



Cara Marie Kirk-Connell	12/16/2020	USDOJ	Unemployment fraud	Cara Marie Kirk-Connell pleaded guilty to fraud and related activity in connection with access devices for knowingly using approximately 50 unauthorized access devices. Kirk-Connell used stolen personal identifiable information, such as dates of birth and Social Security numbers, to apply for unemployment insurance benefits in the names of other people, and obtained from the California Employment Development Department (EDD) multiple debit cards that contained more than \$500,000 in COVID-related unemployment benefits to which she was not entitled.	18 USC § 1029	California	C.D. Cal.	Criminal enforcement	Yes	No	No	5:20-cr-00210-AB	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/woman-pleads-guilty-federal-criminal-charges-fraudulently-obtaining-over-500000">https://www.usdoj.gov/isaac/cdo/cr/press-releases/woman-pleads-guilty-federal-criminal-charges-fraudulently-obtaining-over-500000</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/woman-pleads-guilty-federal-criminal-charges-fraudulently-obtaining-over-500000">Kirk-Connell complaint</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/woman-pleads-guilty-federal-criminal-charges-fraudulently-obtaining-over-500000">Kirk-Connell plea agreement</a>		
Bryon Jones	12/16/2020	USDOJ	PPP Fraud	Bryon Jones was charged with wire fraud, making false statements, and engaging in monetary transactions in criminally derived property for allegedly submitting a fraudulent loan application for an Economic Injury Disaster Loan (EIDL) sponsored by the United States Small Business Administration (SBA) that resulted in the disbursement of \$142,900 in federal emergency relief funds. Jones, who at the time was on federal supervised release for a previous felony conviction, submitted a loan application that contained false statements and misrepresentations regarding his company's revenues, costs, and employees and his prior criminal record.	18 USC §§ 1014, 1343, 1957	North Carolina	W.N.C.	Criminal enforcement	Yes	No	No	3:20-cr-00438-RJC-OSC	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-over-150000-in-federal-emergency-relief-funds">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-over-150000-in-federal-emergency-relief-funds</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-over-150000-in-federal-emergency-relief-funds">Jones indictment</a>	-	
Maurice Mills	12/16/2020	USDOJ	Unemployment fraud	Maurice Mills was charged with wire fraud for allegedly submitting unemployment insurance benefits claims on behalf of approximately 20 individuals to the State of New York, although many of these claims were in the names of individuals located in Texas and directed the benefits to be sent to locations in New Jersey.	18 USC § 1343	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	20-8426 (IAD)	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-unemployment-insurance-benefits">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-unemployment-insurance-benefits</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-unemployment-insurance-benefits">Mills indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-unemployment-insurance-benefits">Mills plea agreement</a>	
Matthew Jason Welch	12/15/2020	USDOJ	PPP Fraud	Matthew Jason Welch pleaded guilty to wire fraud for attempting to illegally obtain \$35,000 from the Paycheck Protection Program (PPP) by submitting a false PPP loan application to one of the participating lenders. Welch falsely certified in the application that he was not "subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction" when he was actually subject to felony criminal charges in Montana and Wyoming.	18 USC § 1343	Montana	D. Mont.	Criminal enforcement	Yes	No	No	1:20-cr-00103-DLC	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-35000-from-ppp">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-35000-from-ppp</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-35000-from-ppp">Welch indictment</a>	-	
Azhar Sarwar Rana	12/14/2020	USDOJ	PPP Fraud	Azhar Sarwar Rana was charged with bank fraud and money laundering for allegedly fraudulently obtaining a Federal Paycheck Protection Program (PPP) loan of over \$5 million. Rana allegedly submitted a fraudulent PPP loan application to a lender on behalf of a corporate entity, Azhar Sarwar Rana LLC, that purportedly invested in real estate development.	18 USC §§ 1344, 1957, 2	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	20-12468	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-5-million-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-5-million-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-5-million-ppp-loan">Rana indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-charged-with-fraudulently-obtaining-5-million-ppp-loan">Rana plea agreement</a>	
Robert Sloan Mateer	12/11/2020	USDOJ	Unemployment fraud	Robert Sloan Mateer was charged with possessing unauthorized access devices with intent to defraud and aggravated identity theft, inter alia, for allegedly using stolen identities to fraudulently obtain unemployment insurance benefits, which he later used to purchase a Maserati luxury SUV.	18 USC § 1028A	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-cr-00580-ODW	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits">Mateer indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits">Mateer plea agreement</a>	
Ngoc Manh Nguyen (a/k/a Peter Nguyen); Victoria Disney Ho (a/k/a Vicky Ho); Dat Tat Ho	12/10/2020	USDOJ	PPP Fraud	Ngoc Manh Nguyen, a/k/a "Peter Nguyen," Victoria Disney Ho, and Dat Tat Ho were charged with major fraud against the United States, conspiracy to commit wire fraud and bank fraud, and conspiracy to make false statements for allegedly participating in a fraudulent scheme to obtain over \$13 million in Government-guaranteed loans designed to provide relief to small businesses during the novel coronavirus/COVID-19 pandemic. In connection with loan applications for COVID-19 relief available from the Paycheck Protection Program ("PPP") of the Small Business Administration, the defendants falsely and grossly overstated the number of employees and payroll at their retail salons and other businesses through fraudulent and doctored payroll and tax records in order to obtain larger loans.	18 USC §§ 371, 1031, 1349, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	20-MAG-12908	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-13-million-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-13-million-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-13-million-ppp-loan">Nguyen et al. indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-13-million-ppp-loan">Nguyen et al. plea agreement</a>	
Besim Kukaj	12/10/2020	USDOJ	PPP Fraud	Besim Kukaj was charged with bank fraud conspiracy, inter alia, for allegedly participating in a scheme to obtain Government-guaranteed loans from FDIC-insured banks through the Paycheck Protection Program (PPP) by means of false and fraudulent pretenses, representations, and documents.	18 USC §§ 371, 875, 1349	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	-	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Kukaj indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Kukaj plea agreement</a>	
Zachary Puznak; Zenger LLC	12/9/2020	Indiana AG	Consumer fraud	The Indiana Attorney General sued Zachary Puznak and Zenger LLC under the Indiana Deceptive Consumer Sales Act and Indiana False Claims Act for allegedly undertaking a scheme to fraudulently obtain hundreds of millions of dollars from the State of Indiana during the COVID-19 pandemic by offering to sell N95 respirator masks on behalf of 3M, when they had no intention to do so.	Indiana Code §§ 24-5-0.5-1 et seq; 5-11-5.5-1-1 et seq	Indiana	Marion County Circuit/Superior Court	Civil enforcement	Yes	Yes	No	49D13-2012-PL-043285	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Puznak et al. indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Puznak et al. plea agreement</a>	
Scott Suber	12/9/2020	USDOJ	PPP Fraud	Scott Suber pleaded guilty to bank fraud for submitting a fraudulent application for a Paycheck Protection Program (PPP) loan, and then using the loan proceeds for his own personal benefits, including making large cash withdrawals and travel to Las Vegas. The application falsely represented that Suber's business, Debris or Not Debris Property Preservation, Inc., had eight employees and an average monthly payroll of \$140,000, and in support of the fraudulent application, a false Form 941, Employer's Quarterly Federal Tax Return was submitted.	18 USC § 1344	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	2:20-cr-00110-RGD-LRL	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Suber indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Suber plea agreement</a>	
Robert Decker	12/7/2020	New York AG	Unemployment fraud	Robert Decker faced an 11-count indictment in connection with his alleged theft of more than \$6,000 in unemployment insurance benefits issued by the state of New York under the Coronavirus Aid, Relief, and Economic Security Act. Despite working almost every day during a 10-week period, Decker allegedly still filed 10 false weekly re-certifications for eligibility for unemployment insurance, asserting that he had not worked a single day during any of those weeks.	-	New York	-	Civil enforcement	Yes	No	No	-	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits">Decker indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-unemployment-insurance-benefits">Decker plea agreement</a>	
Desmond Fodje Bobga	12/7/2020	USDOJ	Consumer fraud	Desmond Fodje Bobga was charged with fraud conspiracy, wire fraud, forging and counterfeiting the seal of a court of the United States, and aggravated identity theft for his alleged involvement in a puppy fraud scheme perpetrated against American consumers. From around June 2018 to December 2020, Fodje Bobga allegedly conspired with others to offer puppies and other animals for sale on internet websites, convinced victims to purchase the pets, and then claimed the pet transport was delayed and additional money was needed for delivery. More recently, Bobga and others claimed more money was needed because the pet had been exposed to the coronavirus.	18 USC §§ 505, 1028A, 1343, 1349	Pennsylvania	W.D. Pa.	Criminal enforcement	Yes	No	No	2:20-mj-01365-MPK	-	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Bobga indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Bobga plea agreement</a>
Charles J. Jones	12/7/2020	USDOJ	PPP Fraud	Charles J. Jones was charged with fraud in connection with major disaster or emergency benefits as well as wire fraud for allegedly submitting an application for an Economic Injury Disaster Loan for a business, known as "Just Like Jilly," which did not exist. In addition, Jones allegedly denied having been convicted of a felony within five years of when he completed the loan application. The Small Business Administration allegedly wired approximately \$160,000 in loan proceeds into Jones' bank account.	18 USC §§ 1040, 1343	Illinois	C.D. Ill.	Criminal enforcement	Yes	No	No	3:20-cr-30073-SEM-TSH	-	-	-	-	-	-	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Jones indictment</a>	<a href="https://www.usdoj.gov/isaac/cdo/cr/press-releases/man-pleads-guilty-to-fraudulently-obtaining-ppp-loan">Jones plea agreement</a>	

Peter Garrido-Baez	12/7/2020	USDOJ	PPP fraud	Peter Garrido-Baez was charged with fraud in connection with major disaster or emergency 18 USC §§ 1040, 1343, 1957	Illinois	C.D. Ill.	Criminal enforcement	Yes	No	No	3:20-cr-30072-RM-TSH	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration</a>	-
Tiffany Acuff	12/2/2020	USDOJ	PPP fraud	Tiffany Acuff pleaded guilty to making a false statement on a loan application to obtain money through the Small Business Administration's Paycheck Protection Program (PPP), in connection with a scheme that falsely represented ownership of small businesses, which she and others alleged were eligible for PPP funds.	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	5:20-cr-50069-TLB	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration</a>	<a href="#">Acuff plea agreement</a>
Valerie Watson	12/2/2020	USDOJ	PPP fraud	Valerie Watson pleaded guilty to making a false statement on a loan application to obtain money through the Small Business Administration's Paycheck Protection Program (PPP), in connection with a scheme that falsely represented ownership of small businesses, which she and others alleged were eligible for PPP funds.	Arkansas	W.D. Ark.	Criminal enforcement	Yes	No	No	5:20-cr-50070-TLB	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration</a>	<a href="#">Watson plea agreement</a>
Naya Austin; Shanay Outlaw	12/1/2020	USDOJ	Unemployment fraud	Naya Austin and Shanay Outlaw were charged with, inter alia, aggravated identity theft for allegedly using the names and social security numbers of other persons to file fraudulent applications for COVID-19-related unemployment benefits.	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/criminal-division/men-indicted-charges-fraud-related-small-business-administration</a>	<a href="https://www.ny.gov/stories/2020/12/01/20201201a">https://www.ny.gov/stories/2020/12/01/20201201a</a>
Maverik, Inc.; Jacksons Food Stores, Inc.; Stinker Stores, Inc.	11/30/2020	Idaho AG	Price gouging	Maverik, Inc., Jacksons Food Stores, Inc., and Stinker Stores, Inc. entered into a settlement with the Idaho Attorney General following an investigation into their fuel prices during the state's COVID-19-related state of emergency declared in March 2020. Under the agreement, each company will earn credits by selling fuel at a price at which it can earn credits when the margin between the gas retailer's retail price and the wholesale price for its product is less than the existing average margin of the states surrounding Idaho.	Idaho	-	Civil enforcement	No	Yes	No	-	-	-	<a href="https://www.ag.idaho.gov/newsroom/press-releases/2020/11/30/20201130a">https://www.ag.idaho.gov/newsroom/press-releases/2020/11/30/20201130a</a>	<a href="https://www.ag.idaho.gov/content/what-sets-2020/11/30/20201130a">https://www.ag.idaho.gov/content/what-sets-2020/11/30/20201130a</a>
\$319,113.11 in funds seized from United Community Bank account number xxxxxx1408 held in the name of Flame Trucking; \$14,169.57 in funds seized from Navy Federal Credit Union Bank account number xxxxxx090 held in the name of Maurice Fayne; \$169,650.90 in funds seized from Wells Fargo bank account number xxxxxx067 held in the name of Cawana Wilkins; \$79,482.00 in United States currency; miscellaneous jewelry; \$15,195.62 in funds seized from Navy Federal Credit Union Bank account number xxxxxx1000 held in the name of Maurice Fayne; \$136,000.00 in funds; One Great Dane, VIN 1GRAA062FW703748; One Great Dane, VIN 1GRAA062FW703750; One Great Dane, VIN 1GRAA062FW703754; One Great Dane, VIN 1GRAA062FW703743; One Great Dane, VIN 1GRAA062FW703760	11/26/2020	USDOJ	PPP fraud	The United States brought a civil forfeiture action against assets allegedly connected to a scheme by Maurice Fayne, who was previously charged with bank fraud for allegedly seeking millions in forgivable loans guaranteed by the Small Business Administration that he certified he would use to retain workers and cover business expenses. But Fayne allegedly used over \$1.5 million of the loan proceeds for personal expenses, including jewelry and child support.	Georgia	N.D. Ga.	Civil enforcement	Yes	No	Yes	1:20-cv-04810-MHC	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration</a>	<a href="#">Flame Trucking et al complaint</a>
