.00 in civil penalties; \$261.09 for public protection and education purposes; \$160.51 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/press-releases/06-18-20/covid-19-pharmacy-supplier
Crawford Technology (HK) Co., LTD.	6/17/2020	USDOJ	Consumer fraud	Crawford Technology Group (HK) Co. LTD. was charged with violating the Federal Food, Drug and Cosmetic Act for allegedly causing misbranded and substandard respirators that falsely purported to meet various filtration efficiency standards to be imported into the United States. The company allegedly manufactured and sold 140,400 adulterated and misbranded KN95 filtering face piece respirators to another company for import into the United States.	18 USC § 2; 21 USC §§ 331, 333	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-mj-15272	5/6/2020	-	https://www.justice.gov/usao-nj/press-release/11/18/crawford-tech-charged-exporting-defective-and-misbranded-masks-falsely-purporting
Morningside Church Productions, Inc. d/b/a The Jim Bakker Show; Jim Bakker; Sherrill Sellman	6/16/2020	Arkansas AG	Consumer fraud	Morningside Church Productions, Inc., Jim Bakker, and Sherrill Sellman were charged with violating the Arkansas Deceptive Trade Practices Act for allegedly falsely telling Arkansas consumers that colloidal silver products would cure, eliminate, kill, or deactivate COVID-19.	AC §§ 4-88-107, 4-88-108	Arkansas	Pulaski County Circuit Court	Civil enforcement	Yes	Yes	No	60cv-20-3460	1/2020-3/2020	-	https://arkansasag.gov/media/2020/06/16/the-attach-ments/1473107-2020-06-products-to-cure-covid-19/
Rahul Shah	6/16/2020	USDOJ	PPP fraud	Rahul Shah was charged with bank fraud and making a false statement for allegedly seeking over \$400,000 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly caused to be submitted to the lender several different false and fraudulent IRS documents that misrepresented his company's payroll expenses.	18 USC §§ 1014, 1344	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	1:20-cr-00293	4/30/2020-5/18/2020	-	https://www.justice.gov/opa/pr/illinois-business-owner-charged-covid-relief-fraud
Nosayamen Iyalekhue; Esogie Osawaru	6/15/2020	USDOJ	Consumer fraud	Nosayamen Iyalekhue and Esogie Osawaru were charged with wire fraud for allegedly participating in a series of romance, pandemic unemployment insurance, and other online scams designed to defraud victims by convincing them to send money to accounts controlled by the defendants. These scams allegedly included collecting unemployment insurance in the name of others during the COVID-19 pandemic.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-mj-07137-JCB	10/2018-12/2018	-	https://www.usdoj.gov/opa/pr/massachusetts-national-charged-defrauding-victims-using-online-scams
Johnny T. Stine; North Coast Biologics	6/12/2020	Washington AG	Consumer fraud	Johnny T. Stine and his company North Coast Biologics were charged with violating the Washington State Consumer Protection Act for allegedly advertising a vaccine for COVID-19 and administering said product to about 30 people, most of whom were Washingtonians, without taking proper steps to evaluate its safety or effectiveness.	RCW 19.86.020	Washington	King County Superior Court	Civil enforcement	Yes	Yes	No	20-2-09935-0	3/2020-4/2020	-	https://www.wa.gov/news/13bucket.s3.amazonaws.com/2020_06_12/Complaint.pdf

Nelson Gomes; Michael Luckhoo-Bouche; Shane Schmidt; Douglas Roe; Kelly Warawa, Atlantean Management Corporation, and FFS Capital Limited were charged with securities fraud; Nelson Gomes, Douglas Roe, Kelly Warawa, Michael Luckhoo-Bouche, FFS Capital, Atlantean Management Corporation, Palfang Trading Limited, Artefactor Limited, Meadow Asia Limited, and Thyme International were charged with unregistered offerings of securities; Nelson Gomes was charged with failing to report over five percent beneficial ownership; and Nelson Gomes, Michael Luckhoo-Bouche, and FFS Capital were charged with aiding and abetting for a stock-dumping scheme that were boosted by promotional campaigns that, in some instances, included false and misleading information designed to fraudulently capitalize on the COVID-19 pandemic.	15 USC §§ 77q, 78j and 17 CFR § 240.10b-5 (Gomes, FFS Capital, Schmidt, Roe, Warawa, Luckhoo, Atlantean); 15 USC § 77e (Gomes, FFS Capital, Roe, Warawa, Luckhoo, Atlantean, Palfang, Artefactor, Meadow Asia, Thyme International); 15 USC § 78m and 17 CFR § 240.13d-1 (Gomes); 15 USC §§ 77b, 78j (Gomes, Luckhoo, FFS Capital)	Massachusetts	D. Mass.	Civil enforcement	Yes	Yes	No	1:20-cv-11092-FDS	1/2018-6/2020	-	https://www.sec.gov/news/press-release/2020-133	https://www.secdatabase.com/secfilings/?fundamental=1&company=Nelson+Gomes	-					
Stephen Matthew Shumaker	6/11/2020	USDOJ	Consumer fraud	Stephen Matthew Shumaker was charged with mail fraud and knowingly distributing and selling a misbranded pesticidal device for allegedly soliciting thousands of Georgia residents with false claims that the "Beyond Guardian Air" air purifier kills every major viral and bacterial infection, including the COVID-19 coronavirus disease, in the home. The device was also allegedly a misbranded pesticidal device.	7 USC § 136j, 136i; 18 USC § 1341	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00457-CCB	4/27/2020	-	https://www.justice.gov/usdoj-criminal-principal-attorney-new-york-office/press-releases/2020-06-11/shumaker-scheme-sell-illegal-products-claiming-protect-against-covid	https://www.secdatabase.com/secfilings/?fundamental=1&company=Stephen+Matthew+Shumaker	-	
Amazon.com Services LLC	6/10/2020	EPA	FIFRA violation	The EPA issued a Stop Sale, Use, or Removal Order to Amazon.com Services LLC for violating the Federal Insecticide, Fungicide, and Rodenticide Act by allegedly distributing or selling, and intending to continue distributing or selling, unregistered pesticides and misbranded pesticides, including some with claims for use against SARS-CoV-2, the virus that causes COVID-19. Amazon.com had 30 days to account for these products.	7 USC § 136j (under 7 USC § 136a)	Washington	-	Civil enforcement	No	Yes	No	-	12/2019-6/2020	-	https://www.epa.gov/enforcement/stop-sale-use-removal-order-amazon-com-services-llc	https://www.secdatabase.com/secfilings/?fundamental=1&company=Amazon.com+Services+LLC	-	
eBay, Inc.	6/10/2020	EPA	FIFRA violation	The EPA issued a Stop Sale, Use, or Removal Order to eBay, Inc. for violating the Federal Insecticide, Fungicide, and Rodenticide Act by allegedly distributing or selling, and intending to continue distributing or selling, pesticides that were unregistered, misbranded, or that were classified for restricted-use, including some with unapproved claims for use against SARS-CoV-2, the virus that causes COVID-19, and/or coronavirus. eBay had 10 days to account for these products.	7 USC § 136j (under 7 USC § 136a)	California	-	Civil enforcement	No	Yes	No	-	3/2018-6/2020	-	https://www.epa.gov/enforcement/stop-sale-use-removal-order-ebay-inc	https://www.secdatabase.com/secfilings/?fundamental=1&company=ebay+Inc	-	
Frank Borgese; Borgese Holdings, Inc. d/b/a IMPACT Medical & Surgical Solutions	6/10/2020	New York AG	Consumer fraud	Frank Borgese and Borgese Holdings, Inc. d/b/a IMPACT Medical & Surgical Solutions were charged with fraud for allegedly engaging in repeated and persistent fraudulent business practices related to the solicitation of orders for personal protective equipment, such as 3M-branded N95 respirator masks and KN95 respirator masks. These practices allegedly included repeatedly and persistently making false, deceptive, and misleading solicitations; offering for sale critically-needed respirator masks which Borgese and Borgese Holdings did not possess and could not deliver; providing deceptive delivery times belied by their own experiences; and providing misleading information about the products they purported to sell, as well as a false reference, to prospective purchasers.	EXC § 63	New York	New York County State Supreme Court	Civil enforcement	Yes	Yes	No	(No number yet found)	3/2020-5/2020	-	https://www.ny.gov/press-releases/2020/06/10/ny-ag-announces-charge-against-borgese-holdings-inc-for-allegedly-fraudulent-practices	https://www.secdatabase.com/secfilings/?fundamental=1&company=Frank+Borgese	-	
