

Subject name(s)	Date Announced	Law Enforcement Agency	Alleged Conduct	Summary	Relevant statute(s)	State	Court	Type of Action	Individual subject?	Corporate subject?	Follow-on action?	Matter Number	Dates of unlawful conduct	Penalties (civil/criminal fines, incarceration)	Press Release	Petition/ Complaint/ Indictment	Agreement/Judgment
Jase DePaul Gautreaux	6/24/2020	USDOJ	PPP fraud	Jase DePaul Gautreaux was charged with wire fraud, bank fraud, money laundering, and making false statements for allegedly seeking over \$13 million in forgivable loans guaranteed by the Small Business Administration by falsifying his identity, misrepresenting the number of employees and payroll expenses of his putative businesses, and making other inaccurate statements.	-	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	(No number yet found)	-	-	https://www.justice.gov/opa/pr/te-xas-man-charged-covid-relief-fraud	-	-
Monica Magdalena Jaworska; Tarik Jaafar	6/24/2020	USDOJ	PPP fraud	Monica Magdalena Jaworska and Tarik Jaafar were charged with conspiring to commit wire fraud for allegedly seeking over \$1.4 million in forgivable loans guaranteed by the Small Business Administration by submitting 18 PPP-program loan applications in the names of four businesses, while claiming certain payroll tax returns were filed with the Internal Revenue Service for each of those businesses when in fact they were not.	18 USC § 1349	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	1:20-mj-167	-	-	https://www.justice.gov/usaodenva/pr/virginia-married-couple-arrested-covid-relief-loan-fraud	Local copy of Jaworska and Jaafar complaint	-
Ameet Goyal	6/24/2020	USDOJ	PPP fraud	Ameet Goyal was charged with wire fraud, bank fraud, and making false statements for, inter alia, allegedly seeking over \$630,000 in forgivable loans guaranteed by the Small Business Administration by falsely representing that he was not under indictment, when in fact he was, and submitting two loan applications for the same business.	18 USC §§ 1001, 1014, 1035, 1343, 1344, 1347, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	7:19-cr-00844-CS	-	-	https://www.justice.gov/usaosdny/pr/ophthalmologist-previously-charged-healthcare-fraud-indicted-defrauding-sba-program	https://www.justice.gov/usaosdny/press-release/file/1288196/download	-
Nadine Consuelo Jackson	6/24/2020	USDOJ	PPP fraud	Nadine Consuelo Jackson was charged with bank fraud and making a false statement for allegedly seeking over \$2 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. She allegedly claimed to have 73 employees earning wages, when in fact there were few to no other employees working.	18 USC §§ 1001, 1344	Ohio	S.D. Ohio	Criminal enforcement	Yes	No	No	3:20-mj-00301-SLO	3/20/2020-5/20/2020	-	https://www.justice.gov/usaosdoh/pr/dayton-business-owner-charged-covid-relief-fraud	Local copy of Jackson complaint	-
Ashland Natural Medicine; John Chlebowski, ND, DC	6/23/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Ashland Natural Medicine and John Chlebowski, ND, DC that requires them to obey Oregon's Unlawful Trade Practices Act. Ashland Natural Medicine and Chlebowski agreed to refrain from making certain claims and representations regarding coronavirus or COVID-19 in connection with dietary supplements unless those claims are approved by the FDA, they have competent and reliable scientific evidence that substantiates the representation, and the claim or representation adheres to the Federal Food, Drug, and Cosmetic Act and any regulation promulgated by the FDA, including those promulgated pursuant to the Nutrition Labeling and Education Act.	ORS § 646.632	Oregon	Jackson County Circuit Court	Assurance of voluntary compliance	Yes	Yes	No	20CV21465	-	-	-	-	-
Fahad Shah	6/23/2020	USDOJ	PPP fraud	Fahad Shah was charged with wire fraud, making a false statement, and money laundering for allegedly seeking over \$3 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly claimed to have over 120 employees earning wages, when in fact no employees worked for his business at the time; he submitted fraudulent documentation to support his loan application; and Shah used proceeds from the loan primarily for personal purchases.	18 USC §§ 1014, 1343, 1957	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	4:20-cr-00156	4/2020-5/2020	-	https://www.justice.gov/opa/pr/te-xas-man-charged-covid-relief-fraud-false-statements-and-money-laundering	https://www.justice.gov/opa/press-release/file/1288046/download	-
Duke Inc. (d/b/a Regional Discount Pharmacy)	6/22/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Duke Inc. after the AG received tips alleging that the store was selling N95 face masks for unlawful prices; the business allegedly sold 353 face masks at \$8.00/each.	73 PS § 201-1 et seq.	Pennsylvania	Lancaster County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	CI-20-04271	-	\$1,765.00 in civil penalties; \$741.30 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapiro-stops-price-gouging-at-regional-discount-pharmacy-in-lancaster/	-	https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-22-Regional-Discount-Pharmacy-AVC-accepted.pdf
Blackhawk Growth Corp. ("BLRZF")	6/22/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Blackhawk Growth Corp. ("BLRZF") because of questions regarding the accuracy and adequacy of information in the marketplace about Blackhawk and the market for its securities, including public statements made by Blackhawk between April and May 2020 in press releases and in video interviews regarding the company's purported agreement to distribute COVID-19 antibody test kits in the United States and other countries, and its sales and delivery of those test kits.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 89122	4/16/2020-5/11/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-89122.pdf	-	-
Elijah Majak Buoi	6/22/2020	USDOJ	PPP fraud	Elijah Majak Buoi was charged with wire fraud for allegedly seeking over \$13 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly misrepresented to the lender the number of employees he had, their payroll expenses, and that the United States was the employees' primary residence.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-mj-04143-DHH	4/2020-6/2020	-	https://www.justice.gov/opa/pr/massachusetts-man-charged-covid-relief-fraud	https://www.justice.gov/opa/press-release/file/1287691/download	-

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Bookit Operating, LLC; Arthur Paul Finlaw	6/18/2020	Massachusetts AG	Consumer fraud	Bookit Operation, LLC and Arthur Paul Finlaw were charged with engaging in unfair and deceptive acts and practices in violation of the Massachusetts Consumer Protection Act for allegedly taking money from consumers for travel reservations despite knowing the reservations would be cancelled due to the COVID-19 pandemic, and then refusing to pay refunds.	MGL ch. 93A, § 2; 940 CMR 15.03	Massachusetts	Suffolk County Superior Court	Civil enforcement	Yes	Yes	No	-	3/17/2020-3/21/2020	-	https://www.mass.gov/news/ag-healey-sues-online-travel-agency-for-taking-money-from-consumers-and-refusing-to-pay	https://www.mass.gov/doc/bookit-complaint06-18-2020/download	-
Marcus Fultz (d/b/a Danielle's on 2nd); Ellen Fultz (d/b/a Danielle's on 2nd)	6/18/2020	Ohio AG	Price gouging	Marcus and Ellen Fultz were charged with violating the Ohio Consumer Sales Practices Act for allegedly hoarding hundreds of bottles of hand sanitizer during the COVID-19 pandemic and selling them online via Amazon for 11 times the retail price.	ORC §§ 1345.02, 1345.03	Ohio	Athens County Court of Common Pleas	Civil enforcement	Yes	No	No	20-CI-0110	2/2020-3/2020	-	https://www.ohioattorneygeneral.gov/Media/News-Releases/June-2020/AG-Yost-Sues-Price-Gougers-Who-Inflated-Hand-Sanit	https://www.ohioattorneygeneral.gov/Files/Briefing-Room/News-Releases/Consumer-Protection/06-18-20-danielle-on-2nd.aspx	-
Michael George McQuarn	6/18/2020	USDOJ	PPP fraud	Michael George McQuarn was charged with wire fraud and making false statements for allegedly seeking over \$2 million in forgivable loans guaranteed by the Small Business Administration based on fictitious companies. He allegedly caused to be submitted to the lender fraudulent applications and supporting paperwork, claiming the funds were for legitimate business purposes, when in fact the money was used for his own personal use.	15 USC § 645; 18 USC § 1343	Texas	W.D. Tex.	Criminal enforcement	Yes	No	No	1:20-mj-00526-AWA	4/2020-6/2020	-	https://www.justice.gov/usao-wdtx/pr/federal-complaint-filed-against-austin-man-multi-million-dollar-fraud-scheme-related	Local copy of McQuarn complaint	-
David J. Jeansonne II; Traffic Jam Events, LLC	6/17/2020	FTC	Deceptive marketing	David J. Jeansonne II and Traffic James Events, LLC were charged with violating the FTC Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale.	15 USC § 45 (under 15 USC § 53)	Louisiana	E.D. La.	Civil enforcement	Yes	Yes	Yes	2:20-cv-01740-WBV-DMD	3/1/2020	-	https://www.ftc.gov/news-events/press-releases/2020/06/traffic-jam-events-complaint-allegedly-used-deceptive-covid-19-stimulus-mailers-lure-consumers	https://www.ftc.gov/system/files/documents/cases/traffic_jam_events_complaint.pdf	-
Landmark Supply, Inc.	6/17/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Landmark Supply, Inc. after the AG received a consumer complaint alleging that a Pennsylvania pharmacy was selling hand sanitizer for unlawful prices; Landmark Supply allegedly sold 8 oz. bottles of "Purell Hand Sanitizer" to Pennsylvania pharmacies for \$7.98 and \$9.50 per bottle.	73 PS § 201-1 et seq.	Pennsylvania	Bucks County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$2,150.00 in civil penalties; \$160.51 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/press-releases/ag-shapiro-stops-price-gouging-by-pa-pharmacy-supplier/	https://www.attorneygeneral.gov/wp-content/uploads/2020/06/Landmark-Supply-Inc-AVC.pdf	-