Paschal Ngozi Ekeanya; Arael Doolittle	11/24/2020	USDOJ	Consumer fraud	Paschal Ngozi Ekeanya and Arael Doolittle were charged with wire fraud for allegedly attempting to fraudulently sell 50 million non-existent N95 facemasks to a foreign government.	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	4:20-cr-00621	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration</a>	-
Fusion Health and Vitality LLC, Fusion Ionz LLC, Matthew Ryncarz	11/23/2020	USDOJ	Consumer fraud	The USDOJ sought to enjoin Fusion Health and Vitality LLC, Fusion Ionz LLC, and Matthew Ryncarz for alleged manufacturing and selling unapproved treatments for COVID-19 and other diseases in violation of the Food, Drug, and Cosmetic Act.	Georgia	S.D. Ga.	Civil enforcement	Yes	Yes	-	4:20-cv-00296-WTM-CLR	-	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration</a>	<a href="#">Fusion Health and Vitality et al complaint</a>
Federal Resources Supply Company	11/18/2020	Massachusetts AG	Consumer fraud	The Massachusetts Attorney General Maurs Healey secured a \$550,000 agreement and entered into an assurance of discontinuance with Federal Resources Supply Company, Federal Resources Supply Company allegedly sold thousands of dollars' worth of hand sanitizer to the Massachusetts Bay Transportation Authority (MBTA) without the key ingredient - alcohol.	Massachusetts	Massachusetts	Suffolk Superior Court	Assurance of Discontinuance	No	Yes	-	March 2020 - unknown	\$550,000	<a href="https://www.mass.gov/news/attorney-general-secures-550000-settlement-over-fraudulent-hand-sanitizer-alcohol">https://www.mass.gov/news/attorney-general-secures-550000-settlement-over-fraudulent-hand-sanitizer-alcohol</a>	-
Richard Ayvazyan; Marietta Terabellian; Artur Ayvazyan; Tamara Dadyan	11/18/2020	USDOJ	PPP fraud	Richard Ayvazyan, Marietta Terabellian, Artur Ayvazyan, and Tamara Dadyan have been charged with engaging in a conspiracy to submit at least 35 fraudulent PPP loan applications seeking more than \$5.6 million in relief. They have been charged with one count of conspiracy to commit bank and wire fraud, four counts of bank fraud, and six counts of wire fraud. Richard Ayvazyan has also been charged with aggravated identity theft. The defendants allegedly submitted false documents in support of their PPP loan applications, such as false identification and payroll records. The fraudulently obtained funds were used for personal expenses, including to purchase residential properties worth several million dollars.	California	Central District of California	Criminal enforcement	Yes	No	No	2:20-cr-00579-SVW	March 2020 through July - 2020	-	<a href="https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration">https://www.justice.gov/usdoj-civil/division-of-justice/civil-division/men-indicted-charges-fraud-related-small-business-administration</a>	<a href="#">Ayvazyan et al complaint</a>
Yvette Chaya d/b/a Northwest-Lux (Northwest-Lux)	11/17/2020	NY AG	Price gouging	The New York Attorney General Letitia James today announced that she has stopped three Amazon sellers from price gouging hand sanitizer. Yvette Chaya d/b/a Northwest-Lux allegedly sold hand sanitizer at prices that grossly exceeded the price at which the same or similar products were readily obtainable in the trade area.	New York	-	-	Yes	Yes	-	-	March 1, 2020 to March 6, 2020	Northwest-Lux consumers will receive partial refunds – ranging from \$13.78 to \$209.27 – depending on the product purchased, amount paid, and quantity ordered.	<a href="https://ny.ny.gov/stories/2020/11/17/20201117a">https://ny.ny.gov/stories/2020/11/17/20201117a</a>	-

Mobile Rush, Inc. d/b/a Best_Deals_27 (Mobile Rush)	11/17/2020	NY AG	Price gouging	The New York Attorney General Letitia James today announced that she has stopped three Amazon sellers from price gouging hand sanitizer. Mobile Rush d/b/a Best_Deals_27 allegedly sold hand sanitizer at prices that grossly exceeded the price at which the same or similar products were readily obtainable in the trade area.	New York	-	-	No	Yes	-	-	February 10, 2020 to March 11, 2020	Mobile Rush consumers will receive partial refunds — ranging from \$3.16 to \$1,477.40 — depending on the product purchased, amount paid, and quantity ordered.	<a href="https://www.ny.gov/actions/2020/02/10/james-stops-three-amazon-sellers-price-gouging-hand-sanitizer">https://www.ny.gov/actions/2020/02/10/james-stops-three-amazon-sellers-price-gouging-hand-sanitizer</a>	
EMC Group, Inc. d/b/a Supreme Suppliers (EMC)	11/17/2020	NY AG	Price gouging	The New York Attorney General Letitia James today announced that she has stopped three Amazon sellers from price gouging hand sanitizer. EMC Group d/b/a Supreme Suppliers (EMC) allegedly sold hand sanitizer at prices that grossly exceeded the price at which the same or similar products were readily obtainable in the trade area.	New York	-	-	No	Yes	-	-	February 10, 2020 to March 4, 2020	EMC consumers will receive partial refunds — ranging from \$6.10 to \$403.67 — depending on the product purchased, amount paid, and quantity ordered.	<a href="https://www.ny.gov/actions/2020/02/10/james-stops-three-amazon-sellers-price-gouging-hand-sanitizer">https://www.ny.gov/actions/2020/02/10/james-stops-three-amazon-sellers-price-gouging-hand-sanitizer</a>	
Flaid Pantry	11/17/2020	Oregon AG	Price gouging	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with Flaid Pantry. Flaid Pantry allegedly charged excessive prices for individual face masks.	Oregon	-	-	No	Yes	-	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to stop charging excessive prices for face masks and comply with Oregon's price-gouging law.	<a href="https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/">https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/</a>
711 (Seven Eleven Stores)	11/17/2020	Oregon AG	Price gouging	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with 7-Eleven stores in Portland, Salem and Eugene. 7-Eleven allegedly charged excessive prices for individual face masks.	Oregon	-	-	No	Yes	-	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to stop charging excessive prices for face masks and comply with Oregon's price-gouging law.	<a href="https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/">https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/</a>
Live Your Colour, Inc.	11/17/2020	Oregon AG	Consumer fraud	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with Live Your Colour, Inc. Live Your Colour, Inc. allegedly advertised that its silk socks could protect against COVID-19.	Oregon	-	-	No	Yes	-	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to not make any representation that relates to COVID-19 in connection with the advertising, promotion, sale or distribution of its products without competent and reliable scientific evidence to support the claims.	<a href="https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/">https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/</a>
Sher-Ray, Inc.	11/17/2020	Oregon AG	Consumer fraud	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with Sher-Ray, Inc. Sher-Ray, Inc. allegedly advertised one of its products, an aromatherapy diffuser blend called "Respiratory Remedy" as a possible cure or mitigation for COVID-19.	Oregon	-	-	No	Yes	-	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to not make any representation that relates to COVID-19 in connection with the advertising, promotion, sale or distribution of its products without competent and reliable scientific evidence to support the claims.	<a href="https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/">https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/</a>
EF Tours	11/17/2020	Oregon AG	Consumer fraud	The Oregon Attorney General Ellen Rosenblum entered into an Assurance of Voluntary Compliance with EF Tours. EF Tours allegedly was not providing refunds in accordance with Oregon law.	Oregon	-	-	No	Yes	-	-	-	-	pay amounts ranging from \$12,500 to \$21,500, depending on the severity and number of violations. Agreement to increase the amount of refunds offered to consumers in accordance with Oregon law, which requires businesses to refund prepaid amounts if they do not provide goods or services and do not have a good-faith reason for keeping the money.	<a href="https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/">https://www.doj.state.or.us/media/home/news-media-releases/attorney-general-announces-settlements-in-covid-19-consumer-protection-cases/</a>
Amir Aqeel, Siddiq Azeemuddin, Rifat Bajwa, Pardeep Basra, Mayer Misak, Mauricio Navia, and Richard Reuth	11/17/2020	USDJ	PPP fraud	Amir Aqeel, Siddiq Azeemuddin, Rifat Bajwa, Pardeep Basra, Mayer Misak, Mauricio Navia, and Richard Reuth have been charged in connection with an alleged scheme to fraudulently obtain \$16 million in PPP loans. The defendants are charged with conspiracy to commit wire fraud and wire fraud. Aqeel and Azeemuddin are also charged with money laundering. Defendants allegedly submitted at least 80 fraudulent loan applications, and spent the proceeds on items such as luxury cars.	Texas	Southern District of Texas	Criminal enforcement	Yes	No	No	4-20-cr-583	April 2020 through October 2020	-	<a href="https://www.justice.gov/opa/record/2020/11/13/record-2020-11-13-relief-fraud-7926/download?eform=9&amp;filename=FraudulentLoan">https://www.justice.gov/opa/record/2020/11/13/record-2020-11-13-relief-fraud-7926/download?eform=9&amp;filename=FraudulentLoan</a>	

Aditya Raj Sharma	11/16/2020	USDOJ	PPP fraud	Aditya Raj Sharma, the founder and former CEO of Crosscode Inc., has been charged with wire fraud in connection with a false PPP loan application. After being fired from Crosscode, Sharma formed a new, separate company called Kloudgaze Inc., and submitted a false PPP loan application in the name of "Crosscode dba Kloudgaze." Based on fraudulent misstatements regarding employees and payroll, Sharma received \$562,500 in PPP funds, which he then spent transferred to his personal bank account, spending portions of the funds on a down payment on a pool for his personal residence, among other expenses.	18 USC §§ 1349, 981; 28 USC § 2461	Minnesota	District of Minnesota	Criminal enforcement	Yes	No	No	CR 20-261	April 26, 2020 to May 2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-fraudulent-small-business-administration-paycheck">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-fraudulent-small-business-administration-paycheck</a>	-
Kadiedra Ra'Shawn White	11/16/2020	USDOJ	Unemployment fraud; Identity theft	Kadiedra Ra'Shawn White is charged with wire fraud, aggravate identity theft, and possession of fifteen or more unauthorized access devices. White allegedly filed fraudulent online applications for unemployment benefits in Arizona, Ohio, and Nevada using personal information of the victims without their permission.	18 USC § 1343	Georgia	Northern District of Georgia	Criminal enforcement	Yes	No	No	1:20-cr-413	June 2020 through October 8, 2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-unemployment-insurance-benefits-fraud-and-identity-theft">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-unemployment-insurance-benefits-fraud-and-identity-theft</a>	-
Natural Solutions Foundation; Rima Laibow, Ralph Fucetola	11/13/2020	USDOJ	Consumer fraud	According to a civil complaint, Natural Solutions Foundation, Dr. Rima Laibow, and Ralph Fucetola sold a nano silver product they claimed would cure, mitigate, treat, or prevent COVID-19. The complaint alleges that the claims made about the drug lacked support from clinical studies or other credible scientific support.	21 USC §332	New Jersey	District of New Jersey	Civil complaint for injunction	Yes	Yes	No	Civil Action No. 20-16016	May to November 2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-civil-division-civil-complaint-injunction">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-civil-division-civil-complaint-injunction</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-civil-division-civil-complaint-injunction">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-civil-division-civil-complaint-injunction</a>
Capitol Petroleum Group, LLC	11/12/2020	District of Columbia AG	Price gouging	Capitol Petroleum Group, LLC was sued for violating the District of Columbia Natural Disaster Consumer Protection Act and the District of Columbia Consumer Protection Procedures Act for allegedly doubling the normal mark-up they obtained from gasoline sales immediately prior to the pandemic.	D.C. Code §§ 28-4101, et seq; 28-3901, et seq.	District of Columbia	District of Columbia Superior Court	Civil enforcement	No	Yes	No	-	-	-	-	<a href="https://www.dco.dc.gov/newsroom/press-releases/2020/11/12/capitol-petroleum-group-llc-sued-for-price-gouging">https://www.dco.dc.gov/newsroom/press-releases/2020/11/12/capitol-petroleum-group-llc-sued-for-price-gouging</a>	<a href="https://www.dco.dc.gov/newsroom/press-releases/2020/11/12/capitol-petroleum-group-llc-sued-for-price-gouging">https://www.dco.dc.gov/newsroom/press-releases/2020/11/12/capitol-petroleum-group-llc-sued-for-price-gouging</a>
DOC Disinfectant LLC	11/12/2020	Tennessee AG	Consumer fraud	DOC Disinfectant LLC entered into a settlement with the Tennessee AG after allegedly making allegedly deceptive and misleading claims related to its cleaning products and services throughout the COVID-19 pandemic. Without admitting wrongdoing, DOC Disinfectant agreed to pay \$5,000 and not make any deceptive or misleading claims that products or services are effective against COVID-19.	Tenn. Code Ann. § 47-18-104	Tennessee	Davidson County Circuit Court	Civil enforcement	No	Yes	No	20C248	-	-	-	<a href="https://www.tn.gov/newsroom/press-releases/2020/11/12/doc-disinfectant-llc-settlement">https://www.tn.gov/newsroom/press-releases/2020/11/12/doc-disinfectant-llc-settlement</a>	<a href="https://www.tn.gov/newsroom/press-releases/2020/11/12/doc-disinfectant-llc-settlement">https://www.tn.gov/newsroom/press-releases/2020/11/12/doc-disinfectant-llc-settlement</a>
Michael Bischoff	11/12/2020	USDOJ	PPP fraud	Michael Bischoff was charged with bank fraud for allegedly submitting Paycheck Protection Program (PPP) applications that contained false and fraudulent representations to the participating lenders, including false representations about the amount of payroll and number of employees working at Bischoff's entities. Certain applications also allegedly included false documentation, purportedly from the IRS, and others allegedly fraudulently used another person's personal identifying information to secure approval for the loans.	18 USC § 1343	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	20-cr-20556	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-bank-fraud-scheme">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-bank-fraud-scheme</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-bank-fraud-scheme">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-bank-fraud-scheme</a>