Approximately \$205,242.23 in PayPal, Inc. Account No. XXXXXXXXXX000002382, Approximately \$128,868.73 in PayPal, Inc. Account No. XXXXXXXXXX000001839, Approximately \$194,982.40 in PayPal Inc. Account No. XXXXXXXXXX000002700, and Approximately \$13,923.97 in PayPal Inc. Account No. XXXXXXXXXX000057714 Jason C. Nielsen	6/10/2020	USDOJ	Consumer fraud	The United States brought a civil forfeiture action to recover more than \$543,000 from individuals based in China who purported to sell face masks and N-95 respirators at inflated prices but never shipped the products.	18 USC §§ 1341, 1343, 1956, 1957 (under 18 USC § 981)	Virginia	W.D. Va.	Civil enforcement	Yes	No	No	7:20-cv-00321-EKD	2/2020-6/2020	-	https://www.justice.gov/usdoj-civil-division/press-releases/2020-06-10/usdoj-announces-civil-forfeiture-action-to-recover-more-than-543000-from-individuals-based-in-china-who-purported-to-sell-face-masks-and-n95-respirators-at-inflated-prices-but-never-shipped-the-products	https://www.secdatabase.com/secfilings/?fundamental=1&company=Jason+C.+Nielsen	https://www.irs.gov/irs-notice/2020-06-10/irs-notice-2020-06-10	-
Jason C. Nielsen	6/9/2020	SEC	Securities fraud	Jason Nielsen was charged with securities fraud for allegedly attempting to drive the stock price of Arrayit Corporation securities higher by using online posts encouraging investors to purchase shares, including numerous messages repeating a false assertion regarding an approved COVID-19 test, without disclosing Nielsen's own large position in Arrayit stock, or his plans to sell the shares while others were buying. Nielsen also allegedly created the false impression of high demand for Arrayit stock by placing and subsequently canceling several large orders to purchase shares, a tactic known as "spoofing."	15 USC §§ 77f, 78j, 78k; 17 CFR § 240.10b-5	California	N.D. Cal.	Civil enforcement	Yes	No	No	5:20-cv-03788	3/2/2020-4/13/2020	-	https://www.sec.gov/news/press-release/2020-128	https://www.secdatabase.com/secfilings/?fundamental=1&company=Jason+C.+Nielsen	-	
Mark Schena	6/9/2020	USDOJ	Securities fraud	Mark Schena was charged with securities fraud and conspiring to commit health care fraud for allegedly paying kickbacks and bribes to recruiters and doctors to run allergy screening tests on his company Arrayit Corporation's proprietary testing system, and issuing press releases and tweets about partnerships with major companies and government agencies without disclosing the partnerships did not exist or were of de minimis value. As the COVID-19 crisis escalated, Schena falsely claimed that his company could provide accurate, fast, reliable and cheap COVID-19 tests in compliance with state and federal regulations, and made numerous misrepresentations to potential investors about the COVID-19 tests and Arrayit's future prospects for COVID-19 testing.	15 USC §§ 78j, 78ff; 18 U.S.C. §§ 1347, 1349; 17 CFR § 240.10b-5	California	N.D. Cal.	Criminal enforcement	Yes	No	No	5:20-mj-70721-MAG	2016-6/2020	-	https://www.justice.gov/usdoj-criminal-principal-attorney-new-york-office/press-releases/2020-06-09/usdoj-announces-charge-against-schena-for-allegedly-paying-kickbacks-and-bribes-to-recruiters-and-doctors-to-run-allergy-screening-tests-on-his-company-arrayit-corporation-s-proprietary-testing-system-and-issuing-press-releases-and-tweets-about-partnerships-with-major-companies-and-government-agencies-without-disclosing-the-partnerships-did-not-exist-or-were-of-de-minimis-value	https://www.secdatabase.com/secfilings/?fundamental=1&company=Mark+Schena	-	
Nature's Garden, Ltd.	6/8/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Nature's Garden, Ltd. after the AG received tips alleging that the store was selling facemasks for unlawful prices; the business allegedly sold 28 two-packs of facemasks for \$23.95 per pair.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Berks County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$1,400.00 in civil penalties; \$202.75 for public protection and education purposes; \$234.00 in restitution for consumers	https://www.attg-pa.gov/press-releases/2020-06-08/attg-announces-action-against-natures-garden-ltd-for-allegedly-violating-price-gouging-laws	https://www.secdatabase.com/secfilings/?fundamental=1&company=Nature's+Garden,+Ltd	-	
Geoffrey Palermo	6/5/2020	USDOJ	PPP fraud	Geoffrey Palermo was charged with wire fraud, honest services wire fraud, and making a false statement for allegedly embezzling money through capital improvement kickback schemes and making false statements on loan applications. Among these alleged schemes, Palermo allegedly sought millions of dollars in forgivable loans guaranteed by the SBA, falsely certifying that his company had employees for whom it was paying salaries and payroll taxes, when in fact Palermo decided not to pay required payroll taxes since at least mid-2019.	18 USC §§ 1014, 1343, 1346	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:20-mj-70681-AGT	12/2013-4/2020	-	https://www.justice.gov/usdoj-criminal-principal-attorney-new-york-office/press-releases/2020-06-05/usdoj-announces-charge-against-palermo-for-allegedly-embezzling-money-through-capital-improvement-kickback-schemes-and-making-false-statements-on-loan-applications	https://www.secdatabase.com/secfilings/?fundamental=1&company=Geoffrey+Palermo	-	
King Year Packaging and Printing Co. Ltd.	6/5/2020	USDOJ	Consumer fraud	King Year Packaging and Printing Co. Ltd. was charged with violating the Federal Food, Drug and Cosmetic Act and making a false statement for allegedly manufacturing 495,200 defective and misbranded masks that the company claimed to be N95 respirators, and causing those defective products to be imported into the United States.	18 USC §§ 1001, 2; 21 USC §§ 331, 333	New York	E.D.N.Y.	Criminal enforcement	No	Yes	No	1:20-mj-00416-SMG	4/6/2020-4/21/2020	-	https://www.justice.gov/usdoj-criminal-principal-attorney-new-york-office/press-releases/2020-06-05/usdoj-announces-charge-against-king-year-packaging-and-printing-co-ltd-for-allegedly-violating-the-federal-food-drug-and-cosmetic-act-and-making-a-false-statement	https://www.secdatabase.com/secfilings/?fundamental=1&company=King+Year+Packaging+and+Printing+Co.+Ltd	-	
Benjamin Hayford	6/4/2020	USDOJ	PPP fraud	Benjamin Hayford was charged with embezzlement, making false statements, wire fraud, and bank fraud for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely represented to the lender that the company for which he applied for relief met the program's requirement that it was in operation prior to Feb. 15, 2020, when in fact he did not create the partnership until April 2020, several days before applying the PPP loans.	18 USC §§ 645, 1014, 1343, 1344	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	4:20-mj-00140-FHM	3/31/2020-5/2020	-	https://www.justice.gov/usdoj-criminal-principal-attorney-new-york-office/press-releases/2020-06-04/usdoj-announces-charge-against-hayford-for-allegedly-violating-the-small-business-administrations-requirements-for-payroll-expenses	https://www.secdatabase.com/secfilings/?fundamental=1&company=Benjamin+Hayford	-	

William Sadleir	5/22/2020	USDOJ	PPP fraud	William Sadleir was charged with wire fraud, bank fraud, and making false statements for allegedly seeking over \$1.7 million in forgivable loans guaranteed by the Small Business Administration and falsely certifying that they would be used for payroll expenses. In fact, Sadleir used the proceeds to pay off personal expenses, including credit card debts and a car loan.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2-20-mj-02326-DUTY	4/30/2020-5/5/2020	-	https://www.justice.gov/opa/pr/7th-circuit-complaint-alleged-fraud-producer-charged-17-million-covid-relief-fraud	-
Tuning Element, LLC	5/21/2020	Missouri AG	Price gouging	Tuning Element, LLC was charged with price gouging and making misrepresentations regarding face masks and respirators it allegedly began selling during the COVID-19 pandemic. The masks allegedly included 5-layer KN95 masks for \$20 each; 3-layer KN95 masks for \$15 each; and 50-count boxes of 3-layer disposable masks for \$99, even though these items typically sold for much less. The company also allegedly misrepresented the respiratory and anti-infection protection provided by these products and their approval by the FDA and other federal agencies.	MRS § 407.020	Missouri	Taney County Circuit Court	Civil enforcement	No	Yes	No	2046-C000115	3/2020-5/2020	-	https://www.mo.gov/home/news-articles/2020/05/21/tuning-element-charged-fraudulent-practices-general-schmitt-files-lawsuit-against-brands-area-business-for-inflated-mask-prices-deceptive-sales-practices	https://www.illc.gov/system/files/05012020/maskscase/400384_wholeleaf_acc.pdf