Crawford Technology (HK) Co., LTD.	6/17/2020	USDOJ	Consumer fraud	Crawford Technology Group (HK) Co. LTD. was charged with violating the Federal Food, Drug and Cosmetic Act for allegedly causing misbranded and substandard respirators that falsely purported to meet various filtration efficiency standards to be imported into the United States. The company allegedly manufactured and sold 140,400 adulterated and misbranded KN95 filtering face piece respirators to another company for import into the United States.	18 USC § 2; 21 USC §§ 331, 333	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-mj-15272	5/6/2020	-	https://www.justice.gov/usao-nj/pr/chinese-manufacturer-charged-exporting-defective-and-misbranded-masks-falsely-purporting	https://www.justice.gov/usao-nj/press-release/file/1286516/download	-
Morningside Church Productions, Inc. d/b/a The Jim Bakker Show; Jim Bakker; Sherrill Sellman	6/16/2020	Arkansas AG	Consumer fraud	Morningside Church Productions, Inc., Jim Bakker, and Sherrill Sellman were charged with violating the Arkansas Deceptive Trade Practices Act for allegedly falsely telling Arkansas consumers that colloidal silver products would cure, eliminate, kill, or deactivate COVID-19.	AC §§ 4-88-107, 4-88-108	Arkansas	Pulaski County Circuit Court	Civil enforcement	Yes	Yes	No	60cv-20-3460	1/2020-3/2020	-	https://arkansas.gov/media-center/news-releases/rutledge-g/2020/06/16/fil-sues-jim-bakker-475207/2020-06-colloidal-silver-products-to-cure-covid-19-%20Morningside-church-complaint.pdf	https://content.govdelivery.com/attachments/ARA-G/2020/06/16/file_attachments/16%20-%20Morningside%20Church%20Complaint.pdf	-
Rahul Shah	6/16/2020	USDOJ	PPP fraud	Rahul Shah was charged with bank fraud and making a false statement for allegedly seeking over \$400,000 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly caused to be submitted to the lender several different false and fraudulent IRS documents that misrepresented his company's payroll expenses.	18 USC §§ 1014, 1344	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	1:20-cr-00293	4/30/2020-5/18/2020	-	https://www.justice.gov/opa/pr/illinois-business-owner-charged-covid-relief-fraud	https://www.justice.gov/opa/press-release/file/1285796/download	-

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Nosayamen Iyalekhue; Esogie Osawaru	6/15/2020	USDOJ	Consumer fraud	Nosayamen Iyalekhue and Esogie Osawaru were charged with wire fraud for allegedly participating in a series of romance, pandemic unemployment insurance, and other online scams designed to defraud victims by convincing them to send money to accounts controlled by the defendants. These scams allegedly included collecting unemployment insurance in the name of others during the COVID-19 pandemic.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	1:20-mj-07137-JCB	10/2018-12/2018 (although the DOJ press release alleged that the schemes continued through the COVID-19 pandemic)	-	https://www.justice.gov/usao-ma/pr/two-nigerian-national-charged-defrauding-victims-using-online-scams	Local copy of Iyalekhue and Osawaru complaint	-
Johnny T. Stine; North Coast Biologics	6/12/2020	Washington AG	Consumer fraud	Johnny T. Stine and his company North Coast Biologics were charged with violating the Washington State Consumer Protection Act for allegedly advertising a vaccine for COVID-19 and administering said product to about 30 people, most of whom were Washingtonians, without taking proper steps to evaluate its safety or effectiveness.	RCW 19.86.020	Washington	King County Superior Court	Civil enforcement	Yes	Yes	No	20-2-09935-0	3/2020-4/2020	-	https://www.atg-wa.gov/news/news-releases/ag-ferguson-files-lawsuit-against-seattle-business-marketed-and-sold-covid-19	https://agportal.s3bucket.s3.amazonaws.com/2020_06_12Complaint.pdf	-
Nelson Gomes; Michael Luckhoo-Bouche; Shane Schmidt; Douglas Roe; Kelly Warawa; FFS Capital Limited; Paifang Trading Limited; Artefactor Limited; Atlantean Management Corporation; Meadow Asia Limited; Thyme International Limited	6/11/2020	SEC	Securities fraud	Nelson Gomes, Michael Luckhoo-Bouche, Shane Schmidt, Douglas Roe, Kelly Warawa, Atlantean Management Corporation, and FFS Capital Limited were charged with securities fraud; Nelson Gomes, Douglas Roe, Kelly Warawa, Michael Luckhoo-Bouche, FFS Capital, Atlantean Management Corporation, Paifang Trading Limited, Artefactor Limited, Meadow Asia Limited, and Thyme International were charged with unregistered offerings of securities; Nelson Gomes was charged with failing to report over five percent beneficial ownership; and Nelson Gomes, Michael Luckhoo-Bouche, and FFS Capital were charged with aiding and abetting for a stock-dumping scheme that were boosted by promotional campaigns that, in some instances, included false and misleading information designed to fraudulently capitalize on the COVID-19	15 USC §§ 77q, 78j and 17 CFR § 240.10b-5 (Gomes, FFS Capital, Schmidt, Roe, Warawa, Luckhoo, Atlantean); 15 USC § 77e (Gomes, FFS Capital, Roe, Warawa, Luckhoo, Atlantean, Paifang, Artefactor, Meadow Asia, Thyme International); 15 USC § 78m and 17 CFR § 240.13d-1 (Gomes); 15 USC §§ 77o, 78t (Gomes, Luckhoo, FFS Capital)	Massachusetts	D. Mass.	Civil enforcement	Yes	Yes	No	1:20-cv-11092-FDS	1/2018-6/2020	-	https://www.sec.gov/news/press-release/2020-131	https://www.sec.gov/litigation/complaints/2020/compr2020-131.pdf	-
Stephen Matthew Shumaker	6/11/2020	USDOJ	Consumer fraud	Stephen Matthew Shumaker was charged with mail fraud and knowingly distributing and selling a misbranded pesticidal device for allegedly soliciting thousands of Georgia residents with false claims that the "Beyond Guardian Air" air purifier kills every major viral and bacterial infection, including the COVID-19 coronavirus disease, in the home. The device was also allegedly a misbranded pesticidal device.	7 USC §§ 136j, 136i; 18 USC § 1341	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00457-CCB	4/27/2020	-	https://www.justice.gov/usao-ndga/pr/georgia-resident-arrested-scheme-sell-illegal-products-claiming-protect-against-covid	Local copy of Shumaker complaint	-
Amazon.com Services LLC	6/10/2020	EPA	FIFRA violation	The EPA issued a Stop Sale, Use, or Removal Order to Amazon.com Services LLC for violating the Federal Insecticide, Fungicide, and Rodenticide Act by allegedly distributing or selling, and intending to continue distributing or selling, unregistered pesticides and misbranded pesticides, including some with claims for use against SARS-CoV-2, the virus that causes COVID-19. Amazon.com had 30 days to account for these products.	7 USC § 136j (under 7 USC § 136k)	Washington	-	Civil enforcement	No	Yes	No	-	12/2019-6/2020	-	https://www.epa.gov/enforcement/sites/production/files/2020-06/documents/a-orders-issued-amazoncom-services-llc	https://www.epa.gov/sites/production/files/2020-06/documents/amazonssuro.pdf	-
eBay, Inc.	6/10/2020	EPA	FIFRA violation	The EPA issued a Stop Sale, Use, or Removal Order to eBay, Inc. for violating the Federal Insecticide, Fungicide, and Rodenticide Act by allegedly distributing or selling, and intending to continue distributing or selling, pesticides that were unregistered, misbranded, or that were classified for restricted-use, including some with unapproved claims for use against SARS-CoV-2, the virus that causes COVID-19, and/or coronavirus. eBay had 10 days to account for these products.	7 USC § 136j (under 7 USC § 136k)	California	-	Civil enforcement	No	Yes	No	-	3/2018-6/2020	-	https://www.epa.gov/enforcement/sites/production/files/2020-06/documents/e-issued-ebay-inc-bayssuro.pdf	https://www.epa.gov/sites/production/files/2020-06/documents/e-issued-ebay-inc-bayssuro.pdf	-
Frank Borgese; Borgese Holdings, Inc. d/b/a IMPACT Medical & Surgical Solutions	6/10/2020	New York AG	Consumer fraud	Frank Borgese and Borgese Holdings, Inc. d/b/a IMPACT Medical & Surgical Solutions were charged with fraud for allegedly engaging in repeated and persistent fraudulent business practices related to the solicitation of orders for personal protective equipment, such as 3M-branded N95 respirator masks and KN95 respirator masks. These practices allegedly included repeatedly and persistently making false, deceptive, and misleading solicitations; offering for sale critically-needed respirator masks which Borgese and Borgese Holdings did not possess and could not deliver; providing deceptive delivery times belied by their own experiences; and providing misleading information about the products they purported to sell, as well as a fake reference, to prospective	EXC § 63	New York	New York County State Supreme Court	Civil enforcement	Yes	Yes	No	(No number yet found)	3/2020-5/2020	-	https://ag.ny.gov/press-release/2020/attorney-general-james-sues-purported-ppe-broker-repeated-fraud-during	https://ag.ny.gov/sites/default/files/2020/attorney-general-james-sues-purported-ppe-broker-repeated-fraud-during.pdf	-
Approximately \$205,242.23 in Paypal, Inc. Account No. XXXXXXXXXXXXX2882, Approximately \$128,868.73 in Paypal, Inc. Account No. XXXXXXXXXXXXX1839, Approximately \$194,982.40 in Paypal Inc. Account No. XXXXXXXXXXXXX2700, and Approximately \$13,923.97 in Paypal Inc. Account No. XXXXXXXXXXXXX57714	6/10/2020	USDOJ	Consumer fraud	The United States brought a civil forfeiture action to recover more than \$543,000 from individuals based in China who purported to sell face masks and N-95 respirators at inflated prices but never shipped the products.	18 USC §§ 1341, 1343, 1956, 1957 (under 18 USC § 981)	Virginia	W.D. Va.	Civil enforcement	Yes	No	No	7:20-cv-00321-EKD	2/2020-6/2020	-	https://www.justice.gov/usao-wdva/pr/us-files-civil-forfeiture-release/file/1284-complaint-covid-19-fraud-case	https://www.justice.gov/usao-wdva/pr/us-files-civil-forfeiture-release/file/1284-complaint-covid-19-fraud-case	-