Darryl T. Williams, Sr.	11/12/2020	USDOJ	PPP fraud	Darryl T. Williams was charged with wire fraud for allegedly making false representations to the SBA and to a financial lender regarding the gross revenue and number of employees of his businesses.	18 USC § 1343	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00105-JRH-BKE	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Orell Plummer	11/12/2020	USDOJ	EIDL fraud	Orell Plummer was charged with wire fraud for allegedly making false representations to the SBA regarding the gross revenue and number of employees in his business.	18 USC § 1343	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00106-JRH-BKE	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Rose Mary Coleman	11/12/2020	USDOJ	EIDL fraud	Rose Mary Coleman was charged with wire fraud for allegedly making false representations to the SBA regarding her business's gross revenue and number of employees.	18 USC §§ 1343, 2	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00107-JRH-BKE	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Jada S. Nelson; Sonya Barnes	11/12/2020	USDOJ	EIDL fraud	Jada S. Nelson and Sonya Barnes were charged with wire fraud and aiding and abetting for allegedly making false representations to the SBA regarding their businesses' existence, gross revenue, and number of employees.	18 USC §§ 1343, 2	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00103-JRH-BKE	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Whitney Adwan Mack	11/12/2020	USDOJ	EIDL fraud	Whitney Adwan Mack was charged with wire fraud and misuse of a social security number for allegedly making false representations to the SBA regarding her businesses' gross revenue, number of employees, and using a Social Security number that did not belong to her.	18 USC § 1343; 42 USC § 408	Georgia	S.D. Ga.	Criminal enforcement	Yes	No	No	1:20-cr-00104-DHB-BKE	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Po Shan Wong; Zhen Wu	11/10/2020	USDOJ	Consumer fraud	Po Shan Wong and Zhen Wu of JCD Distribution Inc. (JCD) were charged with conspiring to distribute and sell one or more pesticides that are not registered with the United States Environmental Protection Agency (EPA) and that are adulterated or misbranded. Wong and Wu allegedly advertised "Virus Shut Out Cards" on their company's website and Facebook page, and marketed and sold these products to customers by phone, making various untested claims regarding the effectiveness of the cards in killing COVID-19.	7 USC §§ 136j, 136i; 18 USC § 371	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-01054-SMG	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Dennis W. Haggerty	11/10/2020	USDOJ	Consumer fraud	Dennis W. Haggerty was charged with wire fraud for allegedly swindling more than \$2.6 million from hospitals who paid for scarce personal protective equipment amidst the COVID-19 pandemic. Haggerty and two business partners allegedly formed a company in March 2020 to sell personal protective equipment. Two large university hospitals – one in Chicago and the other in Iowa City, Iowa – ordered a combined one million N95 face masks from the company. As a deposit on the masks, the hospitals paid more than \$3 million into a bank account that Haggerty allegedly falsely represented as a company account but which was actually solely controlled by Haggerty. Haggerty then allegedly spent part of the hospitals' funds for his own personal benefit, including purchasing two Maserati automobiles and a Land Rover sport-utility vehicle.	18 USC § 1343	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	20-cr-00789	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>
Princess Terry	11/9/2020	USDOJ	PPP fraud	Princess Terry was charged with wire fraud and making false statements for allegedly executing a scheme to defraud the Small Business Administration's (SBA) Paycheck Protection Program (PPP) by falsely representing to the SBA and two different financial institutions that Caring Hearts Memphis was still a going business with employees and payroll obligations, despite the fact that it had not been in active operation since 2017 and had no employees. Terry also allegedly falsely certified that she was not under indictment, and submitted forged bank statements to document her loan applications. In this manner, Terry allegedly fraudulently obtained \$290,000 in PPP loan funds.	18 USC §§ 1014, 1343	Tennessee	W.D. Tenn.	Criminal enforcement	Yes	No	No	2:20-cr-20194-MSN	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>	<a href="https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation">https://www.justice.gov/usdoj-criminal-practices-division/newsroom/record-released-by-doj-criminal-division-charged-covid-19-fraud-investigation</a>



Keith William Nicoletta	10/23/2020	USDOJ	PPP fraud	Keith William Nicoletta was charged with bank fraud and illegal monetary transactions for allegedly fraudulently securing more than \$1.9 million in emergency funds associated with a Paycheck Protection Program ("PPP") loan, by falsely claiming that he had a local scrap metal business with 69 employees whose purported monthly payroll expenses exceeded \$760,000—or more than \$9 million annually, when in truth, he had reported no wages to the State of Florida for any employees for 2019 or 2020. Once the emergency loan was secured, Nicoletta also allegedly did not use the PPP funds for qualified expenses.	18 USC §§ 1344, 1957	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:20-mj-02027-JSS	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/1020/cr/cr-20-133/covid-relief-fraud">https://www.usdoj.gov/isaac-mj/fr/1020/cr/cr-20-133/covid-relief-fraud</a>
Thomas Smith; Stephen Smith; Samuel Davis Jr.; Robert Hamilton; Jonathan Henley	10/22/2020	USDOJ	PPP fraud	Thomas Smith, Stephen Smith, Samuel Davis Jr., Robert Hamilton, and Jonathan Henley were charged with bank fraud and money laundering for allegedly participating in a scheme to file fraudulent loan applications seeking more than \$1.1 million in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA). The defendants allegedly submitted several fraudulent PPP loan applications to a federally insured financial institution and the SBA in the names of businesses with no actual operations or employees, where they allegedly misrepresented the number of employees and payroll expenses.	18 USC §§ 1344, 1957, 2	Wisconsin	E.D. Wis.	Criminal enforcement	Yes	No	No	2:20-cr-00196-BHL	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/1020/cr/cr-20-132/relief-fraud">https://www.usdoj.gov/isaac-mj/fr/1020/cr/cr-20-132/relief-fraud</a>
Amanda Garner	10/21/2020	Louisiana AG	Unemployment fraud	Amanda Garner was charged with government benefits fraud for allegedly filing fraudulent COVID-19 related unemployment claim and weekly certifications. Garner allegedly obtained approximately \$5,200 in unemployment benefits fraudulently as she did not qualify for unemployment because she remained employed during the COVID-19 shutdown and was not financially impacted.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.ag.state.la.us/Article/10797">https://www.ag.state.la.us/Article/10797</a>
Christina French	10/21/2020	Louisiana AG	Unemployment fraud	Christina French was charged with money laundering, government benefits fraud, and computer fraud for allegedly hacking the Louisiana Workforce Commission's online account of a Shreveport resident. French allegedly redirected the victim's benefits to an out-of-state bank account that she controlled and that French transferred approximately \$6,000 into that fraudulent account.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.ag.state.la.us/Article/10797">https://www.ag.state.la.us/Article/10797</a>
Demarcus Evans	10/21/2020	Louisiana AG	Unemployment fraud	Demarcus Evans was charged with identity theft and government benefits fraud for allegedly female acquaintance's personal information to file fraudulent COVID-19 related unemployment claims. Evans allegedly defrauded the State of Louisiana of approximately \$8,000.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.ag.state.la.us/Article/10797">https://www.ag.state.la.us/Article/10797</a>
Kayla Giles and Teri Thibodeaux	10/21/2020	Louisiana AG	Unemployment fraud	Kayla Giles and Teri Thibodeaux were charged with identity theft, money laundering, government benefits fraud, and criminal conspiracy for allegedly using approximately 25 stolen identities to file fraudulent COVID-19 related unemployment claims—valued at approximately \$300,000—in multiple states. In addition to the identity theft scheme, the two allegedly made fraudulent claims in their own names in multiple states—with Giles receiving approximately \$13,000 and Thibodeaux receiving \$37,000.	-	Louisiana	-	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.ag.state.la.us/Article/10797">https://www.ag.state.la.us/Article/10797</a>
Jefferson Robert (a/k/a Johny Eto)	10/21/2020	USDOJ	Unemployment fraud	Jefferson Robert was charged with wire fraud for allegedly opening bank accounts using a fake name, "Johny Eto," that he used to apply for Washington State unemployment benefits using the personal information of another individual.	18 USC §§ 1343, 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/new-jersey">https://www.usdoj.gov/isaac-mj/fr/new-jersey</a>
Didier Kindambu	10/20/2020	USDOJ	PPP fraud	Didier Kindambu was charged with bank fraud for allegedly fraudulently obtaining two loans issued under the CARES Act Paycheck Protection Program (PPP) that were worth over \$2.5 million. Kindambu allegedly carried out the scheme in connection with two businesses that he owns by creating fraudulent payroll documentation for each business, and then submitting that documentation in support of the PPP loan applications.	18 USC § 1344	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	1:20-mj-00286-MSN	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/1020/cr/cr-20-132/fraudulently-obtaining-unemployment-benefits">https://www.usdoj.gov/isaac-mj/fr/1020/cr/cr-20-132/fraudulently-obtaining-unemployment-benefits</a>
Brandy D. Scalfie; Janisha L. Jones; Sharika L. Carpenter	10/19/2020	USDOJ	EIDL fraud	Brandy Scalfie, Janisha Jones, and Shakira Carpenter were charged with wire fraud and conspiracy to defraud the United States for allegedly participating in a scheme that resulted in 401 fraudulently filed applications seeking Economic Injury Disaster loans made available to those impacted by the coronavirus pandemic. Numerous applications were allegedly submitted using false information for the purpose of facilitating the fraudulent activity, and approved loan applications allegedly netted approximately \$1.1 million in fraudulently obtained funds.	18 USC §§ 371, 1343, 2	Tennessee	W.D. Ten.	Criminal enforcement	Yes	No	No	2:20-cr-20179-TLP	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>
Fontrell Antonio Baines	10/16/2020	USDOJ	Unemployment fraud	Fontrell Antonio Baines was charged with fraud in connection with access devices, aggravated identity theft, and interstate transportation of stolen property for allegedly possessing and using debit cards pre-loaded with unemployment benefits administered by the California Employment Development Department (EDD). The debit cards were allegedly issued in the names of third-parties, including identity theft victims, and the applications for these debit cards allegedly listed addresses to which Baines had access in Beverly Hills and Koreatown.	18 USC §§ 1028A, 1029, 2314	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-04992-DUTY	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/california">https://www.usdoj.gov/isaac-mj/fr/california</a>
Jasmine-Royshell Kanisha Black	10/15/2020	USDOJ	Unemployment fraud	Jasmine-Royshell Kanisha Black was charged with conspiracy to commit mail fraud, aggravated identity theft, and obstruction of mail for allegedly using her position as a mail carrier to help a co-conspirator find straw addresses to which fraudulently obtained debit cards could be sent. Black then allegedly intercepted and delivered those cards to her co-conspirator in person.	18 USC §§ 1028A, 1349, 1702	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-986-VCF	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>
Delashaun Dean	10/15/2020	USDOJ	Unemployment fraud	Delashaun Dean was charged with possession of counterfeit and unauthorized access devices and identity theft for allegedly possessing at least 15 California Employment Development Department (EDD) debit cards containing unemployment insurance benefits issued in other people's names.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-901-VCF	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>
Kenneth Greenland; Brittany Griesel; Paul Naege	10/15/2020	USDOJ	Unemployment fraud	Kenneth Greenland, Brittany Griesel, and Paul Naege were charged with conspiracy to effect illegal transactions with access devices aggravated identity theft for allegedly using California Employment Development Department (EDD) debit cards containing unemployment insurance benefits issued in other people's names. Greenland and Griesel were also charged with illegal transactions with access devices for allegedly making ATM withdrawals with the cards.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-892-VCF	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>
Joseph Holmes; Emelio Rochester	10/15/2020	USDOJ	Unemployment fraud	Joseph Holmes and Emelio Rochester were charged with conspiracy to possess counterfeit and unauthorized access devices and aggravated identity theft for allegedly possessing at least 17 California Employment Development Department (EDD) debit cards issued in the names of other people.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-902-DIA	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>
Antwine D. Hunter	10/15/2020	USDOJ	Unemployment fraud	Antwine D. Hunter was charged with mail fraud and aggravated identity theft for allegedly possessing at least eight Nevada Department of Employment, Training & Rehabilitation (DTR) debit cards and at least 15 identification cards in other people's names.	18 USC §§ 1028A, 1341	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-903-DIA	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>
Keheir Jordan Parker; Robert Barber	10/15/2020	USDOJ	Unemployment fraud	Keheir Jordan Parker and Robert Barber were charged with conspiring to effectuate illegal transaction with an access device and aggravated identity theft for allegedly possessing 12 California Employment Development Department (EDD) debit cards issued in the names of other people.	18 USC §§ 1028A, 1029	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-895-VCF	-	-	<a href="https://www.usdoj.gov/isaac-mj/fr/tennessee">https://www.usdoj.gov/isaac-mj/fr/tennessee</a>

Junior Mertile	10/14/2020	USDOJ	Unemployment fraud	Junior Mertile was charged with wire fraud, bank fraud, conspiracy to commit wire fraud and bank fraud, access device fraud, money laundering, conspiracy to commit money laundering, aggravated identity theft, theft of public money, conspiracy to commit access device fraud and theft of public money, and conspiracy to commit aggravated identity theft for allegedly participating in a scheme to use stolen personal identifying information belonging to Rhode Islanders, and others, to apply online for unemployment benefits, and to have those benefits direct deposited into one of many bank accounts opened expressly for receiving fraudulently obtained unemployment insurance benefit payments, as well as fraudulently obtained federal and state tax refunds.	18 USC §§ 371, 641, 1028, 1028A, 1343, 1344, 1349, 1956	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	120-mj-00095-PAS	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=120-mj-00095-pas">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=120-mj-00095-pas</a>