EZ Dollar Plus, LLC (d/b/a E-Z Dollar Plus)	5/21/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with EZ Dollar Plus, LLC after the AG received tips alleging that the store was selling facemasks and hand sanitizers for unlawful prices; the business allegedly sold 20-packs of facemasks for \$24.99 and 2-ounce hand sanitizers for \$5.99.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Philadelphia County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$600.00 in civil penalties; \$35.25 for public protection and education purposes; \$158.16 in restitution for consumers	https://www.attg.net/general-agov/tainco-action/covid-19/ag-shapiro-stops-price-gouging-at-philadelphia-ez-dollar-plus/	-
Muge Ma (aka "Hummer Man")	5/21/2020	USDOJ	PPP fraud	Muge Ma was charged with bank fraud, wire fraud, fraud against the United States, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Ma allegedly represented that he owned two companies with hundreds of employees and millions in wages, when in fact Ma was the only employee of these companies.	15 USC § 646; 18 USC §§ 1001, 1014, 1031, 1343, 1344	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-05202-UA	3/2020-5/15/2020	-	https://www.justice.gov/opa/pr/1st-circuit-complaint-alleged-fraudulent-obtain-loans-misleading-hub	https://www.ajc.com/stories/2020/05/21/muge-ma-arrested-national-arrested-release/112720-million-scheme-fraudulently-obtain-loans-misleading-hub
WOD Retail Solutions Inc. ("WOD Retail")	5/20/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of WOD Retail Solutions Inc. because of questions regarding the accuracy and adequacy of information in the marketplace. WOD Retail allegedly claimed that its automated kiosks for selling retail items in gyms would generate additional revenue as a result of the COVID-19 pandemic, when in fact the company had earned no revenue in 2018 or 2019, and text and email promotions from an unknown source claimed WOD Retail would expand business to take advantage of the need for its products during the COVID-19 pandemic, despite WOD Retail's apparent lack of business activity and revenue.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88916	-	Temporary suspended trading	https://www.sec.gov/litigation/litpersions/2020/3-4-88916.pdf	Ching agreement
Samuel Morgan Yates	5/19/2020	USDOJ	PPP fraud	Samuel Yates was charged with wire fraud, bank fraud, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely claimed that he had over 400 employees earning wages, when in fact he had no employees working for the purported business.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	5:20-mj-00015-CMC	4/14/2020	-	https://www.justice.gov/opa/pr/7th-circuit-complaint-alleged-fraud-producer-charged-17-million-covid-relief-fraud	-
BioMed Nutritional Center-Providence Parkway, LLC	5/15/2020	Michigan AG	Price gouging	The Michigan Attorney General entered into an assurance of voluntary compliance with BioMed Nutritional Center-Providence Parkway, LLC after the company allegedly violated the Michigan Consumer Protection Act by selling hand sanitizer, gloves, and masks at grossly inflated prices.	MCL § 445.901	Michigan	-	Assurance of voluntary compliance	No	Yes	No	20-39-CP	3/1/2020-5/6/2020	\$2,000 fine	-	-
Ashley Hoobler Parris	5/15/2020	USDOJ	Health care fraud	Ashley Hoobler Parris was charged with conspiring to violate the Anti-Kickback Statute and conspiring to commit health care fraud for allegedly soliciting and receiving illegal kickback payments from the owners and operators of a diagnostic testing laboratory in exchange for referring Medicare beneficiaries to this laboratory. Her scheme later involved paid kickbacks on a per-test basis for COVID-19 tests, provided that those tests were bundled with other more expensive tests.	18 USC §§ 371, 1349	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:20-mj-01475-T-SPF	10/2018-3/30/2020	-	https://www.justice.gov/opa/pr/1st-circuit-complaint-alleged-fraudulent-obtain-loans-misleading-hub	https://www.ajc.com/stories/2020/05/21/muge-ma-arrested-national-arrested-release/112720-million-scheme-fraudulently-obtain-loans-misleading-hub
Applied BioSciences Corp.	5/14/2020	SEC	Securities fraud	Applied BioSciences Corp. was charged with securities fraud for allegedly issuing a March 2020 press release that it had begun offering and shipping supposed finger-prick COVID-19 tests to the general public that could be used for "Homes, Schools, Hospitals, Law Enforcement, Military, Public Servants or anyone wanting immediate and private results," when in fact the tests were not intended for home use by the general public and could only be administered in consultation with a medical professional. Moreover, the company allegedly had not shipped any COVID-19 tests by March 2020, and its tests were not authorized by the FDA.	15 USC § 78f; 17 CFR § 240.10b-5	New York	S.D.N.Y.	Civil enforcement	No	Yes	Yes	1:20-cv-03729	3/25/2020-3/31/2020	-	https://www.sec.gov/litigation/litpersions/2020/3-25-03729.htm	https://www.secdaily.com/news/2020/03/25/111-applied.pdf
Turbo Global Partners, Inc.; Robert W. Singerman	5/14/2020	SEC	Securities fraud	Turbo Global Partners, Inc. and its CEO Robert W. Singerman were charged with securities fraud for allegedly issuing press releases that the company could sell products that could assist in detecting individuals infected with the COVID-19 virus, when in fact the products could not, and Singerman drafted the press releases knowing they were false.	15 USC § 78f; 17 CFR § 240.10b-5	Florida	M.D. Fla.	Civil enforcement	Yes	Yes	Yes	8:20-cv-01120	2/2020-3/30/2020	-	https://www.sec.gov/litigation/litpersions/2020/3-25-03729.htm	https://www.secdaily.com/news/2020/03/25/111-applied.pdf
Xephyr LLC d/b/a N-Ergetics; Brad Brand; Derrell J. Fussell; Linda Fussell	5/14/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Xephyr LLC d/b/a N-Ergetics and its owners Brad Brand, Derrell J. Fussell, and Linda Fussell from selling colloidal silver, which they promoted as a treatment and cure for COVID-19, despite it not being approved by the FDA for that purpose or being recognized by qualified experts as safe and effective.	21 USC § 333 (under 21 USC § 332)	Oklahoma	E.D. Okla.	Civil enforcement	Yes	Yes	No	6:20-cv-00140-RAW	3/17/2020	-	https://www.justice.gov/opa/pr/7th-circuit-complaint-alleged-fraud-producer-charged-17-million-covid-relief-fraud	https://www.ajc.com/stories/2020/05/21/muge-ma-arrested-national-arrested-release/112720-million-scheme-fraudulently-obtain-loans-misleading-hub
Krature Co., Inc. d/b/a N-Ergetics; Angela Oh	5/13/2020	Los Angeles City Attorney	Consumer fraud	The LA City Attorney has reached a settlement with Krature Co. Inc., d/b/a N-Ergetics, and its CEO Angela Oh in connection with the company's misleading claims that its Radish Paste product could prevent or protect against COVID-19. Pursuant to the settlement, defendants must make restitution and pay a civil penalty, in addition to being enjoined from making further misleading claims about their products.	California Business and Professions Code § 17200 et seq. (Unfair Competition Law); Business and Professions Code § 17500 et seq. (False Advertising Law)	California	Superior Court of the State of California, County of Los Angeles, Central District	Civil complaint	Yes	Yes	No	Case No. 20STCV18300	3/17/2020-5/14/2020	Restitution and \$20,000 civil penalty; defendants permanently enjoined from making misleading claims about Radish Paste	https://files.courtney.org/ops/000/O00088804/filings/2020/05/13/20STCV18300-00001.pdf	https://www.illc.gov/system/files/05012020/maskscase/400384_wholeleaf_acc.pdf
Maurice Fayne (aka "Arkansas Mo")	5/13/2020	USDOJ	PPP fraud	Maurice Fayne was charged with bank fraud for allegedly seeking millions in forgivable loans guaranteed by the Small Business Administration that he certified he would use to retain workers and cover business expenses. But Fayne allegedly used over \$1.5 million of the loan proceeds for personal expenses, including jewelry and child support.	18 USC § 1344	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00370-ISA	4/28/2020	-	https://www.justice.gov/opa/pr/7th-circuit-complaint-alleged-fraud-producer-charged-17-million-covid-relief-fraud	-
Shashank Shekhar Rai	5/13/2020	USDOJ	PPP fraud	Shashank Rai was charged with wire fraud, bank fraud, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely claimed that he had 250 employees earning wages, when in fact he had no employees working for the purported business.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	1:20-mj-00095-ZH	4/8/2020	-	https://www.justice.gov/opa/pr/7th-circuit-complaint-alleged-fraud-producer-charged-17-million-covid-relief-fraud	-