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Jason C. Nielsen	6/9/2020	SEC	Securities fraud	Jason Nielsen was charged with securities fraud for allegedly attempting to drive the stock price of Arrayit Corporation securities higher by using online posts encouraging investors to purchase shares, including numerous messages repeating a false assertion regarding an approved COVID-19 test, without disclosing Nielsen's own large position in Arrayit stock, or his plans to sell the shares while others were buying. Nielsen also allegedly created the false impression of high demand for Arrayit stock by placing and subsequently canceling several large orders to purchase shares, a tactic known as "spoofing."	15 USC §§ 77, 78i, 78j; 17 CFR § 240.10b-5	California	N.D. Cal.	Civil enforcement	Yes	No	No	5:20-cv-03788	3/2/2020-4/13/2020	-	https://www.sec.gov/news/press-release/2020-128	https://www.sec.gov/litigation/complaints/2020/comp-pr2020-128.pdf	-
Mark Schena	6/9/2020	USDOJ	Securities fraud	Mark Schena was charged with securities fraud and conspiring to commit health care fraud for allegedly paying kickbacks and bribes to recruiters and doctors to run allergy screening tests on his company Arrayit Corporation's proprietary testing system, and issuing press releases and tweets about partnerships with major companies and government agencies without disclosing the partnerships did not exist or were of de minimis value. As the COVID-19 crisis escalated, Schena falsely claimed that his company could provide accurate, fast, reliable and cheap COVID-19 tests in compliance with state and federal regulations, and made numerous misrepresentations to potential investors about the COVID-19 tests and Arrayit's future prospects for COVID-19 testing.	15 USC §§ 78j, 78ff; 18 U.S.C. §§ 1347, 1349; 17 CFR § 240-10b.5	California	N.D. Cal.	Criminal enforcement	Yes	No	No	5:20-mj-70721-MAG	2016-6/2020	-	https://www.justice.gov/opa/pr/michael-schena-president-charged-scheme-defraud-investors-and-health-care-benefit	https://www.justice.gov/opa/press-release/file/1283931/download	-
Nature's Garden, Ltd.	6/8/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Nature's Garden, Ltd. after the AG received tips alleging that the store was selling facemasks for unlawful prices; the business allegedly sold 28 two-packs of facemasks for \$23.95 per pair.	73 PS § 201-1 et seq.	Pennsylvania	Berks County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$1,400.00 in civil penalties; \$224.00 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapiro-stops-price-gouging-at-natures-garden-in-reading/	https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-08-Nature%E2%80%99s-Garden-AVC.pdf	-
King Year Packaging and Printing Co. Ltd.	6/5/2020	USDOJ	Consumer fraud	King Year Packaging and Printing Co. Ltd. was charged with violating the Federal Food, Drug and Cosmetic Act and making a false statement for allegedly manufacturing 495,200 defective and misbranded masks that the company claimed to be N95 respirators, and causing those defective products to be imported into the United States.	18 USC §§ 1001, 2; 21 USC §§ 331, 333	New York	E.D.N.Y.	Criminal enforcement	No	Yes	No	1:20-mj-00416-SMG	4/6/2020-4/21/2020	-	https://www.justice.gov/usao-ni/pr/chinese-manufacturer-charged-exporting-misbranded-and-defective-masks-falsely-purporting	https://www.justice.gov/usao-ni/press-release/file/1283346/download	-
Geoffrey Palermo	6/5/2020	USDOJ	PPP fraud	Geoffrey Palermo was charged with wire fraud, honest services wire fraud, and making a false statement for allegedly embezzling money through capital improvement kickback schemes and making false statements on loan applications. Among these alleged schemes, Palermo allegedly sought millions of dollars in forgivable loans guaranteed by the SBA, falsely certifying that his company had employees for whom it was paying salaries and payroll taxes, when in fact Palermo decided not to pay required payroll taxes since at least mid-2019.	18 USC §§ 1014, 1343, 1346	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:20-mj-70681-AGT	12/2013-4/2020	-	https://www.justice.gov/usao-ndca/pr/bay-area-hospitality-and-automotive-executive-charged-fraud	Local copy of Palermo complaint	-
Benjamin Hayford	6/4/2020	USDOJ	PPP fraud	Benjamin Hayford was charged with embezzlement, making false statements, wire fraud, and bank fraud for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely represented to the lender that the company for which he applied for relief met the program's requirement that it was in operation prior to Feb. 15, 2020, when in fact he did not create the partnership until April 2020, several days before applying the PPP loans.	18 USC §§ 645, 1014, 1343, 1344	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	4:20-mj-00140-FHM	3/31/2020-5/2020	-	https://www.justice.gov/usao-ndok/pr/arkansas-project-manager-charged-oklahoma-covid-relief-fraud	Local copy of Hayford complaint	-
E*Hedge Securities, Inc.; Devon W. Parks	6/3/2020	SEC	Books and records, and improper registration	E*Hedge Securities, Inc. and Devon Parks were charged with failing to provide mandated books and records during an SEC examination and for improperly registering with the SEC as an Internet investment adviser. The two parties allegedly failed to produced books and records requested by SEC staff as required under the Investment Advisors Act, and E*Hedge was allegedly improperly registered as an Internet investment advisor because it did not meet applicable registration requirements and did not otherwise appear to be qualified for registration with the SEC.	15 USC §§ 80b-3a, 80b-4	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	No	1:20-cv-22311-UU	4/14/2020-6/3/2020	-	https://www.sec.gov/litigation/lit releases/2020/lr24825.htm	https://www.sec.gov/litigation/complaints/2020/comp24825.pdf	-
Darrell Baker	6/3/2020	USDOJ	PPP fraud	Darrell Baker was charged with wire fraud and money laundering for allegedly seeking \$590,000 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses ,and allegedly using that money to cover personal expenses. He allegedly falsely represented on the application that his company had 68 employees and that he paid wages, tips, and other compensation totaling \$2.8 million in 2019, when in fact the company was dissolved as a corporation and had no business activity. Baker allegedly used the money to purchase four cashiers checks and withdraw an additional \$60,000 in cash, which he used to purchase personal vehicles.	18 USC §§ 1343, 1957	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30184-DUTY	5/13/2020-6/1/2020	-	https://www.justice.gov/usao-edmi/pr/detroit-resident-charged-covid-19-wire-fraud-scheme	Local copy of Baker complaint	-

Subject name(s)	Date Announced	Law Enforcement Agency	Alleged Conduct	Summary	Relevant statute(s)	State	Court	Type of Action	Individual subject?	Corporate subject?	Follow-on action?	Matter Number	Dates of unlawful conduct	Penalties (civil/criminal fines, incarceration)	Press Release	Petition/ Complaint/ Indictment	Agreement/Judgment
Michael Marc Travalino (aka "Mark White Eagles")	6/2/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Marc Travalino from ongoing mail fraud and wire fraud for allegedly selling fraudulent COVID-19 cures through his business and his website. After being warned by the FDA and FTC to stop, he sold his fraudulent COVID-19 treatments to an undercover agent.	18 USC §§ 1341, 1343 (under 18 USC § 1345)	Texas	W.D. Tex.	Civil enforcement	Yes	No	No	4:20-cv-00046-DC-DF	4/28/2020-5/28/2020	-	https://www.justice.gov/usao-wdtx/pr/federal-court-issues-temporary-restraining-order-against-fort-davis-businessman	Local copy of Travalino complaint	-
Joseph M. Cherry, II	5/29/2020	USDOJ	PPP fraud	Joseph Cherry II was charged with wire fraud, theft of government property, making false statements to the Small Business Administration, and engaging in monetary transactions in criminally derived property for allegedly seeking \$190,000 in forgivable loans guaranteed by the SBA. His loan application allegedly contained misrepresentations regarding his income, employment, claimed business entities, and prior criminal record. Shortly after he received the loan, he allegedly converted a \$140,000 to cash or cashier's check.	18 USC §§ 641, 981, 982, 1014, 1343, 1957, 2	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	4:20-cr-00027-RBS-LRL	3/30/2020-5/2020	-	https://www.justice.gov/usao-edva/pr/man-indicted-covid-19-related-loan-fraud	Local copy of Cherry indictment	-
Quality King Distributors, Inc.; Glenn Nussdorf	5/27/2020	New York AG	Price gouging	Quality King Distributors, Inc. and its CEO Glenn Nussdorf were charged with price gouging for allegedly illegally increasing the company's wholesale prices to sell Lysol disinfectant products to neighborhood grocery and discount stores in New York. Between January 2020 and April 2020, Quality King allegedly increased the price of Lysol Disinfectant Spray from about \$4.25 per 19-ounce can to as high as \$9.15 per can, even though the company did not incur increased costs for the product.	GBL 396-r; Executive Law § 63(12)	New York	New York County State Supreme Court	Civil enforcement	Yes	Yes	No	-	1/2020-4/2020	-	https://ag.ny.gov/press-release/2020/attorney-general-james-sues-wholesaler-price-gouging-during-coronavirus-pandemic	https://ag.ny.gov/sites/default/files/nyag_petition_in_quality_king_proceeding.pdf	-