Cara Marie Kirk-Connell	10/9/2020	USDOJ	Unemployment fraud	Cara Marie Kirk-Connell was charged with identity theft, mail fraud, and access device fraud for allegedly defrauding California's unemployment insurance system by using stolen personal information obtained from the darknet to fraudulently receive more than a half-million dollars in COVID-19 unemployment benefits.	18 USC §§ 1028A, 1029, 1341	California	C.D. Cal.	Criminal enforcement	Yes	No	No	520-mj-00537-DUTY	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=520-mj-00537-duty">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=520-mj-00537-duty</a>
Dinesh Sah	10/9/2020	USDOJ	PPP fraud	Dinesh Sah was charged with wire fraud, bank fraud, and money laundering for allegedly participating in a scheme to file fraudulent loan applications seeking approximately \$24.8 million in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA) under the Coronavirus Aid, Relief, and Economic Security (CARES) Act. Sah allegedly submitted 15 fraudulent applications, filed under the names of various purported businesses that he owned or controlled, to eight different SBA-approved lenders seeking approximately \$24.8 million in PPP loans.	18 USC §§ 1343, 1344, 1957, 2	Texas	N.D. Tex.	Criminal enforcement	Yes	No	No	320-cr-00484-S	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cr-00484-s">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cr-00484-s</a>
Jeremy Trapp	10/8/2020	USDOJ	EIDL fraud	Jeremy Trapp was charged with wire fraud for allegedly applying for a \$42,500 Economic Injury Disaster Loan program (EIDL) loan and \$10,000 grant, claiming that he was the sole proprietor of a car wash business located at his home address in Brooklyn, when such car wash business did not actually exist.	18 USC §§ 1343, 2	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	120-mj-00915-VMS	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=120-mj-00915-vms">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=120-mj-00915-vms</a>
Krikor Topouzian	10/8/2020	USDOJ	Price gouging	Krikor Topouzian was charged with violating the Defense Production Act of 1950 for allegedly selling masks for prices as high as \$19.95 per mask – a markup from the purchase prices of approximately 185% to 367% per mask. Topouzian engaged in the alleged criminal conduct despite repeated warnings, including from law enforcement, about the illegal nature of his conduct.	50 USC §§ 4512, 4513	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	20-cr-721	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=20-cr-721">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=20-cr-721</a>
Hugo Chico	10/8/2020	USDOJ	Consumer fraud	The Department of Justice sought to enjoin Hugo Chico from engaging in wire fraud for allegedly participating in a scheme to mislead members of the public that he could provide valid COVID-19 prevention treatments and that he was qualified to administer said COVID-19 prevention treatments.	18 USC § 1345	Texas	W.D. Tex.	Criminal enforcement	Yes	No	No	320-cv-00254-FM	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cv-00254-fm">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cv-00254-fm</a>
Diamond Blue Smith, Tonye C. Johnson	10/6/2020	USDOJ	PPP fraud	Diamond Blue Smith and Tonye C. Johnson were charged with wire fraud, bank fraud, and conspiracy to commit wire fraud and bank fraud for allegedly participating in a scheme to file fraudulent loan applications seeking more than \$24 million in forgivable Paycheck Protection Program (PPP) loans guaranteed by the Small Business Administration (SBA). The scheme allegedly involved the preparation of at least 50 fraudulent applications, most of which were submitted.	18 USC §§ 1343, 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	020-mj-06450-AOV	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=020-mj-06450-ao">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=020-mj-06450-ao</a>
Ahmad Kanan	10/5/2020	USDOJ	PPP fraud	Ahmad Kanan pleaded guilty, in part, to Coronavirus Aid, Relief, and Economic Security (CARES) Act fraud for allegedly applying for Paycheck Protection Program (PPP) loans funded by the CARES Act while using a false spelling of his name and indicating that he was not under indictment on criminal charges, when in fact he was.	18 USC §§ 981, 982, 1956, 1343, 2461, 2	Wisconsin	W.D. Wis.	Criminal enforcement	Yes	No	No	320-cr-00081-wmc	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cr-00081-wmc">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cr-00081-wmc</a>
Attila Colar a/k/a Dahood Sharieff Bey, a/k/a Sharieff Dahood Bey, a/k/a Dawud Sharieff Bey Ahd, a/k/a Dawud Azadene, a/k/a Dawud Azadene, a/k/a Attila Collan	10/2/2020	USDOJ	PPP fraud	Attila Colar a/k/a Dahood Sharieff Bey, a/k/a Sharieff Dahood Bey, a/k/a Dawud Sharieff Bey Ahd, a/k/a Dawud Azadene, a/k/a Attila Collan was charged with bank fraud for allegedly seeking over \$22 million dollars in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on fraudulent loan applications.	18 USC § 1344	California	N.D. Cal.	Criminal enforcement	Yes	No	No	320-cr-91311-SK	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cr-91311-sk">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=320-cr-91311-sk</a>
Vincent Hazzard; Jacob Fulton; Christopher Hersh; Kenneth Huggins; Biancha Kranzley; Jennifer D'Hubster; Arthur Johnson; Jermaine Plumer; Rafael Rodriguez; Dwayne Washington; Leroy Barnes; Andrew Simms; Dexter Pitts a/k/a Kevin Perry	10/2/2020	USDOJ	Unemployment fraud	Vincent Hazzard, Jacob Fulton, Christopher Hersh, Kenneth Huggins, Biancha Kranzley, Jennifer D'Hubster, and Arthur Johnson were charged federally with fraudulently applying for and obtaining emergency unemployment benefits related to COVID-19. The defendants are charged with submitting false applications claiming that the prison inmates lost jobs as a result of the pandemic and are available to work full-time. Separately, Jermaine Plumer, Rafael Rodriguez, Dwayne Washington, Leroy Barnes, Andrew Simms, and Dexter Pitts a/k/a Kevin Perry were arrested on state charges as part of an ongoing investigation by the Pennsylvania Office of Attorney General in partnership with the U.S. Attorney's Office.	18 USC §§ 2, 641, 1040, 1341	Pennsylvania	E.D. Pa.	Criminal enforcement	Yes	No	No	220-cr-00324-GAM	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=220-cr-00324-gam">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=220-cr-00324-gam</a>
Arkansas Regenerative Medical Center, Ltd.; Sarah Knife Chief, Serge P. Francois	9/30/2020	Arkansas AG	Consumer fraud	Arkansas Regenerative Medical Center, LTD, Sarah Knife Chief, M.D., Serge P. Francois, D.C. were charged with violating the Arkansas Deceptive Trade Practices Act by allegedly fraudulently promoting to Northwest Arkansas's Latino community expensive stem cell and ozone therapies, costing upwards of \$3,000, to combat COVID-19.	Ark. Code Ann. § 4-88-101-115	Arkansas	Washington County Circuit Court	Civil enforcement	Yes	Yes	No	72CV-20-2057	-	-	<a href="https://www.arkansas.gov/media-center/news-releases/2020/09/30/covid-19-immunity-boost-scams/">https://www.arkansas.gov/media-center/news-releases/2020/09/30/covid-19-immunity-boost-scams/</a>
Martin Kao	9/30/2020	USDOJ	PPP fraud	Martin Kao was charged with bank fraud and money laundering for allegedly fraudulently obtaining over \$12.8 million Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on fraudulent loan applications.	18 USC §§ 1344, 1957	Hawaii	D. Haw.	Criminal enforcement	Yes	No	No	120-mj-01208-WRP	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=120-mj-01208-wrp">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=120-mj-01208-wrp</a>
Tristan Bishop Pan	9/29/2020	USDOJ	PPP fraud	Tristan Bishop Pan was charged with wire fraud, bank fraud, and engaging in unlawful monetary transactions for allegedly seeking over \$6 million in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on fraudulent loan applications supported by fake documents.	18 USC §§ 1344, 1957	North Carolina	E.D.N.C.	Criminal enforcement	Yes	No	No	520-cr-00436-BO	-	-	<a href="https://www.justice.gov/iso/iso/invest/foia/download.do?nid=520-cr-00436-bo">https://www.justice.gov/iso/iso/invest/foia/download.do?nid=520-cr-00436-bo</a>

Dang Chanchai	9/29/2020	USDOJ	Consumer fraud	Dan Chanchai was charged with wire fraud for allegedly defrauding a New Jersey company of \$1.5 million in its purchase of COVID-19 personal protective equipment (PPE), by sending a series of fake documents that led the company to order masks that were never actually delivered.	18 USC §§ 1343, 2	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2020R00797	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132</a>
Kenzley Ramos	9/28/2020	CFTC	Commodities fraud	Kenzley Ramos was charged with fraudulent solicitation, misappropriation, operation of an unlawful commodity pool, and failure to register with the CFTC for allegedly falsifying and promoting individuals the ability to profit from the COVID-19 pandemic by trading in off-exchange foreign currency (forex) and binary options with guaranteed 300 percent weekly returns.	7 USC §§ 2, 6b, 6c, 6m, 6r, 17 CFR § 4.20, 4.21, 4.22, 5.2, 5.3, 32.4	Texas	N.D. Tex.	Civil enforcement	Yes	No	Yes	3:20-cv-02985-X	-	-	<a href="https://www.cftc.gov/PressReleases/83806/kenzley-ramos-complaint">https://www.cftc.gov/PressReleases/83806/kenzley-ramos-complaint</a>
ESF Inc. d/b/a ESF Camps	9/28/2020	Pennsylvania AG	Price gouging	ESF Camps agreed to refund tuition consumers paid before the pandemic forced emergency declarations and closures.	73 PS §§ 201-1 et seq.	Pennsylvania	Montgomery County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	Full refund for tuition paid for summer program, \$10,000 in costs for Pennsylvania AG's public protection and education programming	<a href="https://www.attorneygeneral.gov/press-releases/2020-09-28-ESF-Camps-AYC.pdf">https://www.attorneygeneral.gov/press-releases/2020-09-28-ESF-Camps-AYC.pdf</a>
Jonathan Markovich	9/27/2020	USDOJ	PPP fraud	Jonathan Markovich was charged with making false statements to a financial institution, bank fraud, and conspiracy to commit wire fraud, inter alia, for allegedly submitting a fraudulent loan application through the Paycheck Protection Program ("PPP") for approximately \$511,807.	18 USC §§ 1014, 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0:20-mj-06469-PMH	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132994">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132994</a>
Mark Schena	9/25/2020	SEC	Securities fraud	Mark Schena was charged with making allegedly false and misleading statements concerning his company's development of a COVID-19 blood test and its intention to resolve its delinquency in filing required periodic reports with the SEC. Schena allegedly falsely claimed that his company had developed a COVID-19 blood test when it had not yet purchased materials to make a test.	15 USC § 78j; 17 CFR § 240.10b-5	California	N.D. Cal.	Civil enforcement	Yes	No	Yes	5:20-cv-06717	10/28-4/2020	-	<a href="https://www.sec.gov/news/press/2020/2020-224.pdf">https://www.sec.gov/news/press/2020/2020-224.pdf</a>
Jamel Johnson	9/25/2020	USDOJ	Unemployment fraud	Jamel Johnson was charged with wire fraud for allegedly using stolen identities to file for, and receive, COVID-19 Unemployment Insurance (UI) benefits. These charges were in addition to those brought against Johnson months earlier for his alleged involvement in a scheme to obtain fraudulent bank loans using stolen personal information of identity theft victims.	18 USC § 1343	North Carolina	W.D.N.C.	Criminal enforcement	Yes	No	No	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132994">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132994</a>
Kenzley Ramos	9/25/2020	USDOJ	Commodities fraud	Kenzley Ramos was charged with commodities fraud for allegedly falsely promising individuals the ability to profit from the COVID-19 pandemic by trading in off-exchange foreign currency (forex) with guaranteed 300 percent weekly returns.	18 USC § 1348	Texas	N.D. Tex.	Criminal enforcement	Yes	No	Yes	3:20-cr-00444-L	-	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132994">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/132994</a>
Michael C. Moller	9/22/2020	USDOJ	PPP fraud	Michael C. Moller was charged with making a false statement and bank fraud for allegedly seeking over \$4.7 million in Paycheck Protection Program (PPP) forgivable loans guaranteed by the Small Business Administration (SBA) based on loan applications for businesses for which there were no records.	15 USC § 645; 18 USC § 1344	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00073-LDA	4/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download</a>
Uzoamaka Leonard Ohaebosim	9/17/2020	USDOJ	PPP fraud	Uzoamaka Leonard Ohaebosim was charged with making false statements and bank fraud for allegedly lying about his movie company's payroll expenses and obtaining \$1.2 million in Paycheck Protection Program (PPP) funds. Specifically, as part of the loan application, Ohaebosim allegedly provided a false IRS payroll tax form indicating that his company, Spite the Movie, LLC ("STM"), had over 100 employees who had received wages of approximately \$983,000 with taxes withheld of approximately \$122,000 in the first quarter of 2020. In truth, and in fact, STM had not paid these employees and had not filed this form with the IRS.	18 USC §§ 1014, 1344	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1:20-mj-03573-IB	4/2020-6/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download</a>
Jean R. Lavature (a/k/a Rudy Lavature)	9/17/2020	USDOJ	PPP fraud	Jean Lavature allegedly fraudulently obtained almost \$5 million in PPP loans, with which he purchased an 8.54 acre estate in New Jersey. The loan applications submitted by Lavature allegedly contained false representations about his business's employees, revenues, and payroll.	18 USC § 1344	New Jersey	District of New Jersey	Criminal enforcement	Yes	No	No	-	6/2020-7/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download</a>
John Anthony Taylor	9/16/2020	USDOJ	Consumer fraud	Taylor allegedly made false representations that he was a representative for the 3M company who was authorized to sell its N95 masks through his business, Waaatch Promotional Products. Contrary to his representations, he did not have N95 masks to sell and was not a representative of 3M.	18 USC § 1343	Utah	District of Utah	Criminal enforcement	Yes	No	No	2:20-mj-333-DMW	Apr-20	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download</a>