Matthew Owens	5/11/2020	USDOJ	Consumer fraud	Matthew Owens was charged with smuggling and the receipt and delivery of misbranded drugs for allegedly purchasing, importing, and offering for sale chloroquine imported from China.	18 USC § 545; 21 USC § 331	Oregon	D. Or.	Criminal enforcement	Yes	No	No	3-20-mj-00107	3/20/20-4/2020	-	-	https://www.justice.gov/usdoj-cv/cv-00107	https://www.justice.gov/usdoj-cv/cv-00107
Apna Bazaar LLC (d/b/a Apna Bazar); Rajvinder Singh	5/7/2020	California AG	Price gouging	Apna Bazaar LLC and Rajvinder Singh were charged with misdemeanor price gouging for allegedly increasing the prices of essential food items over the 10 percent threshold under California's price gouging law, including yellow onions, ginger, green beans, instant noodles, tea, chili peppers, pomegranates and red yams.	PC § 396	California	Alameda County Superior Court	Criminal enforcement	Yes	Yes	No	20-cr-006764A	-	-	-	https://ag.ca.gov/newsroom/press-releases/2020/05/07/apna-bazaar-llc-and-alameda-county-district-attorney-announce	https://ag.ca.gov/newsroom/press-releases/2020/05/07/apna-bazaar-llc-and-alameda-county-district-attorney-announce
Tejz, Inc. (d/b/a Convenience Smoke Shop and d/b/a Fresh & Easy Convenience Store)	5/7/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq., 232.1 et seq. Tejz, Inc. after the AG received a tip alleging that the store was selling Lysol cleaning wipes for an unlawful price; the business allegedly sold Lysol wipes for \$8.99 and \$14.99, depending on the size.	seq.	Pennsylvania	Delaware County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$600.00 in civil penalties; \$35.25 for public protection and education purposes; \$119.88 in restitution for consumers	-	https://www.attorneygeneral.gov/newsroom/press-releases/2020/05/07/ag-appears-in-court-with-delaware-county-convenience-store-for-price-gouging	https://www.in.gov/content/dam/tvo/tornewspapers/documents/jr/2020/jr2-9-19-ws.pdf
Shawn Parcels	5/5/2020	Kansas AG	Consumer fraud	The Kansas AG sought to enjoin Shawn Parcels from offered consulting services for coronavirus and COVID-19, which allegedly amounted to deceptive or unconscionable misrepresentations in violation of the Kansas Consumer Protection Act. Parcels was allegedly offering to enter homes and businesses, perform swabs for purported coronavirus testing and examine deceased persons to determine if they were positive for COVID-19.	-	Kansas	Shawnee County District Court	Civil enforcement	Yes	No	No	2019-cv-000233	-	-	-	https://ag.ks.gov/media-center/news-releases/2020/05/05/ag-derek-schmidt-johnson-joins.pdf?sfvrsn=county-main-164e4c1a_2	https://ag.ks.gov/media-center/news-releases/2020/05/05/ag-derek-schmidt-johnson-joins.pdf?sfvrsn=county-main-164e4c1a_2
Custom Protection Services, Inc. ("CSPS")	5/5/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Custom Protection Services Inc. ("CSPS") because of questions regarding the accuracy and adequacy of information in the marketplace about CSPS and its securities since at least March 2020. CSPS allegedly issued potentially inaccurate press releases about its development of frontline screening solutions for COVID-19, the overwhelming response it received, and that it would earn \$10,000 each day in gross revenue from new contracts.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88815	-	Temporary suspended trading	https://www.sec.gov/litigation/sec-pensions/2020/3-4-88815.pdf	-	
David Adler Staveley (aka "Kurt David Sanborn" and "David Sanborn")	5/5/2020	USDOJ	PPP fraud	David Staveley was charged with conspiring to make a false statement, conspiring to commit bank fraud, and aggravated identity theft for allegedly seeking \$418,500 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Staveley, after discussing submitting fraudulent loans applications with his alleged co-conspirator David Butziger, claimed on his loan application to have dozens of employees earning wages at three restaurants he owned, when in fact two of the restaurants had no employees and Staveley did not own the third restaurant.	18 USC §§ 371, 1028A, 1349	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00034-LDA	4/6/2020-4/27/2020	-	-	https://www.justice.gov/usdoj-cv/cv-00034	https://www.justice.gov/usdoj-cv/cv-00034
David Butziger	5/5/2020	USDOJ	PPP fraud	David Butziger was charged with conspiring to make a false statement, conspiring to commit bank fraud, and bank fraud, for allegedly seeking \$405,381 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Butziger, after discussing submitting fraudulent loans applications with his alleged co-conspirator David Staveley, claimed on his loan application to have seven full-time employees on his payroll, when there was no record of such.	18 USC §§ 371, 1344, 1349	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00033-LDA	4/6/2020-4/27/2020	-	-	https://www.justice.gov/usdoj-cv/cv-00033	https://www.justice.gov/usdoj-cv/cv-00033
CNS Pharmaceuticals Inc. ("CNSP")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of CNS Pharmaceuticals, Inc. ("CNSP") because of questions regarding the accuracy and adequacy of information in the marketplace about CNSP and its securities since at least March 2020. CNSP and others allegedly issued press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88802	-	Temporary suspended trading	https://www.sec.gov/litigation/sec-pensions/2020/3-4-88802.pdf	-	
Moleculin Biotech, Inc. ("MBRX")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Moleculin Biotech, Inc. ("MBRX") because of questions regarding the accuracy and adequacy of information in the marketplace about MBRX and its securities since at least March 2020. MBRX and others issued potentially inaccurate press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88801	3/19/2020-4/16/2020	Temporary suspended trading	https://www.sec.gov/litigation/sec-pensions/2020/3-4-88801.pdf	-	
WPD Pharmaceuticals, Inc. ("WCOTF")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of WPD Pharmaceuticals, Inc. ("WCOTF") because of questions regarding the accuracy and adequacy of information in the marketplace about WCOTF and its securities since at least March 2020. WCOTF and others issued potentially inaccurate press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88800	3/29/2020-4/13/2020	Temporary suspended trading	https://www.sec.gov/litigation/sec-pensions/2020/3-4-88800.pdf	-	
Nano Magic Inc. ("NMGX")	4/30/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Nano Magic, Inc. ("NMGX") because of questions regarding the accuracy and adequacy of information in the marketplace about NMGX since at least February 2020. The information included claims that the company had a patent for a disinfectant that kills "coronavirus," and a statement made by the company in April regarding its involvement in the fight against COVID-19.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88789	2/24/2020-4/7/2020	Temporary suspended trading	https://www.sec.gov/litigation/sec-pensions/2020/3-4-88789.pdf	-	
My Doctor Suggests LLC (d/b/a My Health 4/29/2020 Supplier); GP Silver LLC; Gordon Pedersen	4/29/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Gordon Pedersen and his companies My Doctor Suggests LLC d/b/a My Health Supplier and GP Silver LLC from ongoing wire fraud and mail fraud for allegedly fraudulently promoting and selling various silver products for the treatment and prevention of COVID-19.	18 USC §§ 1341, 1343 (under 18 USC § 1345)	Utah	D. Utah	Civil enforcement	Yes	Yes	No	2:20-cv-00279-D88	1/30/2020-4/23/2020	-	-	https://www.justice.gov/usdoj-cv/cv-00279	https://www.justice.gov/usdoj-cv/cv-00279
Marc Ching (d/b/a Whole Leaf Organics)	4/28/2020	FTC	Consumer fraud	Marc Ching, doing business as Whole Leaf Organics, was charged with violating the FTC Act for allegedly making false or unsubstantiated COVID-19 claims in connection with the advertising, promotion, offering for sale, or sale of Thrive, an ingestible product consisting of Vitamin C and herbal extracts. In particular, Ching allegedly claimed that Thrive treats, prevents or reduces the risk of COVID19.	15 USC §§ 45, 52	-	-	Administrative enforcement	Yes	No	No	Docket no. 9394	-	-	-	https://www.ftc.gov/news-events/press-releases/2020/04/28/thrive-supplement-market-agrees-to-preliminary-order-haring-him	https://www.ftc.gov/news-events/press-releases/2020/04/28/thrive-supplement-market-agrees-to-preliminary-order-haring-him