Mukesh Patel (d/b/a Quick Stop Mini Market)	5/27/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Mukesh Patel after the AG received tips alleging that his store was selling hand sanitizer for unlawful prices; the business allegedly sold 500mL bottles of "Chinese Letter Hand Sanitizer" for \$20 per bottle.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Lehigh County Court of Common Pleas	Assurance of voluntary compliance	Yes	No	No	-	-	\$500.00 in civil penalties; \$840.00 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapiro-stops-price-gouging-at-allentown-quick-stop-mini-mart/	https://www.attorneygeneral.gov/wp-content/uploads/2020/05/2020-05-27-Quick-Stop-Mini-Mart-AVC.pdf	-
Abdul Raheem Abdul Jabbar, DBA Freedom AZ, LLC and Desert Mobile Transport, LLC; Nohad Loabneh; Abedelhalim Lawabni, DBA A&N Services, LLC	5/27/2020	USDOJ	Health care fraud	Abdul Raheem Abdul Jabbar d/b/a Freedom AZ, LLC and Desert Mobile Transport, LLC, Nohad Loabneh, and Abedelhalim Lawabni d/b/a A&N Services, LLC were charged with conspiring to commit health care fraud for allegedly falsely billing Arizona's Health Care Cost Containment System (AHCCCS) for more than 9,000 non-emergency medical transports in April 2020, when in fact those transports never occurred, resulting in more than \$2.75 million in unjustified payments by AHCCCS.	18 USC § 1347	Arizona	D. Ariz.	Criminal enforcement	Yes	No	No	2:20-mj-03133-MTM	4/2020	-	https://www.justice.gov/usao-az/pr/united-states-attorneys-office-announces-charges-fraud-cases-related-covid-19	Local copy of Jabbar complaint	-
Jeremiah Faber	5/27/2020	USDOJ	Health care fraud	Jeremiah Faber was charged with health care fraud and money laundering for allegedly fraudulently submitting claims for reimbursement to Mercy Care, Arizona's health care benefit program, by billing for services that were medically unnecessary, as well as billing for services provided in the names of physicians who had no role in the assessment. Faber used his company Harmony Healthcare's social media presence to offer free COVID-19 testing if patients also completed the company's assessment, which he then used for the billing scheme.	18 USC §§ 1347, 1957	Arizona	D. Ariz.	Criminal enforcement	Yes	No	No	2:20-mj-03150-MTM	3/2020-4/2020	-	https://www.justice.gov/usao-az/pr/united-states-attorneys-office-announces-charges-fraud-cases-related-covid-19	Local copy of Faber complaint	-
Miguel Denga; Husam Alsadi, DBA Philia Homecare, LLC; Tamarria Denga, DBA COS I, LLC	5/27/2020	USDOJ	Health care fraud	Miguel Denga and Husam Alsadi d/b/a Philia Homecare, LLC, and Tamarria Denga d/b/a COSI LLC were charged with conspiring to commit health care fraud for allegedly falsely billing Arizona's Health Care Cost Containment System (AHCCCS) for more than 1,500 non-emergency medical transports in April 2020, when in fact those transports never occurred, resulting in more than \$450,000 in unjustified payments by AHCCCS.	18 USC § 1347	Arizona	D. Ariz.	Criminal enforcement	Yes	No	No	2:20-mj-03134-MTM	4/2020	-	https://www.justice.gov/usao-az/pr/united-states-attorneys-office-announces-charges-fraud-cases-related-covid-19	Local copy of Denga complaint	-
Micron Waste Technologies Inc. ("MICWD")	5/26/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Micron Waste Technologies ("MICWD") because of questions regarding the accuracy and adequacy of information in the marketplace about Micron Waste and its securities since at least April 2020. Allegedly, Micron Waste acquired Covid Technologies Inc., which represented that it was able to rapidly manufacture personal protective equipment to meet the needs of the global medical community during the COVID-19 pandemic.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88952	4/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88952.pdf	-	-

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Richard Schirripa (aka "the Mask Man")	5/26/2020	USDOJ	Price gouging	Richard Schirripa was charged with violating the Defense Production Act, making false statements, health care fraud, and aggravated identity theft for allegedly hoarding scarce N95 masks and selling them at inflated prices; for falsely claiming to the DEA that he transferred to others, sold, or destroyed controlled substances when in fact he kept them in a safe in his home; and for falsely billing Medicare and Medicaid for these controlled substance prescriptions by using his pharmacy's patients' personal identifying information.	18 USC §§ 1001, 1028A, 1347; 50 USC §§ 4512, 4513	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	1:12-cv-01602-MHD	3/26/2020-4/10/2020	-	https://www.justice.gov/usao-sdny/pr/licensed-pharmacist-charged-hoarding-and-price-gouging-n95-masks-violation-defense	https://www.justice.gov/usao-sdny/press-release/file/1278736/download	-
Ronald Romano	5/26/2020	USDOJ	Price gouging	Ronald Romano was charged with conspiring to commit wire fraud, mail fraud, and conspiring to violate the Defense Production Act for allegedly attempting to obtain for resale large quantities of personal protective equipment, including N95 respirators, and attempting to sell the equipment at elevated prices. Brokers acting on behalf of Romano also allegedly falsely represented to New York City their authority and ability to supply such equipment, and Romano submitted a false and misleading document to New York City referring to a deal with Florida authorities that never occurred.	18 USC §§ 371, 1343, 1349, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-05276-UA	2/2020-4/2020	-	https://www.justice.gov/usao-sdny/pr/new-jersey-man-arrested-45-million-scheme-defraud-and-price-gouge-new-york-city-during	Local copy of Romano complaint	-
Baoke Zhang	5/22/2020	USDOJ	PPP fraud	Baoke Zhang was charged with wire fraud and bank fraud for allegedly seeking millions in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Zhang allegedly provided fraudulent documents to two different lenders in support of applications for the loans.	18 USC §§ 1343, 1344, 2	Washington	W.D. Was.	Criminal enforcement	Yes	No	No	2:20-mj-00269-MAT	4/19/2020	-	https://www.justice.gov/opa/pr/s oftware-engineer-charged-washington-covid-relief-fraud	Local copy of Zhang complaint	-
William Sadleir	5/22/2020	USDOJ	PPP fraud	William Sadleir was charged with wire fraud, bank fraud, and making false statements for allegedly seeking over \$1.7 million in forgivable loans guaranteed by the Small Business Administration and falsely certifying that they would be used for payroll expenses. In fact, Sadleir used the proceeds to pay off personal expenses, including credit card debts and a car loan.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-02326-DUTY	4/30/2020-5/5/2020	-	https://www.justice.gov/opa/pr/hollywood-film-producer-charged-17-million-covid-relief-fraud	Local copy of Sadleir complaint	-
Tuning Element, LLC	5/21/2020	Missouri AG	Price gouging	Tuning Element, LLC was charged with price gouging and making misrepresentations regarding face masks and respirators it allegedly began selling during the COVID-19 pandemic. The markups allegedly included 5-layer KN95 masks for \$20 each; 3-layer KN95 masks for \$15 each; and 50-count boxes of 3-layer disposable masks for \$99, even though these items typically sold for much less. The company also allegedly misrepresented the respiratory and anti-infection protection provided by these products and their approval by the FDA and other federal agencies.	MRS § 407.020	Missouri	Taney County Circuit Court	Civil enforcement	No	Yes	No	2046-CC00115	3/2020-5/2020	-	https://ago.mo.gov/home/news-archives/2020/05/21/attorney-general-schmitt-files-lawsuit-against-branson-area-business-for-inflated-mask-prices-deceptive-sales-practices	-	-
EZ Dollar Plus, LLC (d/b/a E-Z Dollar Plus)	5/21/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with EZ Dollar Plus, LLC after the AG received tips alleging that the store was selling facemasks and hand sanitizers for unlawful prices; the business allegedly sold 20-packs of facemasks for \$24.99 and 2-ounce hand sanitizers for \$5.99.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Philadelphia County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$600.00 in civil penalties; \$158.16 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapiro-stops-price-gouging-at-philadelphia-ez-dollar-plus/	https://www.attorneygeneral.gov/wp-content/uploads/2020/05/2020-05-21-EZ-Dollar-Plus-AVC.pdf	-
Muge Ma (aka "Hummer Mars")	5/21/2020	USDOJ	PPP fraud	Muge Ma was charged with bank fraud, wire fraud, fraud against the United States, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Ma allegedly represented that he owned two companies with hundreds of employees and millions in wages, when in fact Ma was the only employee of these companies.	15 USC § 645; 18 USC §§ 1001, 1014, 1031, 1343, 1344	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-05202-UA	3/2020-5/15/2020	-	https://www.justice.gov/usao-sdny/pr/chinese-national-arrested-20-million-scheme-fraudulently-obtain-loans-intended-help	https://www.justice.gov/usao-sdny/press-release/file/1278151/download	-
WOD Retail Solutions Inc. ("WOD Retail")	5/20/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of WOD Retail Solutions Inc. because of questions regarding the accuracy and adequacy of information in the marketplace. WOD Retail allegedly claimed that its automated kiosks for selling retail items in gyms would generate additional revenue as a result of the COVID-19 pandemic, when in fact the company had earned no revenue in 2018 or 2019, and text and email promotions from an unknown source claimed WOD Retail would expand business to take advantage of the need for its products during the COVID-19 pandemic, despite WOD Retail's apparent lack of business activity and revenue.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88916	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88916.pdf	-	-