Lola Shalewa Barbara Kasali	9/15/2020	USDOJ	PPP fraud	Kasali has been charged with making false statements to a financial institution, wire fraud, bank fraud, and engaging in unlawful monetary transactions. She allegedly fraudulently obtained almost \$2 million in PPP loans. Kasali submitted PPP loan applications on behalf of two business entities, Lola's Level and Charm Hair Extensions. Both loan applications contained misrepresentations about the entity's employees and payroll expenses.	18 USC §§ 1014, 1343, 1344, 1957	Texas	Southern District of Texas	Criminal enforcement	Yes	No	No	4:20-mj-01644	6/2020-8/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-releases/1318951/download</a>
Sun Wholesale, Inc.	9/11/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Sun Wholesale, Inc., a New Jersey wholesaler, after receiving tips that the supplier was selling hand sanitizer to Pennsylvania businesses for resale to consumers for unlawful prices. The OAG's investigation found Sun Wholesale sold 389 units of "Wish" hand sanitizer for \$17.00 per 33.8 oz. bottle.	-	Pennsylvania	-	Assurance of voluntary compliance	No	Yes	No	-	-	\$750.00 in civil penalties, restitution of \$1,878.87 for consumers	<a href="https://www.attorneygeneral.gov/press-releases/2020-09-28-ESF-Camps-AYC.pdf">https://www.attorneygeneral.gov/press-releases/2020-09-28-ESF-Camps-AYC.pdf</a>

Sun Wholesale, Inc.	9/11/2020	Pennsylvania AG	Price Gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with Sun Wholesale, Inc., a New Jersey wholesaler, after receiving tips that the supplier was selling hand sanitizer to Pennsylvania businesses for resale to consumers for unlawful prices. OAG's investigation found Sun Wholesale sold 389 units of "Wish" hand sanitizer for \$17.00 per 33.8 oz. bottle.	18 USC § 1343, 1344, 1349	Pennsylvania		Assurance of Voluntary Compliance	No	Yes	No		March 6, 2020 through September 10, 2020	\$750.00 in civil penalties, restitution of \$1,878.87 for consumers	<a href="https://www.attorneygeneral.gov/press-releases/2020/09/11/wholesaler-from-price-gouging-accuses-money-back-for-consumers">https://www.attorneygeneral.gov/press-releases/2020/09/11/wholesaler-from-price-gouging-accuses-money-back-for-consumers</a>
Tiara Walker	9/11/2020	USDOJ	Wire fraud; bank fraud; conspiracy to commit wire and bank fraud	Tiara Walker is charged with wire fraud, bank fraud, and conspiracy to commit wire and bank fraud. She and her co-conspirators allegedly submitted fraudulent PPP loan applications for a total of more than \$24 million, and received loans of approximately \$17.4 million.	18 USC §§ 1343, 1344, 1349	Florida	Southern District of Florida	Criminal enforcement	Yes	No	No	120-mj-03523	May 14 to July 24, 2020		<a href="https://www.justice.gov/crimaffairs/press-releases/2020/09/11/fraud/18-1315-express-131/download">https://www.justice.gov/crimaffairs/press-releases/2020/09/11/fraud/18-1315-express-131/download</a>
Joshua J. Bellamy	9/10/2020	USDOJ	PPP fraud	NFL player Joshua Bellamy has been charged with wire fraud, bank fraud, and conspiracy to commit wire and bank fraud for allegedly obtaining \$1.2 million in fraudulent PPP loans for his talent management company. Bellamy allegedly spent the loan proceeds on luxury goods and at a hotel and casino, in addition to withdrawing a large amount in cash.	18 USC §§ 1343, 1344, 1349	Florida	Southern District of Florida	Criminal enforcement	Yes	No	No	20-mj-6428-AOV	5/21/2020-8/3/2020		<a href="https://www.justice.gov/crimaffairs/press-releases/2020/09/10/nfl-player-charged-with-wire-fraud/18-1315-covid-relief-fraud-385/download">https://www.justice.gov/crimaffairs/press-releases/2020/09/10/nfl-player-charged-with-wire-fraud/18-1315-covid-relief-fraud-385/download</a>
Lauren Marcel Duhart; Joshua Bernard Smith; Steve Ronald Lewis; Christopher J. Agard; Henry Duffield; Jeremy Brandon Latourneau; Derick Keane	9/10/2020	USDOJ	PPP fraud	Defendant Christopher Agard allegedly fraudulently obtained a PPP loan for his business, Wild Stylz Entertainment, supporting his application with falsified documents that misrepresented the company's number of employees and payroll costs. He received a loan of over \$395,000, which he distributed to the other members of the conspiracy.	18 USC §§ 981, 982, 1343, 1349, 1956, 1957; 21 USC §§ 853, 881; 28 USC § 2461	South Carolina	District of South Carolina	Criminal enforcement	Yes	No	No	6-20-cr-00537-CR1	5/2020-6/2020		<a href="https://www.justice.gov/crimaffairs/press-releases/2020/09/10/395k-loan-fraud/18-1315-covid-relief-fraud-385/download">https://www.justice.gov/crimaffairs/press-releases/2020/09/10/395k-loan-fraud/18-1315-covid-relief-fraud-385/download</a>
Larry Jordan; Suta&A El (a/k/a Curtis Jordan, a/k/a Hugo Hurt)	9/10/2020	USDOJ	PPP fraud	Larry Jordan and Suta&A El are charged with submitting at least eight fraudulent loan applications that included false statements about their company's business operations and payroll expenses. The two allegedly used the fraudulently obtained funds for personal expenses, such as home improvements and the purchase of a vehicle.	18 USC § 1349	New York	Western District of New York	Criminal enforcement	Yes	No	No	20-MJ-123	4/2020-9/2020		<a href="https://www.justice.gov/crimaffairs/press-releases/2020/09/10/brothers-charged-with-fraud/18-1315-covid-relief-fraud-406/download">https://www.justice.gov/crimaffairs/press-releases/2020/09/10/brothers-charged-with-fraud/18-1315-covid-relief-fraud-406/download</a>
Bay-Fy, Inc. (d/b/a The Medicine Shoppe 0430)	9/9/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Bay-Fy, Inc. after they allegedly sold or offered to sell N95 masks, KN95 masks, and 2 oz. hand sanitizer for an unconscionably excessive price in violation of the Price Gouging Law and the Consumer Protection Law.	73 PS §§ 201-2, 232-4	Pennsylvania	Westmoreland County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	3114 of 2020		Restitution to all customers who purchased N95 Masks, KN95 Masks, and/or 2 oz. bottles of hand sanitizer at excessive prices.	<a href="https://www.attorneygeneral.gov/press-releases/2020/09/09/2020-09-09-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f">https://www.attorneygeneral.gov/press-releases/2020/09/09/2020-09-09-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f</a>
Bay-Fy, Inc., D/B/A The Medicine Shoppe 0430	9/9/2020	Pennsylvania AG	Price Gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with The Medicine Shoppe after the Office received tips alleging that the store was selling masks and 2 oz. bottles of hand sanitizer for unlawful prices.	73 PS § 201-1	Pennsylvania	Court of Common Pleas of Westmoreland County, Pennsylvania	Assurance of Voluntary Compliance	No	Yes	No	3114 of 2020	March 6, 2020 through September 9, 2020.	Restitution to all customers who purchased N95 Masks, KN95 Masks, and/or 2 oz. bottles of hand sanitizer at excessive prices.	<a href="https://www.attorneygeneral.gov/press-releases/2020/09/09/2020-09-09-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f">https://www.attorneygeneral.gov/press-releases/2020/09/09/2020-09-09-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f</a>
Adesh Avin Bisson; Michael Jacques Joseph; Victor Torres	9/4/2020	USDOJ	Conspiracy to commit mail fraud	Bisson, Joseph, and Torres have been charged with conspiracy to commit mail fraud in connection with an identity theft scheme, which allegedly included the filing of false tax returns that caused the victims' COVID-19 stimulus checks to be directed to the defendants' bank accounts.	18 USC § 1349	Ohio	Southern District of Ohio	Criminal enforcement	Yes	No	No	120-cr-00087-MRB	March 2018 through August 2020		<a href="https://www.justice.gov/crimaffairs/press-releases/2020/09/04/ohio/18-stimulac-checks-bank-fraud">https://www.justice.gov/crimaffairs/press-releases/2020/09/04/ohio/18-stimulac-checks-bank-fraud</a>
Cole Evans; Kiss Industries, LLC; LTD Industries, LLC; MBCE Holdings, LLC	9/3/2020	Colorado AG	Consumer fraud	The Colorado Attorney General entered into an assurance of discontinuance with Cole Evans, Kiss Industries LLC, LTD Industries LLC, and MBCE Holdings LLC after the four respondents allegedly sold hand sanitizer while falsely claiming to own manufacturing facilities and misrepresented the certification of those facilities.	C.R.S. § 6-1-105	Colorado		Assurance of discontinuance	Yes	Yes	No			\$62,500 fine for reimbursement of the State's actual costs and attorneys' fees, restitution, and future consumer fraud or antitrust enforcement, consumer education, or public welfare purposes.	<a href="https://www.attorneygeneral.gov/press-releases/2020/09/03/2020-09-03-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f">https://www.attorneygeneral.gov/press-releases/2020/09/03/2020-09-03-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f</a>
Complete Medical Supplies, Inc.	9/3/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with Complete Medical Supplies, Inc. for alleged price gouging; the company allegedly sold at least 95 multi-packs of N95 masks to Pennsylvania retailers for an average price of \$12/mask.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Chester County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No			\$1,630 in civil penalties; \$183.75 for public protection and education programs; \$3,294 in restitution; and a 390 N95 mask donation; with a \$3,120 suspended civil penalty.	<a href="https://www.attorneygeneral.gov/press-releases/2020/09/03/2020-09-03-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f">https://www.attorneygeneral.gov/press-releases/2020/09/03/2020-09-03-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f</a>
Jae H. Choi	9/3/2020	USDOJ	PPP fraud	Jae H. Choi was charged with bank fraud and money laundering for allegedly fraudulently obtaining approximately \$9 million in Paycheck Protection Program (PPP) loans by submitting fraudulent loan applications that involved the fabricated existence of hundreds of employees, manipulated bank and tax records, and falsified a driver's license on the applications. Choi allegedly used the fraudulently-obtained PPP loan proceeds to pay for numerous personal expenses, including to buy, among other things, a nearly one million-dollar residential home in Cresskill, New Jersey.		New Jersey	D.N.J.	Criminal enforcement	Yes	No	No				<a href="https://www.justice.gov/crimaffairs/press-releases/2020/09/03/2020-09-03-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f">https://www.justice.gov/crimaffairs/press-releases/2020/09/03/2020-09-03-Med-Shoppe-Filed-Westmoreland-County-sharpmack-accuses-money-back-for-consumer/f</a>

Michael Leroi Douras	9/2/2020	USDOJ	PPP fraud	Michael Leroi Douras was charged with bank fraud, making a false statement, money laundering for allegedly making false representations to two banks in an effort to get a Paycheck Protection Program (PPP) loan for his business, Epic Rentals UT LLC, which included misrepresentations about Epic Rentals' monthly payroll and the number of employees the business had.	18 USC §§ 1014, 1344, 1957	Utah	D. Utah	Criminal enforcement	Yes	No	No	2-20-cr-00259-HCN	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Nikole L. Edwards	9/2/2020	USDOJ	PPP fraud	Nikole L. Edwards was charged with making false statements for allegedly applying for tens of thousands of dollars of Paycheck Protection Program (PPP) loans by falsely claiming that her business employed two individuals who earned annual salaries of \$75,000 and \$50,000, when in reality, the business did not have any employees.	15 USC § 645	California	S.D. Cal.	Criminal enforcement	Yes	No	No	3-20-cr-02637-GPC	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Casey David Crowther	9/2/2020	USDOJ	PPP fraud	Casey David Crowther was charged with making a false statement for allegedly seeking and receiving more than \$2 million in a Payroll Protection Program (PPP) loan, based on a loan application that included false and misleading statements concerning what the PPP funds would be used for, specifically that the PPP funds would only be used for business-related purposes, to retain workers, and maintain payroll or make mortgage payments, lease payments, and utilities payments.	18 USC § 1014	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	4-20-mj-01094-NPM	4/2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Antonio George	9/1/2020	USDOJ	PPP fraud	Antonio George was charged with wire fraud for allegedly attempted to obtain \$3.1 million in PPP loans on behalf of 19 different companies. However, George allegedly provided false and misleading documents about certain of the companies' respective business operations and payroll expenses, such as identical wage information and employee count records.	18 USC § 1343	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2-20-mj-30340-DUTY	4/2020-7/2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Jennifer D'Hulster; Zachary Gathercole; Ashley Harrington; Anthony Schweitzer	9/1/2020	USDOJ	Unemployment fraud	Jennifer D'Hulster, Zachary Gathercole, Ashley Harrington, and Anthony Schweitzer were charged with fraud in connection with major disaster or emergency benefits, mail fraud, and conspiracy for allegedly obtaining and attempting to obtain unemployment benefits related to COVID-19 emergency relief funds to which they were not entitled. D'Hulster and Harrington allegedly assisted individuals incarcerated at Chester County Prison and other correctional institutions in fraudulent efforts to obtain Pandemic Unemployment Assistance (PUA) benefits; Harrington allegedly enabled Gathercole, who was incarcerated at Chester County Prison prior to the onset of the pandemic, to receive approximately \$12,865; and Schweitzer allegedly did not actually receive any PUA benefits, but attempted to several times.	18 USC §§ 1040, 1341, 1349	Pennsylvania	E.D. Pa.	Criminal enforcement	Yes	No	No	2-20-mj-01436	5/2020-8/2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Jean Fleuridor; Hasan Brown	8/31/2020	USDOJ	PPP fraud	Jean Fleuridor and Hasan Brown were charged with conspiracy to commit bank fraud for their alleged involvement in a scheme to defraud a bank, beginning around 2017, by using approximately 700 synthetic identities, in addition to stolen identities, to create bank accounts and shell companies. From about April through July of 2020, members of the conspiracy allegedly utilized the already-established synthetic identities and associated shell companies and accounts to fraudulently apply for assistance under the Paycheck Protection Program (PPP), and Brown and Fleuridor fraudulently sought and received over \$3 million dollars in PPP relief.	18 USC §§ 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-20-mj-03472-AOR	2017-2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Lovely Products LLC	8/30/2020	Texas AG	Price gouging	Lovely Products LLC entered into an assurance of voluntary compliance with the Texas Attorney General after allegedly selling N95 respirators for \$12 per mask when 3M stated these masks cost as much as \$3.11, indicating a markup of almost 1,000%. In addition, Lovely Products was not an authorized 3M product distributor, and falsely advertised that their KN95 masks were "100% protection."	Tex. Bus. & Com. Code § 17.41, et seq.	Texas	Travis County District Court	Assurance of voluntary compliance	No	Yes	No	D-1-GN-20-006547	-	-	-	Restitution to consumers for transactions for the sale of 3M masks that took place from March 13, 2020 through the present; \$5,000 civil penalty; \$2,500 in attorneys' fees.