Marc Ching (d/b/a Whole Leaf Organics)	4/28/2020	FTC	Consumer fraud	The FTC sought to enjoin Marc Ching, doing business as Whole Leaf Organics, from ongoing violations of the FTC Act for allegedly selling a supplement consisting mainly of Vitamin C and herbal extracts that he claimed was effective at treating, preventing, or reducing the risk of COVID-19. In fact there was no proof that any product would treat and prevent COVID-19.	15 USC §§ 45, 52 (under 15 USC § 53)	California	C.D. Cal.	Civil enforcement	Yes	No	Yes	2:20-cv-03775-JAK-MAA	-	-	-	https://www.ftc.gov/news-events/press-releases/2020/04/28/thrive-supplement-market-agrees-to-preliminary-order-haring-him	https://www.ftc.gov/news-events/press-releases/2020/04/28/thrive-supplement-market-agrees-to-preliminary-order-haring-him

Praxsyn Corporation; Frank J. Brady	4/28/2020	SEC	Securities fraud	Praxsyn Corp. and its CEO Frank Brady were charged with securities fraud for allegedly issuing false and misleading press releases claiming that the company was able to acquire and supply large quantities of N95 or similar masks to protect wearers from the COVID-19 virus, when in fact Praxsyn never had any masks in its possession, nor any orders for masks, nor any contract with a manufacturer or supplier to obtain masks.	15 USC § 78j; 17 CFR § 240.10b-5	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	Yes	9:20-cv-80706-RAR	2/27/2020-3/4/2020	-	https://www.sec.gov/litigation/correspondence/2020/04/28/20042807.htm	https://www.sec.gov/litigation/correspondence/2020/04/28/20042807.htm
Charles Mok	4/28/2020	USDOJ	Health care fraud	Charles Mok was charged with health care fraud and conspiring to commit health care fraud for allegedly submitting false and fraudulent claims to Medicare, including claims for high-dose intravenous vitamin C infusions to patients at risk of contracting COVID-19, while failing to observe protocols to isolate patients who tested positive for COVID-19.	18 USC §§ 1347, 1349	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30163-DUTY	1/1/2018-4/24/2020	-	https://www.justice.gov/opa/pr/2020/04/28/usdoj-announces-charged-doctor-calls-fraud-scheme	https://www.justice.gov/opa/pr/2020/04/28/usdoj-announces-charged-doctor-calls-fraud-scheme
Rodney Lewis Stevenson, II	4/28/2020	USDOJ	Consumer fraud	Rodney Stevenson II was charged with wire fraud for allegedly operating an e-commerce web site that scammed customers into paying for N95 masks that they never received.	18 USC §§ 1343, 2	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:20-mj-70483-AGT	2/28/2020-4/15/2020	-	https://www.justice.gov/opa/pr/2020/04/28/usdoj-announces-charged-doctor-calls-fraud-scheme	https://www.justice.gov/opa/pr/2020/04/28/usdoj-announces-charged-doctor-calls-fraud-scheme
Donald Allen; Manuel Revolorio	4/27/2020	USDOJ	Consumer fraud	Donald Allen and Manuel Revolorio were charged with conspiring to commit wire fraud for allegedly seeking more than \$4 million from a purported purchaser of personal protective equipment, even though the two did not own nor had authorization to sell such equipment.	18 USC § 1349	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-00318-RIM	3/2020-4/2020	-	https://www.justice.gov/opa/pr/2020/04/27/usdoj-announces-individuals-arrested-conspiracy-default-purported-purchasers-personal-protective	https://www.justice.gov/opa/pr/2020/04/27/usdoj-announces-individuals-arrested-conspiracy-default-purported-purchasers-personal-protective
Michael Evan Noteboom	4/26/2020	Iowa AG	Price gouging	Michael Evan Noteboom was sued by the Iowa Attorney General for allegedly charging excessive prices on more than 250 items on eBay, including toilet paper, paper towels and disinfecting and sanitizing products. Further investigation allegedly showed that from March 2 through March 27, the seller had sold 253 items needed by COVID-19 disaster victims on eBay to consumers in California, Illinois, New York, Michigan, Texas and several states. The highest price item was a 12-count package of Bounty paper towels for \$119.99.	-	Iowa	Sioux County District Court	Civil enforcement	Yes	No	No	Equity No. 028533	-	-	https://www.iowaattorneygeneral.gov/newsroom/covid-19-price-gouging-lawsuit	https://www.iowaattorneygeneral.gov/newsroom/covid-19-price-gouging-lawsuit
Amardeep Singh (aka "Bobby Singh" and "Bobby Sidana")	4/24/2020	USDOJ	Price gouging	Amardeep Singh was charged with violating the Defense Production Act for allegedly hoarding personal protective equipment during the COVID-19 pandemic, and selling them at inflated prices.	50 USC §§ 4512, 4513	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	2:20-mj-00326-SIL	3/25/2020-4/8/2020	Under the terms of his Deferred Prosecution Agreement with the Government, Singh will donate PPE valued at more than \$450,000 to hospitals, health care providers, first responders and other essential workers involved with addressing the needs of those affected by the pandemic and working to prevent the spread of COVID-19	https://www.justice.gov/opa/pr/2020/04/24/usdoj-announces-charged-under-defense-production-act-hoarding-and-price-gouging-scarc-0	https://www.justice.gov/opa/pr/2020/04/24/usdoj-announces-charged-under-defense-production-act-hoarding-and-price-gouging-scarc-0
Purity Health and Wellness Centers, Inc.; Jean Juanita Allen	4/24/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Purity Health and Wellness Centers, Inc. and one of its principals, Jean Juanita Allen, from ongoing wire fraud for allegedly claiming that one of Purity Health's 1345 treatments was 95 percent effective even for someone who had tested positive for COVID-19, and that their treatment was the only prevention for the disease, as well as other diseases including cancer, SARS, and Ebola.	18 USC § 1343 (under 18 USC § 1345)	Texas	N.D. Tex.	Civil enforcement	Yes	Yes	No	3:20-cv-00985-L	4/13/20-4/21/20	Permanent injunction	https://www.justice.gov/opa/pr/2020/04/24/usdoj-announces-charged-under-defense-production-act-hoarding-and-price-gouging-scarc-0	https://www.justice.gov/opa/pr/2020/04/24/usdoj-announces-charged-under-defense-production-act-hoarding-and-price-gouging-scarc-0
David J. Jeansonne II; Traffic Jam Events, LLC	4/23/2020	Florida Attorney General	Deceptive marketing	David J. Jeansonne II and Traffic Jam Events, LLC were charged with violating the Florida Deceptive and Unfair Trade Practices Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale.	FS § 501.204	Florida	Hillsborough County Circuit Court	Civil enforcement	Yes	Yes	No	106596835	3/2020-4/2020	-	http://myfloridacircuitclerk.com/records/2020/04/23/106596835	http://myfloridacircuitclerk.com/records/2020/04/23/106596835
Red Star Trader; Peter Lamm; Caroline Lamm	4/23/2020	Minnesota AG	Price gouging	Red Star Trader, Peter Lamm, and Caroline Lamm entered into an assurance of discontinuance with the Minnesota AG after allegedly reselling the products it had already purchased at prices that were significantly higher than the prices they had previously charged. For example, prior to the COVID-19 crisis, Red Star Trader allegedly sold 100-packs of sterile alcohol prep pads for \$4.80, but began selling them after the start of the COVID-19 crisis for \$7.90 each, plus shipping. Red Star Trader also allegedly sold food items, like rice, which it had purchased from local stores for around \$30, at the price of \$120, including shipping. Red Star Trader's inventory of excessively-priced items allegedly included food, health care goods, pharmaceuticals, medical supplies, and personal hygiene, sanitation, and cleaning goods.	Executive Order 20-10	Minnesota	Ramsey County District Court	Assurance of discontinuance	Yes	Yes	No	-	-	-	https://www.ag.state.mn.us/Office/Communications/RedStarTrader.asp	https://www.ag.state.mn.us/Office/Communications/RedStarTrader.asp
Predictive Technology Group, Inc. ("PRED")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Predictive Technology Group, Inc. ("PRED") because of questions regarding the accuracy and adequacy of information in the marketplace about PRED since at least March 2020. The company allegedly issued press releases claiming that it could immediately distribute large quantities of serology tests to detect the presence of COVID-19 antibodies.	15 USC § 78j	United States	-	Suspension of trading	No	Yes	No	Release No. 88719	3/25/2020-4/8/2020	Temporary suspended trading	https://www.sec.gov/litigation/sec/persions/2020/3-25-2020.pdf	https://www.sec.gov/litigation/sec/persions/2020/3-25-2020.pdf