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Samuel Morgan Yates	5/19/2020	USDOJ	PPP fraud	Samuel Yates was charged with wire fraud, bank fraud, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely claimed that he had over 400 employees earning wages, when in fact he had no employees working for the purported business.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	5:20-mj-00015-CMC	4/14/2020	-	https://www.justice.gov/opa/pr/ice-man-charged-5-million-covid-relief-fraud	Local copy of Yates complaint	-
BioMed Nutritional Center-Providence Parkway, LLC	5/15/2020	Michigan AG	Price gouging	The Michigan Attorney General claimed it had probable cause to believe that BioMed Nutritional Center-Providence Parkway, LLC violated the Michigan Consumer Protection Act for allegedly selling hand sanitizer, gloves, and masks at grossly inflated prices. BioMed entered into an assurance of voluntary compliance with the Michigan Attorney General to resolve potential claims without admitting liability.	MCL § 445.901	Michigan	-	Assurance of voluntary compliance	No	Yes	No	20-39-CP	3/1/2020-5/6/2020	\$2,000 fine	-	-	https://www.michigan.gov/documents/ag/BioMed_AVC_5-15-15_signed_copy_with_attachment_691118_7.pdf
Ashley Hoobler Parris	5/15/2020	USDOJ	Health care fraud	Ashley Hoobler Parris was charged with conspiring to violate the Anti-Kickback Statute and conspiring to commit health care fraud for allegedly soliciting and receiving illegal kickback payments from the owners and operators of a diagnostic testing laboratory in exchange for referring Medicare beneficiaries to this laboratory. Her scheme later involved paid kickbacks on a per-test basis for COVID-19 tests, provided that those tests were bundled with other more expensive tests.	18 USC §§ 371, 1349	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:20-mj-01475-T-SPF	10/2018-3/30/2020	-	https://www.justice.gov/usao-mdfl/pr/georgia-woman-arrested-role-scheme-defraud-health-care-benefit-programs-related-cancer	https://www.justice.gov/usao-mdfl/press-release/file/1276866/download	-
Applied BioSciences Corp.	5/14/2020	SEC	Securities fraud	Applied BioSciences Corp. was charged with securities fraud for allegedly issuing a March 2020 press release that it had begun offering and shipping supposed finger-prick COVID-19 tests to the general public that could be used for "Homes, Schools, Hospitals, Law Enforcement, Military, Public Servants or anyone wanting immediate and private results," when in fact the tests were not intended for home use by the general public and could only be administered in consultation with a medical professional. Moreover, the company allegedly had not shipped any COVID-19 tests by March 2020, and its tests were not	15 USC § 78j; 17 CFR § 240.10b-5	New York	S.D.N.Y.	Civil enforcement	No	Yes	Yes	1:20-cv-03729	3/25/2020-3/31/2020	-	https://www.sec.gov/litigation/litrg/leases/2020/lr24819.htm	https://www.sec.gov/litigation/complaints/2020/comp-pr2020-111-applied.pdf	-
Turbo Global Partners, Inc.; Robert W. Singerman	5/14/2020	SEC	Securities fraud	Turbo Global Partners, Inc. and its CEO Robert W. Singerman were charged with securities fraud for allegedly issuing press releases that the company could sell products that could assist in detecting individuals infected with the COVID-19 virus, when in fact the products could not, and Singerman drafted the press releases knowing they were false.	15 USC § 78j; 17 CFR § 240.10b-5	Florida	M.D. Fla.	Civil enforcement	Yes	Yes	Yes	8:20-cv-01120	2/2020-3/30/2020	-	https://www.sec.gov/litigation/litrg/leases/2020/lr24820.htm	https://www.sec.gov/litigation/complaints/2020/comp-pr2020-111-turbo.pdf	-
XephyrLLC d/b/a N-Ergetics; Brad Brand; Derill J. Fussell; Linda Fussell	5/14/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Xephyr LLC d/b/a N-Ergetics and its owners Brad Brand, Derill J. Fussell, and Linda Fussell from selling colloidal silver, which they promoted as a treatment and cure for COVID-19, despite it not being approved by the FDA for that purpose or being recognized by qualified experts as safe and effective.	21 USC § 331 (under 21 USC § 332)	Oklahoma	E.D. Okla.	Civil enforcement	Yes	Yes	No	6:20-cv-00140-RAW	3/17/2020	-	https://www.justice.gov/opa/pr/court-orders-oklahoma-company-stop-selling-colloidal-silver-treatment-covid-19	Local copy of Xephyr complaint	-
Maurice Fayne (aka "Arkansas Mo")	5/13/2020	USDOJ	PPP fraud	Maurice Fayne was charged with bank fraud for allegedly seeking millions in forgivable loans guaranteed by the Small Business Administration that he certified he would use to retain workers and cover business expenses. But Fayne allegedly used over \$1.5 million of the loan proceeds for personal expenses, including jewelry and child support.	18 USC § 1344	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00370-JSA	4/28/2020	-	https://www.justice.gov/opa/pr/ice-man-charged-5-million-covid-relief-fraud	Local copy of Fayne complaint	-
Shashank Shekhar Rai	5/13/2020	USDOJ	PPP fraud	Shashank Rai was charged with wire fraud, bank fraud, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely claimed that he had 250 employees earning wages, when in fact he had no employees working for the purported business.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	1:20-mj-00095-ZJH	4/8/2020	-	https://www.justice.gov/opa/pr/engineer-charged-texas-covid-relief-fraud	Local copy of Rai complaint	-
Matthew Owens	5/11/2020	USDOJ	Consumer fraud	Matthew Owens was charged with smuggling and the receipt and delivery of misbranded drugs for allegedly purchasing, importing, and offering for sale chloroquine imported from China.	18 USC § 545; 21 USC § 331	Oregon	D. Or.	Criminal enforcement	Yes	No	No	3:20-mj-00107	3/2020-4/2020	-	https://www.justice.gov/usao-or/pr/gresham-man-charged-smuggling-and-importing-misbranded-chloroquine-china-attempted-resale	Local copy of Owens complaint	-

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Tejz, Inc. (d/b/a Convenience Smoke Shop and d/b/a Fresh & Easy Convenience Store)	5/7/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Tejz, Inc. after the AG received a tip alleging that the store was selling Lysol cleaning wipes for unlawful prices; the business allegedly sold Lysol wipes for \$8.99 and \$14.99, depending on the size.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Delaware County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$600.00 in civil penalties; \$119.88 in restitution for consumers	https://www.attorneygeneral.gov/taking-action/covid-19/ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging/	-	https://www.attorneygeneral.gov/wp-content/uploads/2020/05/2020-05-05-Fresh-and-Easy-AVC.pdf
Custom Protection Services, Inc. ("CSPS")	5/5/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Custom Protection Services Inc. ("CSPS") because of questions regarding the accuracy and adequacy of information in the marketplace about CSPS and its securities since at least March 2020. CSPS allegedly issued potentially inaccurate press releases about its development of frontline screening solutions for COVID-19, the overwhelming response it received, and that it would earn \$10,000 each day in gross revenue from new contracts.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88815	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88815.pdf	-	-
David Adler Staveley (aka "Kurt David Sanborn" and "David Sanborn")	5/5/2020	USDOJ	PPP fraud	David Staveley was charged with conspiring to make a false statement, conspiring to commit bank fraud, and aggravated identity theft for allegedly seeking \$438,500 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Staveley, after discussing submitting fraudulent loans applications with his alleged co-conspirator David Butziger, claimed on his loan application to have dozens of employees earning wages at three restaurants he owned, when in fact two of the restaurants had no employees and Staveley did not own the third restaurant.	18 USC §§ 371, 1028A, 1349	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00034-LDA	4/6/2020-4/27/2020	-	https://www.justice.gov/opa/pr/two-charged-rhode-island-stimulus-fraud	Local copy of Staveley complaint	-
David Butziger	5/5/2020	USDOJ	PPP fraud	David Butziger was charged with conspiring to make a false statement, conspiring to commit bank fraud, and bank fraud, for allegedly seeking \$105,381 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Butziger, after discussing submitting fraudulent loans applications with his alleged co-conspirator David Staveley, claimed on his loan application to have seven full-time employees on his payroll, when there was no record of such.	18 USC §§ 371, 1344, 1349	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00033-LDA	4/6/2020-4/27/2020	-	https://www.justice.gov/opa/pr/two-charged-rhode-island-stimulus-fraud	Local copy of Butziger complaint	-
CNS Pharmaceuticals Inc. ("CNSP")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of CNS Pharmaceuticals, Inc. ("CNSP") because of questions regarding the accuracy and adequacy of information in the marketplace about CNSP and its securities since at least March 2020. CNSP and others allegedly issued press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88802	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88802.pdf	-	-
Moleculin Biotech, Inc. ("MBRX")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Moleculin Biotech, Inc. ("MBRX") because of questions regarding the accuracy and adequacy of information in the marketplace about MBRX and its securities since at least March 2020. MBRX and others issued potentially inaccurate press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88801	3/19/2020-4/16/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88801.pdf	-	-