Melissa Turasky	8/28/2020	USDOJ	PPP fraud	Melissa Turasky was charged with bank fraud and making false statements for allegedly fraudulently obtaining more than \$175,000 from a forgivable PPP loan, based on a fraudulent loan application that included falsified monthly payroll and other business expenses.	18 USC §§ 1014, 1344	Illinois	E.D. Ill.	Criminal enforcement	Yes	No	No	1-20-cr-00560	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Penn Jersey Paper Company	8/27/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an Assurance of Voluntary Compliance with Penn Jersey Paper company for alleged price gouging; the business allegedly sold 276 cans of Lysol at \$9.99/each, as well as 4 boxes of disposable face masks at \$99.99/each.	-	Pennsylvania	-	Assurance of voluntary compliance	No	Yes	No	-	3/2020-8/2020	-	\$2,760.00 in civil penalties, in addition to restitution of \$915.24 for consumers	<a href="https://www.attorneygeneral.gov/fairness-action/covid-19/ag-charges-stop-price-gouging-al-stein-iron-gaines-company-in-philadelphia-gets-restitution-for-ripped-suits-consumer/">https://www.attorneygeneral.gov/fairness-action/covid-19/ag-charges-stop-price-gouging-al-stein-iron-gaines-company-in-philadelphia-gets-restitution-for-ripped-suits-consumer/</a>
Marek Majtan	8/27/2020	USDOJ	FIFRA violation	Marek Majtan was charged with mail fraud and violating the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) for allegedly fraudulently selling unregistered and misbranded pesticides. Majtan allegedly purchased pesticides of unknown origin from a person he met on Facebook Marketplace, repackaged and labeled them at his home, and advertised on the internet that the product was "Compliant and Approved" by EPA and the CDC, and that it "kills 99.9% Bacteria & Viruses" and "kills Covid 19 & Seasonal Flu." Majtan also allegedly sold disinfectant products on eBay and through a personal website without authorization from the EPA.	7 USC §§ 136, 136j; 18 USC § 1341	Maryland	D. Md.	Criminal enforcement	Yes	No	No	1-20-mj-02186-TMD	5/22/2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Brandon Casutt	8/26/2020	USDOJ	PPP fraud	Brandon Casutt was charged with making false statements, wire fraud, bank fraud, concealment money laundering, and engaging in unlawful monetary transactions for allegedly perpetrating a scheme to submit fraudulent Economic Injury Disaster Loan (EIDL) applications to the SBA and Paycheck Protection Program (PPP) loan applications to federally insured banks, which resulted in fraudulently obtaining approximately \$500,000, which was then laundered the funds through friends and family in order to buy a house.	18 USC §§ 1014, 1343, 1344, 1956, 1957	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2-20-mj-666-BNW	-	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Latoya Stanley; Johnny Philus	8/26/2020	USDOJ	PPP fraud	Latoya Stanley and Johnny Philus were charged with wire fraud and false statements for their alleged participation in a scheme to file fraudulent loan applications seeking more than \$1.1 million in forgivable Paycheck Protection Program (PPP) and Economic Injury Disaster Loans (EIDL) loans guaranteed by the Small Business Administration. Stanley and Philus allegedly submitted four fraudulent applications for over \$1.1 million, which in part referred to employees and a farm business that did not actually exist.	18 USC §§ 1001, 1343	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	1-20-mj-03470-AOR	5/2020-7/2020	-	-	<a href="https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131">https://www.justice.gov/usdoj-criminal-principal-division/media-press-releases/file/1313131</a>
Stephen Gould Corp.	8/25/2020	North Carolina AG	Price gouging	Stephen Gould Corp. was sued for allegedly pitching extremely high-priced N95 or similar face masks to the North Carolina Emergency Management Unit of the North Carolina Department of Public Safety, Julie Health, UNC Health, and the Charlotte Chapter of the American Red Cross, and that Stephen Gould Corp. allegedly told these potential clients that the markup on these masks was "slim" or only 3 percent, when the actual markup would have been more than 100 percent.	N.C. Gen. Stat. §§ 75-1.1, 75-38	North Carolina	Wake County Superior Court	Civil enforcement	No	Yes	No	-	-	-	-	<a href="https://ncdoj.gov/newsroom/attorney-general-ov/download/7040/attorney-general-ov/download/7040">https://ncdoj.gov/newsroom/attorney-general-ov/download/7040/attorney-general-ov/download/7040</a>

David Christopher Redfern	8/25/2020	USDOJ	PPP fraud	David Christopher Redfern was charged with wire fraud and bank fraud for allegedly fraudulently applying for two Economic Injury Disaster Loans (EIDL) and a Paycheck Protection Program (PPP) loan, with the PPP loan application supported by falsified documents. Proceeds from these loans were allegedly withdrawn in cash or transferred to Redfern's personal bank account.	18 USC §§ 1343, 1344	North Carolina	M.D.N.C.	Criminal enforcement	Yes	No	No	1:20-mj-00256-LPA	4/2020-6/2020	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>		
Levi Stroud; Christina Marie Weigner; Anthony Lee; Amara Ramsey; Lamont Wilford; Casey Norrick; Amara Ramsey; Orlando Grandison; Nathaniel Weatherspoon; Andrea Zenmon; Marcenia Massey; Shicon Jordan; Dennis Hill (a/k/a Dennis Jordan); Niyah Hill; Jerome Dusblandi Pooke; Tracy Ann Stankiewicz; Joseph Thomas Gainer; Jonetta Meneffe Davis; Joshua Calibe Lowry (a/k/a El Rocco); Amasa Lamont Camp; Iona Punch; Jerrell Huddleston; Kalaurie Elahdy; Tylan Huddleston; Paris Davis; Clifford Yoders; Lisa Lutz; Gary Clanton; Jamelle Fairfax; Amanda Fairfax	8/25/2020	USDOJ	Unemployment fraud	Levi Stroud, Christina Marie Weigner, Anthony Lee, Amara Ramsey, Lamont Wilford, Casey Norrick, Amara Ramsey, Orlando Grandison, Nathaniel Weatherspoon, Andrea Zenmon, Marcenia Massey, Shicon Jordan, Dennis Hill (a/k/a Dennis Jordan), Niyah Hill, Jerome Dusblandi Pooke, Tracy Ann Stankiewicz, Joseph Thomas Gainer, Jonetta Meneffe Davis, Joshua Calibe Lowry (a/k/a El Rocco), Amasa Lamont Camp, Iona Punch, Jerrell Huddleston, Kalaurie Elahdy, Tylan Huddleston, Paris Davis, Clifford Yoders, Lisa Lutz, Gary Clanton, Jamelle Fairfax, and Amanda Fairfax were named in 16 separate criminal complaints for allegedly illegally obtaining Coronavirus Aid, Relief, and Economic Security (CARES) Act unemployment benefits that contained false or fraudulent statements, and to which they were not entitled.	18 USC § 1040	Pennsylvania	W.D. Pa.	Criminal enforcement	Yes	No	No	3/2020-7/2020	-	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Matthew Jason Welch	8/25/2020	USDOJ	PPP fraud	Matthew Welch is charged with two counts of wire fraud in connection with his application for \$35,000 in Paycheck Protection Program funds, ostensibly to support payroll, lease, and utility costs for Welch Sole Proprietorship. Welch allegedly intended to use the funds to pay restitution and costs he owed in connection with criminal cases against him.	18 USC § 1343	Montana	D. Montana	Criminal enforcement	Yes	No	No	1:20-cr-00103-DLC	4/2020-5/2020	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Kyle William Brenizer	8/21/2020	USDOJ	PPP fraud	Kyle William Brenizer was charged with wire fraud and money laundering for allegedly seeking \$841,000 in forgivable loans guaranteed by the Small Business Administration based on a false and misleading Paycheck Protection Program (PPP) application. Brenizer also allegedly transferred \$650,000 of the funds he received to a bank account unrelated to his company, making a \$25,000 payment to purchase a Harley-Davidson motorcycle and spending over \$1,000 on golf expenses, among other retail and entertainment expenditures for his personal benefit.	18 USC §§ 981, 982, 1343, 1957; 28 USC § 2461	Minnesota	D. Minn.	Criminal enforcement	Yes	No	No	0:20-cr-00177-ECT-HB	-	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
M&B Multi Services Inc; Brantly Morel	8/20/2020	Pennsylvania AG	Price gouging	M&B Multi Services Inc. and Brantly Morel were sued for allegedly price gouging on Amazon.com marketplace, selling Pureit Advanced Formula Green Certified Hand Sanitizer, Gel 8 oz Pump Bottle for unlawful prices.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Philadelphia County Court of Common Pleas	Civil enforcement	Yes	Yes	No	-	-	-	-	-	<a href="https://www.attorneygeneral.gov/press-releases/record-18-charge-covid-19-relief-act">https://www.attorneygeneral.gov/press-releases/record-18-charge-covid-19-relief-act</a>	
Dalton Brewer; Emilee Fenton	8/20/2020	USDOJ	Identity theft	Dalton Brewer and Emilee Fenton were charged with conspiring to unlawfully transfer, possess, and use a means of identification, and Fenton was also charged with theft of government money and aggravated identity theft, for allegedly using others' identification to violate federal law, and that Fenton, for her part, received two Economic Impact Payments (EIPs) for which she was ineligible.	18 USC §§ 2, 641, 1028, 1028A	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	6:20-cr-00062-JDK-KNM	11/2019-6/2020	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Jerry Johnson	8/20/2020	USDOJ	Unemployment fraud	Jerry Johnson was charged with mail fraud for allegedly participating in a fraudulent scheme to obtain unemployment insurance from Massachusetts and Washington, even though he was ineligible for such insurance. Johnson then allegedly withdrew unemployment insurance money from his account and mailed some of it to other participants in the alleged scheme.	18 USC § 1341	Iowa	N.D. Iowa	Criminal enforcement	Yes	No	No	3:20-cr-03027-KEM	5/2020	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Brian Whorton	8/20/2020	USDOJ	Unemployment fraud	Brian Whorton was charged with wire fraud for allegedly obtaining unemployment insurance from Arizona, even though he was ineligible for such insurance. Whorton then withdrew those funds and transferred a portion via a stand-alone Bitcoin ATM, while keeping and spending a portion for personal expenses.	18 USC § 1343	Iowa	N.D. Iowa	Criminal enforcement	Yes	No	No	1:20-cr-00064-MAR	6/8/2020	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Samuel George Baker	8/19/2020	USDOJ	Unemployment fraud	Samuel George Baker was charged with mail fraud, aggravated identity theft, and fraud in connection with access devices for allegedly filing numerous fraudulent applications for unemployment insurance benefits in the names of various individuals in Pennsylvania, and for allegedly using false social security numbers and fake driver's licenses to file multiple fraudulent Michigan unemployment insurance claims.	18 USC §§ 1028A, 1029, 1341	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30299-DUTY	6/2020-8/2020	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Sheng-Wen Chang (a/k/a Justin Cheng, a/k/a Justin Jung)	8/18/2020	USDOJ	PPP fraud	Sheng-Wen Chang a/k/a Justin Cheng, a/k/a Justin Jung was charged with major fraud against the United States, bank fraud, wire fraud, making false statements and aggravated identity theft for allegedly seeking over \$7 million in forgivable loans guaranteed by the Small Business Administration, using the identities of other individuals to falsely represent to the SBA and five financial institutions that companies controlled by him had a total of over 200 employees and paid \$1.5 million in monthly wages, when, in fact, his companies appear to have a total of no more than 14 employees. Chang allegedly spent a portion of the loan proceeds on a variety of personal expenses.	15 USC § 645; 18 USC §§ 1001, 1014, 1028A, 1031, 1343, 1344	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	20-MAG-8698	4/2020-8/2020	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>	
Ken Russell Belonio	8/17/2020	USDOJ	Consumer fraud	The United States sought a Temporary Restraining Order against Ken Russell Belonio who allegedly engaged in a wire fraud scheme seeking to profit from the COVID-19 pandemic by operating a website coronavirusmedkit.com, which promotes and purports to allow customers to order free World Health Organization "vaccine kits" if they pay \$4.95 for shipping. In fact, these claims are allegedly false and the World Health Organization is not offering such kits; the purpose was allegedly to induce victims to pay Belonio and those working with him \$4.95 for such non-existent kits, and/or to obtain credit card and other personal information from victims for purposes of engaging in fraudulent purchases and identity theft.	18 USC § 1343 (under 18 USC § 1345)	Texas	W.D. Tex.	Civil enforcement	Yes	No	No	1:20-cv-00306-RP	-	-	-	-	-	<a href="https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act">https://www.justice.gov/usdoj/press-releases/record-18-charge-covid-19-relief-act</a>
Kevin Liu	8/14/2020	Minnesota AG	Price gouging	Kevin Liu entered into an assurance of voluntary compliance with the Minnesota Attorney General after allegedly reselling face masks online at greatly inflated and illegal prices. Liu allegedly ran an online resale business through eBay where he made trips around Minnesota in order to purchase local supplies of masks and resold them online to consumers throughout the United States, including Minnesota, at significantly higher prices.	-	Minnesota	Ramsey County District Court	Assurance of voluntary compliance	Yes	No	No	62-CV-20-4429	-	-	Stop selling N95 and KN95 masks during the emergency; \$6,806.82 to consumer purchasers harmed by these inflated prices	-	<a href="https://www.ag.state.mn.us/Office/Communications/2020/08/14_Ma%20K%20Belonio%20v%20Liu_AOD.pdf">https://www.ag.state.mn.us/Office/Communications/2020/08/14_Ma%20K%20Belonio%20v%20Liu_AOD.pdf</a>	