SCWorx Corp. ("SCWorx")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of SCWorx Corp. because of questions regarding the accuracy and adequacy of information in the marketplace about SCWorx, including press releases and public statements since April 2020 about its agreement to sell COVID-19 tests, and the company's current Form 8-K concerning the same.	15 USC § 78j	United States	-	Suspension of trading	No	Yes	No	Release No. 88712	4/13/2020-4/16/2020	Temporary suspended trading	https://www.sec.gov/litigation/sec/persions/2020/3-25-2020.pdf	https://www.sec.gov/litigation/sec/persions/2020/3-25-2020.pdf
SpectrumDNA, Inc. ("SPXA")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of SpectrumDNA, Inc. ("SPXA") because of questions regarding the accuracy and adequacy of information in the marketplace about SPXA, including its financial condition and operations, given that the company has not made a public disclosure since 2015 and has a name that was similar to a private company manufacturing saliva collection devices as part of the response to the COVID-19 pandemic.	15 USC § 78j	United States	-	Suspension of trading	No	Yes	No	Release No. 88713	-	Temporary suspended trading	https://www.sec.gov/litigation/sec/persions/2020/3-25-2020.pdf	https://www.sec.gov/litigation/sec/persions/2020/3-25-2020.pdf
Matthew Colvin; Noah Colvin	4/21/2020	Tennessee AG	Price gouging	The Tennessee Attorney General entered into an assurance of voluntary compliance with Matthew and Noah Colvin after learning about alleged price gouging involving their emergency and medical supplies.	TCA §§ 47-18-101-131	Tennessee	Davidson County Chancery Court	Assurance of voluntary compliance	Yes	No	No	-	3/2020	Respondents donated or surrendered all Emergency Supplies and/or Medical Supplies in their possession, custody, or control to a non-profit organization; they also voluntarily waived any interest in the aforementioned supplies and agreed to the distribution of those supplies to officials in Kentucky.	https://www.tn.gov/attorneygeneral/press/2020/4/21/pr20-13.html	https://www.tn.gov/attorneygeneral/press/2020/4/21/pr20-13.html

Ponte Investments, LLC (d/b/a SBA Loan Program and SBA Loan Program.com); John C. Ponte	4/17/2020	FTC	Consumer fraud	Ponte Investments, LLC (d/b/a SBA Loan Program and SBA Loan Program.com) and its owner John C. Ponte were charged with violating the FTC Act for allegedly falsely claiming an affiliation with the U.S. Small Business Administration (SBA) and marketing themselves to small businesses as an approved lender under SBA's Paycheck Protection Program (PPP).	15 USC § 45	Rhode Island	D.R.I.	Civil enforcement	Yes	Yes	No	-	-	-	https://www.ftc.gov/news-events/press-releases/2020/04/ftc-takes-action-stop-conspiracy-occure-sba-lender-ponte	https://www.ftc.gov/news-events/press-releases/2020/04/ftc-takes-action-stop-conspiracy-occure-sba-lender-ponte	-
Kenzley Ramos	4/17/2020	Texas State Securities Board	Commodities fraud	The Texas Securities Commissioner entered an emergency action to stop Kenzley Ramos from allegedly soliciting Texas residents with the claim that they could "profit off the coronavirus with forex."	Tex. Rev. Civ. Stat. Ann. Arts. 581-9-581-45	-	-	Civil enforcement	Yes	No	No	ENF-20-CDO-1803	-	-	https://www.sbs-texas.gov/news-publications/courts/default/files/r-profile	https://www.sbs-texas.gov/news-publications/courts/default/files/r-profile	-
Dr. Ray L. Nannis P.C. d/b/a Optimum Wellness Solutions; Dr. Ray L. Nannis	4/17/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Dr. Ray Nannis, P.C. d/b/a Optimum Wellness Solutions and Dr. Ray Nannis from ongoing wire fraud for allegedly selling homeopathy that Dr. Nannis claimed provided up to 90 percent protection from COVID-19 and was a cure for COVID-19 for all intents and purposes.	18 USC § 1343 (under 18 USC § 1345)	Texas	N.D. Tex.	Civil enforcement	Yes	No	No	3:20-cv-00940-B	3/19/2020-4/14/2020	-	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-cv-00940-b	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-cv-00940-b	-
Genesis II Church Of Health And Healing; Mark Grenon; Joseph Grenon; Jordan Grenon; Jonathan Grenon	4/17/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Genesis II Church of Health and Healing and its principals Mark Grenon, Joseph Grenon, Jordan Grenon, and Jonathan Grenon from selling unapproved new drugs and mislabeled drugs in interstate commerce. The defendants had allegedly been selling a product that they claimed would cure, mitigate, treat, or prevent coronavirus, including COVID-19, as well as other diseases. The defendants allegedly continued to sell their product even after they were jointly warned by the FDA and FTC.	21 USC § 331 (under 21 USC § 332)	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	No	1:20-cv-21601-KMW	3/27/2020-4/9/2020	-	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-cv-00940-b	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-cv-00940-b	-
PreCheck Health Services, Inc. ("HLT")	4/16/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of PreCheck Health Services, Inc. ("HLT") because of questions regarding the accuracy and adequacy of information in the marketplace about HLT, including press releases and statements in March 2020 about HLT's orders of COVID-19 tests for overseas customers.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88675	3/11/2020-3/18/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-18-88675.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-18-88675.pdf	-
Jennings Ryan Staley	4/16/2020	USDOJ	Consumer fraud	Dr. Jennings Ryan Staley was charged with mail fraud in connection with the allegedly sale of what he described as a "100%" cure for COVID-19 that he said would render customers immune to the virus for at least six weeks.	18 USC § 1341	California	S.D. Cal.	Criminal enforcement	Yes	No	No	3:20-cr-01227-GPC	-	-	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-cr-01227-gpc	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-cr-01227-gpc	-
BioXyTran, Inc. ("BIXT")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of BioXyTran, Inc. ("BIXT") because of questions regarding the accuracy and adequacy of information in the marketplace about BIXT common stock, including press releases, blog posts, and a podcast between February and March 2020 about the company's ability to develop a drug to mitigate or treat COVID-19, as well as concerns regarding possible stock manipulation between January and February 2020.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88656	1/21/2020-3/25/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-25-88656.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-25-88656.pdf	-
Bravatek Solutions, Inc. ("BVT")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Bravatek Solutions, Inc. ("BVT") because of questions regarding the accuracy and adequacy of information in the marketplace about BVT, including press releases and social media posts since at least February 2020 about its business partner's disinfectant for COVID-19, its work to register the disinfectant with the EPA, and a purported \$150 million order.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88657	2/28/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-02-88657.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-02-88657.pdf	-
Signpath Pharma, Inc. ("SGTH")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Signpath Pharma, Inc. ("SGTH") because of questions regarding the accuracy and adequacy of information in the marketplace about SGTH, including a public claim made by the company in March 2020 regarding the development of a COVID-19 treatment.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88655	3/17/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-17-88655.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-17-88655.pdf	-
Mario F. Salwan; John Does 1 through 100	4/14/2020	Ohio AG	Price gouging	Mario F. Salwan and unnamed defendants were charged with violating Ohio's Valentine Act ORC §§ 1331.01, 1331.03, 1331.04, Ohio and Consumer Sales Practices Act for allegedly hoarding N95 respirator masks and selling them online via eBay for nearly 18 times their retail price.	ORC §§ 1331.01, 1331.03, 1331.04, Ohio and Consumer Sales Practices Act	Ohio	Franklin County Court of Common Pleas	Civil enforcement	Yes	No	No	-	3/2020-6/2020	-	https://www.ohioattorneygeneral.gov/Media/News/Releases/April-2020/Press-Release-Salwan-Against-PPC-Price-Gougers-Who-Sold-Complaint.aspx	https://www.ohioattorneygeneral.gov/Media/News/Releases/April-2020/Press-Release-Salwan-Against-PPC-Price-Gougers-Who-Sold-Complaint.aspx	-