WPD Pharmaceuticals, Inc. ("WCOTF")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of WPD Pharmaceuticals, Inc. ("WCOTF") because of questions regarding the accuracy and adequacy of information in the marketplace about WCOTF and its securities since at least March 2020. WCOTF and others issued potentially inaccurate press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88800	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88800.pdf	-	-
Nano Magic Inc. ("NMGX")	4/30/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Nano Magic, Inc. ("NMGX") because of questions regarding the accuracy and adequacy of information in the marketplace about NMGX since at least February 2020. The information included claims that the company had a patent for a disinfectant that kills "coronavirus," and a statement made by the company in April regarding its involvement in the fight against COVID-19.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88789	2/24/2020-4/7/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88789.pdf	-	-
My Doctor Suggests LLC (d/b/a My Health Supplier); GP Silver LLC; Gordon Pedersen	4/29/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Gordon Pedersen and his companies My Doctor Suggests LLC d/b/a My Health Supplier and GP Silver LLC from ongoing wire fraud and mail fraud for allegedly fraudulently promoting and selling various silver products for the treatment and prevention of COVID-19.	18 USC §§ 1341, 1343 (under 18 USC § 1345)	Utah	D. Utah	Civil enforcement	Yes	Yes	No	2:20-cv-00279-DBB	1/30/2020-4/23/2020	-	https://www.justice.gov/opa/pr/court-orders-halt-sale-silver-product-fraudulently-touted-covid-19-cure	Local copy of Pedersen complaint	-
Praxsyn Corporation; Frank J. Brady	4/28/2020	SEC	Securities fraud	Praxsyn Corp. and its CEO Frank Brady were charged with securities fraud for allegedly issuing false and misleading press releases claiming that the company was able to acquire and supply large quantities of N95 or similar masks to protect wearers from the COVID-19 virus, when in fact Praxsyn never had any masks in its possession, nor any orders for masks, nor any contract with a manufacturer or supplier to obtain masks.	15 USC § 78j; 17 CFR § 240.10b-5	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	Yes	9:20-cv-80706-RAR	2/27/2020-3/4/2020	-	https://www.sec.gov/litigation/lit releases/2020/lr24807.htm	https://www.sec.gov/litigation/complaints/2020/comp24807.pdf	-

Subject name(s)	Date Announced	Law Enforcement Agency	Alleged Conduct	Summary	Relevant statute(s)	State	Court	Type of Action	Individual subject?	Corporate subject?	Follow-on action?	Matter Number	Dates of unlawful conduct	Penalties (civil/criminal fines, incarceration)	Press Release	Petition/ Complaint/ Indictment	Agreement/Judgment
Rodney Lewis Stevenson, II	4/28/2020	USDOJ	Consumer fraud	Rodney Stevenson II was charged with wire fraud for allegedly operating an e-commerce web site that scammed customers into paying for N95 masks that they never received.	18 USC §§ 1343, 2	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:20-mj-70483-AGT	2/28/2020-4/15/2020	-	https://www.justice.gov/usao-ndca/pr/michigan-man-charged-covid-19-related-wire-fraud-scheme	https://www.justice.gov/usao-ndca/press-release/file/1271766/download	-
Charles Mok	4/28/2020	USDOJ	Health care fraud	Charles Mok was charged with health care fraud and conspiring to commit health care fraud for allegedly submitting false and fraudulent claims to Medicare, including claims for high-dose intravenous vitamin C infusions to patients at risk of contracting COVID-19, while failing to observe protocols to isolate patients who tested positive for COVID-19.	18 USC §§ 1347, 1349	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30163-DUTY	1/1/2018-4/24/2020	-	https://www.justice.gov/usao-edmi/pr/macomb-county-doctor-charged-health-care-fraud-scheme	Local copy of Mok complaint	-
Donald Allen; Manuel Revolorio	4/27/2020	USDOJ	Consumer fraud	Donald Allen and Manuel Revolorio were charged with conspiring to commit wire fraud for allegedly seeking more than \$4 million from a purported purchaser of personal protective equipment, even though the two did not own nor had authorization to sell such equipment.	18 USC § 1349	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-00318-RLM	3/2020-4/2020	-	https://www.justice.gov/usao-edny/pr/two-individuals-arrested-conspiring-defraud-purported-purchasers-personal-protective	https://www.justice.gov/usao-edny/press-release/file/1271476/download	-
Purity Health and Wellness Centers, Inc.; Jean Juanita Allen	4/24/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Purity Health and Wellness Centers, Inc. and one of its principals, Jean Juanita Allen, from ongoing wire fraud for allegedly claiming that one of Purity Health's treatments was 95 percent effective even for someone who had tested positive for COVID-19, and that their treatment was the only prevention for the disease, as well as other diseases including cancer, SARS, and Ebola.	18 USC § 1343 (under 18 USC § 1345)	Texas	N.D. Tex.	Civil enforcement	Yes	Yes	No	3:20-cv-00985-L	4/13/20-4/21/20	Permanent injunction	https://www.justice.gov/opa/pr/court-prohibits-dallas-health-center-touting-ozone-therapy-covid-19-treatment	Local copy of Purity Health complaint	Local copy of Purity Health judgment
Amardeep Singh (aka "Bobby Singh" and "Bobby Sidana")	4/24/2020	USDOJ	Price gouging	Amardeep Singh was charged with violating the Defense Production Act for allegedly hoarding personal protective equipment during the COVID-19 pandemic, and selling them at inflated prices.	50 USC §§ 4512, 4513	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	2:20-mj-00326-SIL	3/25/2020-4/8/2020	-	https://www.justice.gov/usao-edny/pr/long-island-man-charged-under-defense-production-act-hoarding-and-price-gouging-scarc-0	Local copy of Singh complaint	-
David J. Jeansonne II; Traffic Jam Events, LLC	4/23/2020	Florida Attorney General	Deceptive marketing	David J. Jeansonne II and Traffic James Events, LLC were charged with violating the Florida Deceptive and Unfair Trade Practices Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale.	FS § 501.204	Florida	Hillsborough County Circuit Court	Civil enforcement	Yes	Yes	No	106596835	3/2020-4/2020	-	<a +complaint.pdf"="" href="http://www.myfloridalegal.com/newsrel.nsf/newsreleases/149613C55712374385258553005E4083?Open&">http://www.myfloridalegal.com/newsrel.nsf/newsreleases/149613C55712374385258553005E4083?Open&"+Complaint.pdf	<a +complaint.pdf"="" href="http://www.myfloridalegal.com/webfile/BNXHNV/\$file/Traffic+Jam+Events553005E4083?Open&">http://www.myfloridalegal.com/webfile/BNXHNV/\$file/Traffic+Jam+Events553005E4083?Open&"+Complaint.pdf	-
Predictive Technology Group, Inc. ("PRED")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Predictive Technology Group, Inc. ("PRED") because of questions regarding the accuracy and adequacy of information in the marketplace about PRED since at least March 2020. The company allegedly issued press releases claiming that it could immediately distribute large quantities of serology tests to detect the presence of COVID-19 antibodies.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88719	3/25/2020-4/8/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88719.pdf	-	-
SCWorx Corp. ("SCWorx")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of SCWorx Corp. because of questions regarding the accuracy and adequacy of information in the marketplace about SCWorx, including press releases and public statements since April 2020 about its agreement to sell COVID-19 tests, and the company's current Form 8-K concerning the same.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88712	4/13/2020-4/16/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88712.pdf	-	-
SpectrumDNA, Inc. ("SPXA")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of SpectrumDNA, Inc. ("SPXA") because of questions regarding the accuracy and adequacy of information in the marketplace about SPXA, including its financial condition and operations, given that the company has not made a public disclosure since 2015 and has a name that was similar to a private company manufacturing saliva collection devices as part of the response to the COVID-19 pandemic.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88713	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88713.pdf	-	-

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Matthew Colvin; Noah Colvin	4/21/2020	Tennessee AG	Price gouging	The Tennessee Attorney General entered into an assurance of voluntary compliance with Matthew and Noah Colvin after learning about alleged price gouging involving their emergency and medical supplies.	TCA §§ 47-18-101-131	Tennessee	Davidson County Chancery Court	Assurance of voluntary compliance	Yes	No	No	-	3/2020	Respondents donated or surrendered all Emergency Supplies and/or Medical Supplies in their possession, custody, or control to a non-profit organization; they also voluntarily waived any interest in the aforementioned supplies and agreed to the distribution of those supplies to officials in Kentucky.	https://www.tn.gov/attorneygeneral/news/2020/4/21/pr20-13.html	-	https://www.tn.gov/content/dam/tn/attorneygeneral/documents/pr/2020/pr20-13-avc.pdf