CSG Imports LLC	8/14/2020	USDOJ	Price gouging	CSG Imports LLC entered into a deferred prosecution agreement to resolve violations of the Defense Production Act for allegedly price-gouging customers of personal protective equipment (PPE) during the COVID-19 pandemic. In particular, CSG imports imported into the United States and offered for sale PPE and other health and medical resources that were Designated Scarce Materials in excess of prevailing market prices.	50 USC §§ 4512, 4513	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-8342	3/2020-5/2020	Sell seized PPE at cost; compensate two entities that it sold PPE to in excess of prevailing market prices in the amount of \$400,000	<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/ny/ny-courts-county-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/ny/ny-courts-county-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>	
KG Imports LLC	8/14/2020	USDOJ	Price gouging	KG Imports LLC entered into a deferred prosecution agreement to resolve violations of the Defense Production Act for allegedly price-gouging customers of personal protective equipment (PPE) during the COVID-19 pandemic. In particular, KG Imports imported into the United States, offered, and sold PPE in excess of prevailing market prices.	50 USC §§ 4512, 4513	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-8343	4/2020-5/2020	Sell seized PPE at cost	<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/ny/ny-courts-county-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/ny/ny-courts-county-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>	
David J. Jeansonne II; Traffic Jam Events, LLC	8/13/2020	FTC	Deceptive marketing	Traffic Jam Events, LLC, and its owner David J. Jeansonne II, were charged with violating the FTC Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale. Jeansonne and Traffic Jam Events were also charged with violating the Truth in Lending Act and Regulation Z for failing to clearly disclose required credit information in their advertising.	15 USC §§ 45, 1664; 12 CFR § 226.24			Administrative enforcement	Yes	Yes	Yes	Docket No. 9395	3/2020			<a href="https://www.ftc.gov/news-events/press-releases/2020/08/ftc-issues-notice-against-audible-market-deceptive-practice-mailers">https://www.ftc.gov/news-events/press-releases/2020/08/ftc-issues-notice-against-audible-market-deceptive-practice-mailers</a> <a href="https://www.ftc.gov/system/press-releases/2020/08/ftc-issues-notice-against-audible-market-deceptive-practice-mailers">https://www.ftc.gov/system/press-releases/2020/08/ftc-issues-notice-against-audible-market-deceptive-practice-mailers</a>
Karen Chapon (a/k/a Karen Hannafous)	8/13/2020	USDOJ	PPP fraud	Karen Chapon a/k/a Karen Hannafous was charged with bank fraud and making false statements for allegedly seeking over \$1 million in forgivable loans guaranteed by the Small Business Administration. In support of her six fraudulent loan applications, Chapon made numerous false and misleading statements about her companies' respective business operations and payroll expenses, falsely denied that she had been convicted of a felony in the past five years, and submitted false and altered documents.	18 USC §§ 1014, 1344	Nevada	D. Nev.	Criminal enforcement	Yes	No	No	2:20-mj-664-BNW	4/2020-7/2020			<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/nv/nv-courts-county-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/nv/nv-courts-county-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
Plaid Pantries Inc.	8/12/2020	Oregon AG	Price gouging	The Oregon AG entered into an assurance of voluntary compliance with Plaid Pantries, Inc. - after Plaid Pantries allegedly procured face masks from a supplier at a cost of \$4.50 per four count package, and then resold them for \$8.99.		Oregon	Multnomah County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20CV28086				
7-Eleven Inc.	8/12/2020	Oregon AG	Price gouging	The Oregon AG entered into an assurance of voluntary compliance with 7-Eleven, Inc. after 7-Eleven allegedly procured face masks from a third-party manufacturer and separate distributor, which certain 7-Eleven stores in Oregon displayed as a "Disposable Surgical Face Mask," when it did not meet the FDA standard, and sold each mask for \$3.99, when the per-mask cost was \$1.60.		Oregon	Multnomah County Circuit Court	Assurance of voluntary compliance	No	Yes	No	20CV28117				
Thu Phan Dinh; Tran Khanh; Nguyen Duy Toan (together d/b/a www.ZeroStore.site and other domains)	8/12/2020	USDOJ	Consumer fraud	The United States sought a Temporary Restraining Order against Thu Phan Dinh, Tran Khanh, and Nguyen Duy Toan, all residents of Vietnam, who allegedly engaged in a wire fraud scheme seeking to profit from the COVID-19 pandemic by operating more than 300 websites that fraudulently purported to sell products that became scarce during the pandemic, including hand sanitizer and disinfectant wipes. The order shutters the trio's websites immediately while an investigation of defendants' scheme continues.	18 USC §§ 1343, 1349 (under 18 USC § 1345)	Florida	M.D. Fla.	Civil enforcement	Yes	No	No	8:20-cv-01794				<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/fl/fl-district-courts-the-district-of-florida-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/fl/fl-district-courts-the-district-of-florida-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
Real property located at 112 V Street NE, Washington, D.C.	8/12/2020	USDOJ	PPP fraud	The United States brought a civil forfeiture action to seize real property located at 112 V Street NE, Washington, D.C., based on the reasonable belief that the property constitutes or is derived from proceeds traceable to alleged PPP fraud conducted by Kenneth Gaughan that involved fake emotional support animal companies.	18 USC §§ 641, 1343, 1344, 1956 (under 18 USC § 981)	District of Columbia	D.D.C.	Civil enforcement	Yes	No	Yes	1:20-cv-02211-TSC				<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/dc/dc-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/dc/dc-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
Hilandale Farms Corp.; Hillandale Farms East, Inc.; Hillandale Farms of PA, Inc.; Hillandale Farms Com, LLC; Hillandale Farms of Delaware, Inc.; Hillandale-Gettysburg, L.P.	8/11/2020	New York AG	Price gouging	Hilandale Farms Corp., Hillandale Farms East, Inc., Hillandale Farms of PA, Inc., Hillandale Farms Com, LLC, Hillandale Farms of Delaware, Inc., and Hillandale-Gettysburg, L.P. were charged with price gouging for allegedly increasing the prices of more than four million cartons of eggs sold to major grocery store chains, U.S. military facilities, and wholesale food distributors throughout the state, charging New York customers up to four times the pre-pandemic price for one carton of eggs.	GBL 396-r; Executive Law § 63(12)	New York	New York County State Supreme Court	Civil enforcement	No	Yes	No		3/2020-4/2020			<a href="https://ag.ny.gov/press-releases/2020/08/11/ny-ag-announces-price-gouging-complaint">https://ag.ny.gov/press-releases/2020/08/11/ny-ag-announces-price-gouging-complaint</a> <a href="https://ag.ny.gov/press-releases/2020/08/11/ny-ag-announces-price-gouging-complaint">https://ag.ny.gov/press-releases/2020/08/11/ny-ag-announces-price-gouging-complaint</a>
Kenneth Gaughan	8/11/2020	USDOJ	PPP fraud	Kenneth Gaughan was charged with bank fraud, theft of government funds, wire fraud, and money laundering for allegedly seeking over \$2.1 million in forgivable loans guaranteed by the Small Business Administration on behalf of multiple companies, all falsely purporting to register emotional support animals. Gaughan then used a portion of the loan proceeds to purchase a 2020 Cruisers Yachts 338 CX 33-foot watercraft, a 2020 Kia Stinger, and a rowhouse in Northeast, Washington, D.C.	18 USC §§ 641, 1343, 1344, 1956	District of Columbia	D.D.C.	Criminal enforcement	Yes	No	No	1:20-mj-00154-GMH	4/4/2020			<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/dc/dc-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/dc/dc-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
Arman Manukyan	8/11/2020	USDOJ	PPP fraud	Arman Manukyan was charged with bank fraud and aggravated identity theft for allegedly seeking over \$860,000 in forgivable loans guaranteed by the Small Business Administration for a shell company and then transferring the bulk of his illicit gains to his personal bank accounts.	18 USC §§ 1028A, 1344	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-03710-DUTY	6/2020			<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/ca/ca-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/ca/ca-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
Judex Jean Louis	8/10/2020	USDOJ	PPP fraud	Judex Jean Louis was charged with bank fraud, making false statements, and aggravated identity theft for allegedly receiving proceeds from three fraudulent PPP loans in early June 2020, each hiding Louis's identity as the true loan recipient.	18 USC §§ 1014, 1028A, 1344	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	20-mj-06328-PMH	6/1/20-6/3/20			<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/fl/fl-district-courts-the-district-of-florida-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/fl/fl-district-courts-the-district-of-florida-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
Matthew Ryncarz; Fusion Health; Vitality LLC (d/b/a/ Pharm Origins)	8/10/2020	USDOJ	Consumer fraud	Matthew Ryncarz was charged with violating the Federal Food, Drug, and Cosmetic Act by allegedly selling a misbranded drug called "Immune Shot" that they falsely claimed would lower consumer's risk of contracting COVID-19 by nearly 50 percent.	21 USC §§ 331, 333	Georgia	S.D. Ga.	Criminal enforcement	Yes	Yes	Yes	4:20-cr-00075-CLR	3/2020-4/2020			<a href="https://www.usdoj.gov/isaac/">https://www.usdoj.gov/isaac/</a> <a href="https://www.usdoj.gov/isaac/ga/ga-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items">https://www.usdoj.gov/isaac/ga/ga-district-courts-the-district-of-columbia-releases/file/130-companies-agree-8271/download-resolve-price-gouging-charges-involving-11-million-items</a>
5 Lee Brothers Corp. d/b/a Super Gigante/ West Norriton Farmers Market	8/7/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General has entered into an Assurance of Voluntary Compliance with Super Gigante/West Norriton Farmers Market for alleged price gouging; the store allegedly sold 24-bottle cases of water at double the normal price.	73 PS §§ 201-1	Pennsylvania	Montgomery County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No		3/6/2020-8/7/2020	\$1,930 in civil penalties and costs, restitution to all consumers who purchased cases of water at an unlawful price	<a href="https://www.attogeneral.gov/press-releases/2020/08/07/super-gigante-attogeneral-complaint">https://www.attogeneral.gov/press-releases/2020/08/07/super-gigante-attogeneral-complaint</a> <a href="https://www.attogeneral.gov/press-releases/2020/08/07/super-gigante-attogeneral-complaint">https://www.attogeneral.gov/press-releases/2020/08/07/super-gigante-attogeneral-complaint</a>	

Jimpy One; Gousman Lemy; Frantz Guillaume, Jr. (a/k/a Sandro Saintfleur)	8/7/2020	USDOJ	PPP fraud	Jimpy One, Gousman Lemy, and Frantz Guillaume, Jr. a/k/a Sandro Saintfleur were charged with conspiring to commit money laundering, money laundering, bank fraud, aggravated identity theft, and making false statements for allegedly participating in a fraud and money laundering-ring established in 2019 to carry out business email compromise-schemes, and that the trio used, most recently, to allegedly seek nearly \$2 million in forgivable loans guaranteed by the Small Business Administration through false submissions in the names of shell companies.	18 USC §§ 1014, 1028A, 1344, 1956	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	20-mj-06325-PMH	3/2020-7/2020	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>		
Philip J. Augustin, Wynio Nashon Williams, James R. Stote, Ross Charno, Deon D. Levy, Abdul-Aseem Levy	8/6/2020	USDOJ	PPP fraud	The USDOJ charged 8 individuals via criminal complaint for allegedly participating in a scheme to file fraudulent loan applications seeking more than \$24 million in forgivable Paycheck Protection Program (PPP) loans.	18 USC §§ 2, 1343, 1344, 1349	Ohio	N.D. Ohio	Criminal enforcement	Yes	No	No	1:20-mj-02207-DAR; 1:20-mj-02208-DAR; 1:20-mj-04215-IDG; 1:20-mj-04216-IDG	-	-	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	
Damion O. McKenzie, Andre M. Clark, Keyara Bostic	8/6/2020	USDOJ	Wire fraud, Bank fraud	Three individuals were charged with wire fraud, bank fraud, and conspiracy to commit wire fraud and bank fraud by federal criminal complaints filed in the Southern District of Florida on August 3, 2020.	18 USC §§ 2, 1343, 1344, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	0:20-mj-06316-PMH; 0:20-mj-06317-PMH; 0:20-mj-06318-PMH	-	-	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	
Benjamin Hayford	8/6/2020	USDOJ	PPP fraud	The USDOJ charged Benjamin Hayford with one count of bank fraud and four counts of false statements to a financial institution after allegedly using fraudulent payroll documentation seeking millions of dollars in forgivable PPP loans.	18 USC §§ 645, 1014, 1343, 1344	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	4:20-cr-00088-CVE	4/2020-5/2020	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>		
Living Health Holistic Healing Center d/b/a Living Health New Braunfels (Living Health), Leslie Tatum	8/6/2020	USDOJ	Consumer fraud	The USDOJ granted a temporary restraining order against Living Health Holistic Healing Center d/b/a Living Health New Braunfels (Living Health) and it's owner, Leslie Tatum, to combat alleged fraudulent advertising and testing related to the coronavirus pandemic (COVID-19).	18 USC § 1345	Texas	W.D. Tex.	Civil enforcement	Yes	Yes	No	5:20-cv-00907-DAE	-	-	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	