Big Brother Security Programs Inc.; Shelley Palmer	4/14/2020	Vermont AG	Price gouging	Big Brother Security Programs Inc. and its owner Shelley Palmer were charged with violating 9 VSA § 2453 the Vermont Consumer Protection Act for allegedly importing surgical masks that cost 10 cents each and re-selling them to Central Vermont Medical Center for \$2.50 each, at least a 500 percent mark-up.	9 VSA § 2453	Vermont	Chittenden Superior Court	Civil enforcement	Yes	Yes	No	-	3/9/2020-3/24/2020	-	https://ago.vermont.gov/hq/2020-04/14/attorney-general-sues-big-brother-security-programs-inc.-stop-price-gouging-scheme-04-13-2020.pdf	https://ago.vermont.gov/hq/2020-04/14/attorney-general-sues-big-brother-security-programs-inc.-stop-price-gouging-scheme-04-13-2020.pdf	-
Applied BioSciences Corp. ("APPB")	4/13/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Applied BioSciences Corp. ("APPB") because of questions regarding the accuracy and adequacy of information in the marketplace about APPB, including statements made by the company in March 2020 about selling coronavirus test kits for home use.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88627	3/31/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-31-88627.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-31-88627.pdf	-
Arrayit Corporation ("ARYC")	4/13/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Arrayit Corporation ("ARYC") because of questions regarding the accuracy and adequacy of information in the marketplace about ARYC, including statements made by the company in March 2020 that it developed an approved COVID-19 blood test.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88623	3/7/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-07-88623.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-07-88623.pdf	-
Parallax Health Sciences, Inc. ("Paralla")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Parallax Health Sciences, Inc. ("Paralla") because of questions regarding the accuracy and adequacy of information in the marketplace about Paralla common stock, including statements made on its website and a series of press releases about the company's purported development of a rapid screening test for COVID-19, as well as its purported access to large quantities of COVID-19 diagnostic testing kits and personal protective equipment.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88618	3/12/2020-3/24/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-12-88618.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-12-88618.pdf	-
Roadman Investments Corp. ("RMANF")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Roadman Investments Corp. ("RMANF") because of questions regarding the accuracy and adequacy of information in the marketplace about RMANF since February 2020, including a series of news releases about the company's commercialization of cedar leaf oil as a promising treatment for COVID-19.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88619	2/12/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-02-12-88619.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-02-12-88619.pdf	-
Solei Systems, Inc. ("SOLI")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Solei Systems, Inc. ("SOLI") because of questions regarding the accuracy and adequacy of information in the marketplace about SOLI common stock since March 2020, including a press release issued by the company and a national cable interview with the CEO of its wholly-owned subsidiary about that subsidiary's ability to provide COVID-19 testing kits that would allow a patient to submit a testing sample from home.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88620	3/16/2020-3/18/2020	Temporary suspended trading	https://www.sec.gov/litigation/secinfo/2020/2020-03-16-88620.pdf	https://www.sec.gov/litigation/secinfo/2020/2020-03-16-88620.pdf	-
Christopher Parris	4/10/2020	USDOJ	Contract fraud	Christopher Parris was charged with wire fraud for allegedly making a series of fraudulent misrepresentations to secure orders from the Department of Veterans Affairs for 125 million face masks and other personal protective equipment that would have totaled over \$750 million. For example, Parris promised he could obtain millions of genuine 3M masks from domestic factories when he knew that fulfilling the orders would be impossible. He also made similar false representations to other entities in an effort to enter into other fraudulent agreements to sell equipment to state governments.	18 USC § 1343	New York	W.D.N.Y.	Criminal enforcement	Yes	No	No	6:20-mj-04019-MWP	-	-	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-mj-04019-mwp	https://www.usdoj.gov/criminal-division/press-releases/2020/04/14/20-mj-04019-mwp	-

Turbo Global Partners, Inc. ("TRBO")	4/9/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Turbo Global Partners, Inc. ("TRBO") because of questions regarding the accuracy and adequacy of information in the marketplace about TRBO common stock, including press releases regarding an agreement with another company to provide non-contact human temperature screening and facial recognition technology to TRBO, and TRBO's ability to ship this technology within five days of receiving an order.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88609	3/30/2020-4/3/2020	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-30-88609.pdf	-	
Rong Sun (AKA Vicky Sun)	4/9/2020	USDOJ	FIRFA violation	Rong Sun was charged with smuggling, illegal mailing, and violating the Federal Insecticide, Fungicide and Rodenticide Act (FIRFA) for allegedly selling an unregistered pesticide, Toamit 545, 1716 Virus Shut Out, through eBay, claiming that it would help protect individuals from viruses.	7 USC §§ 136j, 136i; 18 USC §§	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00289-AJB; 3:20-cr-00005-JKL	4/2/2020	-	https://www.justice.gov/criminal-appeals/pr/20200402-1	https://www.justice.gov/criminal-appeals/pr/20200402-1	-
BioLife Corp. f/a U.S. Lithium Corp. ("LUTH")	4/8/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of BioLife Corp. f/a U.S. Lithium Corp. ("LUTH") because of questions regarding the accuracy and adequacy of information in the marketplace about the company, including a company press release reinforced by stock promoters regarding a purported new "Coronavirus (COVID-19) Prevention Products Line" that the company claimed to be producing and distributing.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88607	3/12/2020-3/16/2020	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-12-88607.pdf	-	
Key Capital Corporation ("KCPK")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Key Capital Corporation ("KCPK") because of questions regarding the accuracy and adequacy of information in the marketplace about KCPK common stock, particularly KCPK's ability to develop a COVID-19 vaccine and make it available to the mass market in three to six months.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88585	-	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-31-88585.pdf	-	
Prestige Capital Corp. ("PGEC")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Prestige Capital Corp. ("PGEC") because of questions regarding the accuracy and adequacy of information in the marketplace about PGEC common stock, including its financial condition and operations in light of concerns about investors confusing this company with another similarly-named company that manufactured N95 masks.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88584	-	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-31-88584.pdf	-	
Wellness Matrix Group, Inc. ("WMGR")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Wellness Matrix Group, Inc. ("WMGR") because of questions regarding the accuracy and adequacy of information in the marketplace about WMGR common stock, including statements WMGR made through affiliated websites and a company consultant about selling home COVID-19 testing kits that it claimed had been approved by the FDA.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88582	-	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-31-88582.pdf	-	