Dr. Ray L. Nannis P.C. d/b/a Optimum Wellness Solutions; Dr. Ray L. Nannis	4/17/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Dr. Ray Nannis, P.C. d/b/a Optimum Wellness Solutions and Dr. Ray Nannis from ongoing wire fraud for allegedly selling homeopathy that Dr. Nannis claimed provided up to 90 percent protection from COVID-19 and was a cure for COVID-19 for all intents and purposes.	18 USC § 1343 (under 18 USC § 1345)	Texas	N.D. Tex.	Civil enforcement	Yes	No	No	3:20-cv-00940-B	3/19/2020-4/14/2020	-	https://www.justice.gov/usao-ndtx/pr/us-attorney-s-office-action-against-chiropractor-promoting-fake-covid	Local copy of Nannis complaint	Local copy of Nannis judgment
Genesis II Church Of Health And Healing; Mark Grenon; Joseph Grenon; Jordan Grenon; Jonathan Grenon	4/17/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Genesis II Church of Health and Healing and its principals Mark Grenon, Joseph Grenon, Jordan Grenon, and Jonathan Grenon from selling unapproved new drugs and misbranded drugs in interstate commerce. The defendants had allegedly been selling a product that they claimed would cure, mitigate, treat, or prevent coronavirus, including COVID-19, as well as other diseases. The defendants allegedly continued to sell their product even after they were jointly warned by the FDA and FTC.	21 USC § 331 (under 21 USC § 332)	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	No	1:20-cv-21601-KMW	3/27/2020-4/9/2020	-	https://www.justice.gov/opa/pr/justice-department-seeks-end-illegal-online-sale-industrial-bleach-marketed-miracle-treatment	Local copy of Genesis complaint	-
PreCheck Health Services, Inc. ("HLTY")	4/16/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of PreCheck Health Services, Inc. ("HLTY") because of questions regarding the accuracy and adequacy of information in the marketplace about HLTY, including press releases and statements in March 2020 about HLTY's orders of COVID-19 tests for overseas customers.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88675	3/11/2020-3/18/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88675.pdf	-	-
BioXyTran, Inc. ("BIXT")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of BioXyTran, Inc. ("BIXT") because of questions regarding the accuracy and adequacy of information in the marketplace about BIXT common stock, including press releases, blog posts, and a podcast between February and March 2020 about the company's ability to develop a drug to mitigate or treat COVID-19, as well as concerns regarding possible stock manipulation between January and February 2020.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88656	1/21/2020-3/25/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88656.pdf	-	-
Bravatek Solutions, Inc. ("BVTK")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Bravatek Solutions, Inc. ("BVTK") because of questions regarding the accuracy and adequacy of information in the marketplace about BVTK, including press releases and social media posts since at least February 2020 about its business partner's disinfectant for COVID-19, its work to register the disinfectant with the EPA, and a purported \$150 million order.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88657	2/28/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88657.pdf	-	-
Signpath Pharma, Inc. ("SGTH")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Signpath Pharma, Inc. ("SGTH") because of questions regarding the accuracy and adequacy of information in the marketplace about SGTH, including a public claim made by the company in March 2020 regarding the development of a COVID-19 treatment.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88655	3/17/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88655.pdf	-	-
Mario F. Salwan; John Does 1 through 100	4/14/2020	Ohio AG	Price gouging	Mario F. Salwan and unnamed defendants were charged with violating Ohio's Valentine Act and Consumer Sales Practices Act for allegedly hoarding N95 respirator masks and selling them online via eBay for nearly 18 times their retail price.	ORC §§ 1331.01, 1331.03, 1331.04, 1331.06, 1345.02, 1345.03	Ohio	Franklin County Court of Common Pleas	Civil enforcement	Yes	No	No	-	3/2020-6/2020	-	https://www.ohioattorneygeneral.gov/Media/News-Releases/April-2020/Yost-Files-Suit-Against-PPE-Price-Gougers-Who-Sold	https://www.ohioattorneygeneral.gov/Files/Briefing-Room/News-Releases/Antitrust/State-v-Salwan-Complaint.aspx	-

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Big Brother Security Programs Inc.; Shelley Palmer	4/14/2020	Vermont AG	Price gouging	Big Brother Security Programs Inc. and its owner Shelley Palmer were charged with violating the Vermont Consumer Protection Act for allegedly importing surgical masks that cost 10 cents each and re-selling them to Central Vermont Medical Center for \$2.50 each, at least a 500 percent mark-up.	9 VSA § 2453	Vermont	Chittenden Superior Court	Civil enforcement	Yes	Yes	No	-	3/9/2020-3/24/2020	-	https://ago.vermont.gov/blog/2020/04/14/attorney-general-sues-to-stop-price-gouging-scheme/	https://ago.vermont.gov/wp-content/uploads/2020/04/Palmer-Complaint-04-13-2020.pdf	-
Applied BioSciences Corp. ("APPB")	4/13/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Applied BioSciences Corp. ("APPB") because of questions regarding the accuracy and adequacy of information in the marketplace about APPB, including statements made by the company in March 2020 about selling coronavirus test kits for home use.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88627	3/31/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88627.pdf	-	-
Arrayit Corporation ("ARYC")	4/13/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Arrayit Corporation ("ARYC") because of questions regarding the accuracy and adequacy of information in the marketplace about ARYC, including statements made by the company in March 2020 that it developed an approved COVID-19 blood test.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88623	3/2/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88623.pdf	-	-
Parallax Health Sciences, Inc. ("Parallax")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Parallax Health Sciences, Inc. ("Parallax" or "PRLX") because of questions regarding the accuracy and adequacy of information in the marketplace about Parallax common stock, including statements made on its website and a series of press releases about the company's purported development of a rapid screening test for COVID-19, as well as its purported access to large quantities of COVID-19 diagnostic testing kits and personal protective equipment.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88618	3/12/2020-3/24/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88618.pdf	-	-
Roadman Investments Corp. ("RMANF")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Roadman Investments Corp. ("RMANF") because of questions regarding the accuracy and adequacy of information in the marketplace about RMANF since February 2020, including a series of news releases about the company's commercialization of cedar leaf oil as a promising treatment for COVID-19.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88619	2/12/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88619.pdf	-	-
Solei Systems, Inc. ("SOLI")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Solei Systems, Inc. ("SOLI") because of questions regarding the accuracy and adequacy of information in the marketplace about SOLI common stock since March 2020, including a press release issued by the company and a national cable interview with the CEO of its wholly-owned subsidiary about that subsidiary's ability to provide COVID-19 testing kits that would allow a patient to submit a testing sample from home.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88620	3/16/2020-3/18/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88620.pdf	-	-
Christopher Parris	4/10/2020	USDOJ	Contract fraud	Christopher Parris was charged with wire fraud for allegedly making a series of fraudulent misrepresentations to secure orders from the Department of Veterans Affairs for 125 million face masks and other personal protective equipment that would have totaled over \$750 million. For example, Parris promised he could obtain millions of genuine 3M masks from domestic factories when he knew that fulfilling the orders would be impossible. He also made similar false representations to other entities in an effort to enter into other fraudulent agreements to sell equipment to state governments.	18 USC § 1343	New York	W.D.N.Y.	Criminal enforcement	Yes	No	No	6:20-mj-04019-MWP	-	-	https://www.justice.gov/opa/pr/georgia-man-arrested-attempting-defraud-department-veterans-affairs-multimillion-dollar-covid	Local copy of Parris complaint	-
Turbo Global Partners, Inc. ("TRBO")	4/9/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Turbo Global Partners, Inc. ("TRBO") because of questions regarding the accuracy and adequacy of information in the marketplace about TRBO common stock, including press releases regarding an agreement with another company to provide non-contact human temperature screening and facial recognition technology to TRBO, and TRBO's ability to ship this technology within five days	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88609	3/30/2020-4/3/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88609.pdf	-	-
Rong Sun (AKA Vicky Sun)	4/9/2020	USDOJ	FIFRA violation	Rong Sun was charged with smuggling, illegal mailing, and violating the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA) for allegedly selling an unregistered pesticide, Toamit Virus Shut Out, through eBay, claiming that it would help protect individuals from viruses.	7 USC §§ 136j, 136i; 18 USC §§ 545, 1716	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00289-AJB; 3:20-cr-00005-JKL	4/2/2020	-	https://www.justice.gov/opa/pr/georgia-resident-arrested-selling-illegal-products-claiming-protect-against-viruses	https://www.justice.gov/opa/press-release/file/1267811/download	Sun plea
BioElife Corp. f/k/a U.S. Lithium Corp. ("LITH")	4/8/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of BioElife Corp. f/k/a U.S. Lithium Corp. ("LITH") because of questions regarding the accuracy and adequacy of information in the marketplace about the company, including a company press release reinforced by stock promoters regarding a purported new "Coronavirus (COVID-19) Prevention Products Line" that the company claimed to be producing and distributing.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88607	3/12/2020-3/16/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88607.pdf	-	-
Key Capital Corporation ("KCPC")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Key Capital Corporation ("KCPC") because of questions regarding the accuracy and adequacy of information in the marketplace about KCPC common stock, particularly KCPC's ability to develop a COVID-19 vaccine and make it available to the mass market in three to six months.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88585	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88585.pdf	-	-
Prestige Capital Corp. ("PGECC")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Prestige Capital Corp. ("PGECC") because of questions regarding the accuracy and adequacy of information in the marketplace about PGECC common stock, including its financial condition and operations in light of concerns about investors confusing this company with another similarly-named company that manufactured N95	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88584	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88584.pdf	-	-

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Wellness Matrix Group, Inc. ("WMGR")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Wellness Matrix Group, Inc. ("WMGR") because of questions regarding the accuracy and adequacy of information in the marketplace about WMGR common stock, including statements WMGR made through affiliated websites and a company consultant about selling home COVID-19 testing kits that it claimed had been approved by the FDA.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88582	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/3-4-88582.pdf	-	-
No Borders, Inc. ("NBDR")	4/3/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of No Borders, Inc. ("NBDR") because of questions regarding the accuracy and adequacy of information in the marketplace about NBDR since March 2020, including statements made about NBDR's COVID19 specimen collection kits, an agreement to bring COVID-19 test kits to the United States, and NBDR's activities related to the distribution of personal protective equipment.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88549	3/11/2020-3/25/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/3-4-88549.pdf	-	-
Sandy Steele Unlimited Inc. ("Sandy Steele")	4/3/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Sandy Steele Unlimited Inc. ("SSTU") because of questions regarding the accuracy and adequacy of information in the marketplace about SSTU common stock.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88550	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/3-4-88550.pdf	-	-
Frank Richard Ludlow	4/1/2020	USDOJ	Consumer fraud	Frank Richard Ludlow was charged with introducing misbranded drugs into interstate commerce by allegedly repackaging "Trinity Remedy" kits he smuggled from the UK as "Trinity COVID-19 SARS Antipathogenic Treatment" kits, even though the kits had not been approved by the FDA to treat COVID-19 or for any other use.	21 USC §§ 331, 333	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-01469-DUTY	2/2020-3/2020	-	https://www.justice.gov/usao-cdca/pr/uk-national-charged-shipping-mislabeled-and-unapproved-treatments-patients-suffering	Local copy of Ludlow complaint	-
Erik Santos	3/30/2020	USDOJ	Health care fraud	Erik Santos was charged with conspiring to violate the Anti-Kickback Statute and conspiring to commit health care fraud for allegedly engaging in a scheme to defraud Medicare by soliciting and receiving kickback payments from companies involved in clinical and diagnostic testing. As part of the scheme, Santos agreed to be paid kickbacks on a per-test basis for COVID-19 tests, provided that those tests were bundled with a much more expensive respiratory pathogen panel (RPP) test, which did not identify or treat COVID-19.	18 USC §§ 371, 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2:20-mj-09096-CLW	11/2019-3/2020	-	https://www.justice.gov/usao-nj/pr/georgia-man-arrested-orchestrating-scheme-defraud-health-care-benefit-programs-related	https://www.justice.gov/usao-nj/press-release/file/1263416/download	-
Baruch Feldheim	3/30/2020	USDOJ	Price gouging	Baruch Feldheim was charged with assaulting a federal officer and making false statements after allegedly coughing on FBI agents while claiming to have COVID-19, and lying about his accumulation and sale of surgical masks, medical gowns, and other medical supplies.	18 USC §§ 111, 1001	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2:20-mj-09122-CLW	3/29/2020	-	https://www.justice.gov/usao-nj/pr/brooklyn-man-arrested-assaulting-fbi-agents-and-making-false-statements-about-his	https://www.justice.gov/usao-nj/press-release/file/1264316/download	-
Auctions Unlimited LLC	3/26/2020	Texas AG	Price gouging	Auctions Unlimited LLC was charged with violating the Texas Deceptive Trade Practices-Consumer Protection Act (DTPA) for allegedly listing a pack of 16 N95 masks on its website for \$180, even though local police and the Texas Attorney General warned website owner Tim Worstell not to do so.	Tex. Bus. & Com. Code § 17.46	Texas	Harris County District Court	Civil enforcement	No	Yes	No	2020-19535	3/24/2020	-	https://www.texasattorneygeneral.gov/news/releases/ag-paxton-files-lawsuit-halt-price-gouging-auctions-unlimited-llc	https://www.texasattorneygeneral.gov/sites/default/files/images/admin/2020/Press%20200326%20POP%20Unlimited%20pdfA%20(4).pdf	-
Praxsyn Corporation ("PXYN")	3/25/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Praxsyn Corporation ("PXYN") because of questions regarding the accuracy and adequacy of information in the marketplace about PXYN since at least February 2020 relating to statements the company made about having and, being able to obtain, large quantities of N95 masks.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	File No. 500-1	2/27/2020-3/4/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/3-4-88479-o.pdf	-	-
Zoom Technologies, Inc. ("ZOOM")	3/25/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of ZOOM Technologies, Inc. ("ZOOM") because of questions regarding the accuracy and adequacy of information in the marketplace about ZOOM, in light of the absence of any public disclosure since 2015, and investors potentially confusing this company with a similarly-named issuer that provided communications services.	15 USC § 78l	United States	-	Suspension of trading	No	Yes	No	Release No. 88477	-	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/3-4-88477.pdf	-	-

Subject name(s)	Date Announced	Law Enforcement Agency	Alleged Conduct	Summary	Relevant statute(s)	State	Court	Type of Action	Individual subject?	Corporate subject?	Follow-on action?	Matter Number	Dates of unlawful conduct	Penalties (civil/criminal fines, incarceration)	Press Release	Petition/ Complaint/ Indictment	Agreement/Judgment
Keith Lawrence Middlebrook	3/25/2020	USDOJ	Consumer fraud	Keith Lawrence Middlebrook was charged with wire fraud for allegedly soliciting investments for a company that he claimed would be used to market pills that would prevent coronavirus infections and an injectable cure for those already suffering from COVID-19, when in fact there was no specific antiviral treatment for COVID-19 and no vaccine to prevent coronavirus infection.	18 USC § 1349	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-01341-DUTY	3/13/2020-3/24/2020	-	https://www.justice.gov/usa-cdca/pr/southland-man-arrested-federal-charges-alleging-fraudulent-investment-scheme-featuring	Local copy of Middlebrook complaint	-
John Doe (AKA "coronavirusmedicalkit.com")	3/22/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin the owners of the website coronavirusmedicalkit.com from ongoing wire fraud for allegedly offering access to WHO vaccine kits for COVID-19, when in fact there was no COVID-19 vaccine at the time and the WHO was not distributing any such vaccine.	18 USC § 1343 (under 18 USC § 1345)	Texas	W.D. Tex.	Civil enforcement	Yes	No	No	1:20-cv-00306	3/3/2020	-	https://www.justice.gov/opa/pr/justice-department-files-its-first-enforcement-action-against-covid-19-fraud	https://www.justice.gov/opa/pres-release/file/1260126/download	-
Eastgate Biotech Corp. ("ETBI")	2/24/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Eastgate Biotech Corp. ("ETBI") because of questions regarding the accuracy and adequacy of information in the marketplace about ETBI since at least January 2020, including the company's purported international marketing rights to an approved COVID-19 treatment.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88265	1/30/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88265.pdf	-	-
Aethlon Medical, Inc. ("AEMD")	2/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Aethlon Medical, Inc. ("AEMD") because of questions regarding the accuracy and adequacy of information in the marketplace about AEMD since at least January 2020, including viability of the company's product to treat COVID-19, as well as unusual market activity.	15 USC § 78I	United States	-	Suspension of trading	No	Yes	No	Release No. 88142	1/22/2020	Temporary suspended trading	https://www.sec.gov/litigation/suspensions/2020/34-88142.pdf	-	-