No Borders, Inc. ("NBOR")	4/3/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of No Borders, Inc. ("NBOR") because of questions regarding the accuracy and adequacy of information in the marketplace about NBOR since March 2020, including statements made about NBOR's COVID19 specimen collection kits, an agreement to bring COVID-19 test kits to the United States, and NBOR's activities related to the distribution of personal protective equipment.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88549	3/11/2020-3/25/2020	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-11-88549.pdf	-	
Sandy Steele Unlimited Inc. ("Sandy Steele")	4/3/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Sandy Steele Unlimited Inc. ("SSTU") because of questions regarding the accuracy and adequacy of information in the marketplace about SSTU common stock.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88550	-	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-31-88550.pdf	-	
Frank Richard Ludlow	4/1/2020	USDOJ	Consumer fraud	Frank Richard Ludlow was charged with introducing misbranded drugs into interstate commerce by allegedly repackaging "Trinity Remedy" kits he smuggled from the UK as "Trinity COVID-19 SARS-Antipathogenic Treatment" kits, even though the kits had not been approved by the FDA to treat COVID-19 or for any other use.	21 USC §§ 331, 333	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-01469-DUTY	2/2020-3/2020	-	https://www.justice.gov/ludlow/complaint	-	
Baruch Feldheim	3/30/2020	USDOJ	Price gouging	Baruch Feldheim was charged with assaulting a federal officer and making false statements after allegedly coughing on FBI agents while claiming to have COVID-19, and lying about his accumulation and sale of surgical masks, medical gowns, and other medical supplies.	18 USC §§ 111, 1001	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2:20-mj-09122-CLW	3/29/2020	-	https://www.justice.gov/usdoj-crim/pr/20200330-1	https://www.justice.gov/usdoj-crim/pr/20200330-1	-
Erik Santos	3/30/2020	USDOJ	Health care fraud	Erik Santos was charged with conspiring to violate the Anti-Kickback Statute and conspiring to commit health care fraud for allegedly engaging in a scheme to defraud Medicare by soliciting and receiving kickback payments from companies involved in clinical and diagnostic testing. As part of the scheme, Santos agreed to be paid kickbacks on a per-test basis for COVID-19 tests, provided that those tests were bundled with a much more expensive respiratory pathogen panel (RPP) test, which did not identify or treat COVID-19.	18 USC §§ 371, 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2:20-mj-09096-CLW	11/2019-3/2020	-	https://www.justice.gov/usdoj-crim/pr/20200330-1	https://www.justice.gov/usdoj-crim/pr/20200330-1	-
Dragon Door Publications, Inc.	3/27/2020	Minnesota AG	Price gouging	Dragon Door Publications, Inc. entered into an assurance of discontinuance with the Minnesota AG after allegedly selling N95 masks after the start of the COVID-19 crisis for \$5 each, plus shipping, in violation of the Governor's order against price gouging on essential items in times of emergency. The company also allegedly offered to donate N95 masks with the sale of its ISOCHALK exercise fitness program, which retails for \$499.	Executive Order 20-10	Minnesota	Ramsey County District Court	Assurance of discontinuance	No	Yes	No	-	-	-	https://www.ag.state.mn.us/Office/Communications/Press/20200327-DragonDoor-AssuranceOfDiscontinuan.pdf	https://www.ag.state.mn.us/Office/Communications/Press/20200327-DragonDoor-AssuranceOfDiscontinuan.pdf	-
Auctions Unlimited LLC	3/26/2020	Texas AG	Price gouging	Auctions Unlimited LLC was charged with violating the Texas Deceptive Trade Practices-Consumer Protection Act (DTPA) for allegedly listing a pack of 16 N95 masks on its website for \$380, even though local police and the Texas Attorney General warned website owner Tim Worstell not to do so.	Tex. Bus. & Com. Code § 17.46	Texas	Harris County District Court	Civil enforcement	No	Yes	No	2020-19535	3/24/2020	-	https://www.texasattorneygeneral.gov/news/press-releases/20200324-auctions-unlimited-is-charged-with-price-gouging	https://www.texasattorneygeneral.gov/news/press-releases/20200324-auctions-unlimited-is-charged-with-price-gouging	-
Praxsyn Corporation ("PXNY")	3/25/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Praxsyn Corporation ("PXNY") because of questions regarding the accuracy and adequacy of information in the marketplace about PXNY since at least February 2020 relating to statements the company made about having and, being able to obtain, large quantities of N95 masks.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	File No. 500-1	2/27/2020-3/4/2020	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-04-500-1.pdf	-	
Zoom Technologies, Inc. ("ZOOM")	3/25/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of ZOOM Technologies, Inc. ("ZOOM") because of questions regarding the accuracy and adequacy of information in the marketplace about ZOOM, in light of the absence of any public disclosure since 2015, and investors potentially confusing this company with a similarly-named issuer that provided communications services.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88477	-	Temporary suspended trading	https://www.sec.gov/litigation/litigation/2020/2020-03-25-88477.pdf	-	
Keith Lawrence Middlebrook	3/25/2020	USDOJ	Consumer fraud	Keith Lawrence Middlebrook was charged with wire fraud for allegedly soliciting investments for a company that he claimed would be used to market pills that would prevent coronavirus infections and an injectable cure for those already suffering from COVID-19, when in fact there was no specific antiviral treatment for COVID-19 and no vaccine to prevent coronavirus infection.	18 USC § 1349	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-01341-DUTY	3/13/2020-3/24/2020	-	https://www.justice.gov/usdoj-crim/pr/20200325-1	https://www.justice.gov/usdoj-crim/pr/20200325-1	-

Downtown Smoke Shop Inc.	3/24/2020	Minnesota AG	Price gouging	Downtown Smoke Shop Inc. entered into an assurance of discontinuance with the Minnesota AG after an investigation by the AG's office allegedly found it was selling toilet paper for \$2.99 for one roll, \$4.99 for two rolls, and \$79.99 for a pack of 36 rolls, in violation of the Governor's order against price gouging for essential items in times of emergency. The store was also allegedly selling one-ounce bottles of hand sanitizer for \$4.99, and packs of six nitrile exam gloves for \$2.99 and twelve nitrile exam gloves for \$4.99.	Executive Order 20-10	Minnesota	Ramsey County District Court	Assurance of discontinuance	No	Yes	No	-	-	-	https://www.ag.state.mn.us/Office/Communications/2020/03/24_Pragations/2020/dg-demiseProfiteering.aspx	https://www.ag.state.mn.us/Office/Communications/2020/03/24_Pragations/2020/dg-demiseProfiteering.aspx
John Doe (AKA "coronavirusmedicalkit.com")	3/22/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin the owners of the website coronavirusmedicalkit.com from ongoing wire fraud for allegedly offering access to WHO vaccine kits for COVID-19, when in fact there was no COVID-19 vaccine at the time and the WHO was not distributing any such vaccine.	18 USC § 1343 (under 18 USC § 1345)	Texas	W.D. Tex.	Civil enforcement	Yes	No	No	1:20-cv-00306	3/3/2020	-	https://www.justice.gov/opa/record/coronavirusmedicalkit-com-wire-fraud-who-vaccine-kits	https://www.justice.gov/opa/record/coronavirusmedicalkit-com-wire-fraud-who-vaccine-kits
Eastgate Biotech Corp. ("ETBI")	2/24/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Eastgate Biotech Corp. ("ETBI") because of questions regarding the accuracy and adequacy of information in the marketplace about ETBI since at least January 2020, including the company's purported international marketing rights to an approved COVID-19 treatment.	15 USC § 78i	United States	-	Suspension of trading	No	Yes	No	Release No. 88265	1/30/2020	Temporary suspended trading	https://www.sec.gov/opa/record/coronavirusmedicalkit-com-wire-fraud-who-vaccine-kits	https://www.sec.gov/opa/record/coronavirusmedicalkit-com-wire-fraud-who-vaccine-kits
Aethlon Medical, Inc. ("AEMD")	2/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Aethlon Medical, Inc. ("AEMD") because of questions regarding the accuracy and adequacy of information in the marketplace about AEMD since at least January 2020, including viability of the company's product to treat COVID-19, as well as unusual market activity.	15 USC § 78i	United States	-	Suspension of trading	No	Yes	No	Release No. 88142	1/22/2020	Temporary suspended trading	https://www.sec.gov/opa/record/coronavirusmedicalkit-com-wire-fraud-who-vaccine-kits	https://www.sec.gov/opa/record/coronavirusmedicalkit-com-wire-fraud-who-vaccine-kits