Darrell Thomas, Andre Lee Gaines, Kahili Gibran Green Sr., Bern Benoit, Bellator Phront Group Inc. (owned by Thomas), Gaines Reservation and Travel LLC (owned by Gaines), Impact Creations LLC (owned by Green), Transportation Management Services, Inc. (owned by Benoit), and Lee Operations LLC, Carla Jackson Milton Ayimadu (a/k/a Don Milton)	8/6/2020	USDOJ	PPP fraud	The USDOJ charged four individuals with conspiracy to commit bank and wire fraud, bank fraud, wire fraud, false statements to a financial institution and money laundering for their alleged submission or assistance in submission of PPP loan applications on behalf of five businesses, Bellator Phront Group Inc. (owned by Thomas), Gaines Reservation and Travel LLC (owned by Gaines), Impact Creations LLC (owned by Green), Transportation Management Services, Inc. (owned by Benoit), and Lee Operations LLC. The USDOJ also charged Carla Jackson with money laundering for allegedly controlling the accounts of Thomas, Gaines, Green, and Benoit into which the PPP funds were transferred; the further distributed the funds.	18 USC §§ 2, 1014, 1343, 1344, 1349, 1956, 1957	Georgia	N.D. Ga.	Criminal enforcement	Yes	Yes	No	1:20-cr-00296-UNA	-	-	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	
Milton Ayimadu (a/k/a Don Milton)	8/6/2020	USDOJ	Price gouging	Milton Ayimadu was charged with hoarding and price gouging in violation of the Defense Production Act for allegedly purchasing more than 200,000 face masks from a foreign country for approximately \$2.50 each and then re-selling them to American consumers for approximately \$5.00 each.	-	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	-	-	-	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	
Zaappaaz LLC, Azim Makoonyia	8/5/2020	FTC	Consumer fraud	The Federal Trade Commission filed suit against Zaappaaz, the operators of wrist-band.com and other online storefronts, for failing to deliver on the promise that they could quickly ship products like face masks, sanitizer, and other personal protective equipment (PPE) related to the coronavirus pandemic; the company allegedly violated the FTC's Mail, Internet, or Telephone Order Merchandise Rule (Mail Order Rule), which requires that companies notify consumers of shipping delays in a timely manner and give consumers the chance to cancel orders and receive prompt refunds.	15 USC §§ 45, 53, 57b	Texas	S.D. Tex.	Civil enforcement	Yes	Yes	No	-	-	-	-	-	-	<a href="https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint">https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint</a>	<a href="https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint">https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint</a>	
QYK Brands LLC, DRUSNatural LLC, Rakesh Tammabattula, Jacqueline Thao Nguyen	8/5/2020	FTC	Consumer fraud	The Federal Trade Commission filed suit against the operators of the online store Glowly for failing to deliver on the promise that they could quickly ship products like face masks, sanitizer, and other personal protective equipment (PPE) related to the coronavirus pandemic; the company allegedly violated the FTC's Mail, Internet, or Telephone Order Merchandise Rule (Mail Order Rule), which requires that companies notify consumers of shipping delays in a timely manner and give consumers the chance to cancel orders and receive prompt refund	15 USC §§ 45, 53, 57b	California	C.D. Cal.	Civil enforcement	Yes	Yes	No	-	-	-	-	-	-	<a href="https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint">https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint</a>	<a href="https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint">https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint</a>	
American Screening, Ron Kilgarlin Jr., Shawn Kilgarlin	8/5/2020	FTC	Consumer fraud	The Federal Trade Commission filed suit against American Screening, LLC, for failing to deliver on the promise that it could quickly ship products like face masks, sanitizer, and other personal protective equipment (PPE) related to the coronavirus pandemic; the company allegedly violated the FTC's Mail, Internet, or Telephone Order Merchandise Rule (Mail Order Rule), which requires that companies notify consumers of shipping delays in a timely manner and give consumers the chance to cancel orders and receive prompt refunds.	15 USC §§ 45, 53, 57b	Missouri	E.D. Mo.	Civil enforcement	Yes	Yes	No	4:20-cv-1021	-	-	-	-	-	<a href="https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint">https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint</a>	<a href="https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint">https://www.ftc.gov/enforcement/cases/2020/11/16/116/zappaaz-llc-complaint</a>	
Brenda Kay Noteboom	8/5/2020	Iowa AG	Price gouging	Brenda Kay Noteboom was sued by the Iowa Attorney General for allegedly selling more than 320 items on eBay at excessive prices for a two-week period in mid to late March 2020. The items included toilet paper, paper towels, disinfecting and sanitizing products and other items needed by disaster victims. Noteboom allegedly realized more than \$5,500 from the sales.	-	Iowa	Sioux County District Court	Civil enforcement	Yes	No	No	-	-	-	-	-	-	<a href="https://www.iowa.gov/attorneygeneral/newsroom/2020/04/20/2020-07-13-Yocke-Pharmacy-AVE.pdf">https://www.iowa.gov/attorneygeneral/newsroom/2020/04/20/2020-07-13-Yocke-Pharmacy-AVE.pdf</a>	<a href="https://www.iowa.gov/attorneygeneral/newsroom/2020/04/20/2020-07-13-Yocke-Pharmacy-AVE.pdf">https://www.iowa.gov/attorneygeneral/newsroom/2020/04/20/2020-07-13-Yocke-Pharmacy-AVE.pdf</a>	
Clinica Hispana la Porte; Luis Alberto Cuan (a/k/a Luis Alberto Cuan Ito)	8/5/2020	Texas AG	Consumer fraud	Clinica Hispana la Porte and Luis Alberto Cuan were sued for violating the Texas Deceptive Trade Practices - Consumer Protection Act by allegedly giving people antibody tests and telling them that it would diagnose an infection, when such test cannot diagnose an active infection. In addition to conducting fraudulent tests, Clinica Hispana also allegedly threw away test results using an unsecured dumpster behind the clinic, which violated the Texas Identity Theft Enforcement and Protection Act.	Tex. Bus. & Com. Code §§ 17.01-17.63, 521.001-521.152	Texas	Harris County District Court	Civil enforcement	Yes	Yes	No	-	-	-	-	-	-	-	<a href="https://www.legis.state.tx.us/lookup/getdetail.aspx?legis_body_text=senate_bill_details_bill_number=15870">https://www.legis.state.tx.us/lookup/getdetail.aspx?legis_body_text=senate_bill_details_bill_number=15870</a>	<a href="https://www.legis.state.tx.us/lookup/getdetail.aspx?legis_body_text=senate_bill_details_bill_number=15870">https://www.legis.state.tx.us/lookup/getdetail.aspx?legis_body_text=senate_bill_details_bill_number=15870</a>
Lee Price III	8/4/2020	USDOJ	PPP fraud	Lee Price III was charged with making false statements to a financial institution, wire fraud, bank fraud, and engaging in unlawful monetary transactions for allegedly obtaining more than \$1.6 million in Paycheck Protection Program loans and spending the money on luxury items, real estate, and personal entertainment.	18 USC §§ 1014, 1343, 1344, 1957	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	4:20-mj-01366	4/2020-8/2020	-	-	-	-	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	<a href="https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience">https://www.usdoj.gov/asp/asp/office/threat-mex-who-allegedly-used-existing-shell-companies-and-prior-fraud-experience</a>	

American Surgical Supply, Inc.	8/3/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq. American Surgical Supply after the AG received tips alleging that the store was selling KN95 face masks for unlawful prices; the Attorney General learned that the business allegedly sold 485 face masks for as much as \$26 per mask.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Schuylkill County Court of Common Pleas	Assurance of voluntary compliance	No	No	No	No	3/6/2020-7/28/2020	-	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Surgical-Supply-two-northeastern-AVC.pdf">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Surgical-Supply-two-northeastern-AVC.pdf</a>	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Surgical-Supply-two-northeastern-AVC.pdf">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Surgical-Supply-two-northeastern-AVC.pdf</a>	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Surgical-Supply-two-northeastern-AVC.pdf">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Surgical-Supply-two-northeastern-AVC.pdf</a>	
John Lalor Joyce, Keystone Medical Equipment	8/3/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq. Keystone Medical Equipment after the AG received tips alleging that the store was selling KN95 face masks for unlawful prices; the Attorney General learned that the business allegedly sold 122 face masks for upwards of \$28 per mask.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Carbon County Court of Common Pleas	Assurance of voluntary compliance	Yes	Yes	No	No	3/6/2020-7/28/2020	-	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Medical-Voices-True-Keystone-Medical-Supply-complaint">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Medical-Voices-True-Keystone-Medical-Supply-complaint</a>	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Medical-Voices-True-Keystone-Medical-Supply-complaint">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Medical-Voices-True-Keystone-Medical-Supply-complaint</a>	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Medical-Voices-True-Keystone-Medical-Supply-complaint">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-08-20-09-shapiro-stops-price-gouging-at-Medical-Voices-True-Keystone-Medical-Supply-complaint</a>	
Joel Bellegarde	7/31/2020	USDOJ	Unemployment fraud	Joel Bellegarde was arrested and charged with wire fraud, mail fraud, and aggravated identity theft, for allegedly submitting fraudulent claims for unemployment benefits to the State of Oklahoma, benefits which were intended for Oklahoma residents who had lost their jobs due to the economic impact of COVID-19.	18 USC §§ 1028A, 1341, 1343	Florida	S.D. Fla.	Criminal enforcement	yes	no	no	no	1:20-mj-03251-BECERRA	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	
William Peck	7/31/2020	USDOJ	Unemployment fraud	William Peck has been charged with mail fraud after allegedly receiving nearly \$100,000 in fraudulent benefits.	18 USC § 1341	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	No	2:20-cr-00948	5/2020-7/2020	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-tx-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-tx-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-tx-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-tx-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-tx-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-tx-cr-00048</a>
Alvin Lavon Rex	7/30/2020	USDOJ	Unemployment fraud	Alvin Lavon Rex has been charged with one count of mail fraud for allegedly receiving deposits in his account at a bank in Storm Lake that were moneys received from unemployment claims made in Massachusetts and Arizona.	18 USC § 1341	Iowa	N.D. Iowa	Criminal enforcement	yes	No	No	No	5:20-cr-04062-LTS-KEM	5/2020	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-ia-cr-00062">https://www.justice.gov/iso/opa/pr/2020/07/20200713-ia-cr-00062</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-ia-cr-00062">https://www.justice.gov/iso/opa/pr/2020/07/20200713-ia-cr-00062</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-ia-cr-00062">https://www.justice.gov/iso/opa/pr/2020/07/20200713-ia-cr-00062</a>
Dennis Nobbe	7/29/2020	USDOJ	PPP fraud	Dennis Nobbe was charged by criminal complaint with wire fraud, health care fraud, conspiracy to commit health care fraud and wire fraud, making false statements to a financial institution, money laundering, and conspiracy to commit money laundering; he has been charged for allegedly fraudulently obtaining a Paycheck Protection Program loan and an Economic Injury Disaster Loan (EIDL), and that he orchestrated a conspiracy to submit false and fraudulent claims for reimbursement to Medicare and CareCredit, and to defraud his own patients by charging them thousands of dollars for chiropractic services under false pretenses.	18 USC §§ 1014, 1343, 1347, 1349, 1956, 1956	Florida	S.D. Fla.	Criminal enforcement	Yes	No	no	no	1:20-mj-03232-IB	-	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>
Spruce Food Market	7/28/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq. Spruce Food Market after the AG received tips alleging that the store was selling hand sanitizer for unlawful prices; the Attorney General learned that the business offered 103 units of hand sanitizer for as much as \$15.99 for an 8 oz. bottle.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Philadelphia Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	No	3/6/2020-7/24/2020	\$5,150 in Civil Penalties, restitution of \$796.93 to consumers	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-07-28-spruce-food-market-strict-AVC.pdf">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-07-28-spruce-food-market-strict-AVC.pdf</a>	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-07-28-spruce-food-market-strict-AVC.pdf">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-07-28-spruce-food-market-strict-AVC.pdf</a>	<a href="https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-07-28-spruce-food-market-strict-AVC.pdf">https://www.attorneygeneral.gov/torreygeneral@hahn.com/action/press-releases/ag-2020-07-28-spruce-food-market-strict-AVC.pdf</a>	
Gordon H. Pedersen; My Doctor Suggests LLC	7/28/2020	USDOJ	Consumer fraud	Gordon Pedersen has been indicted for posing as a doctor in order to sell a fake treatment for COVID-19. His former company, My Doctor Suggests LLC, has agreed to plead guilty in connection with false and misleading marketing of ingestible silver products as a coronavirus treatment. My Doctor Suggests has severed all ties with Pedersen and is cooperating in his prosecution.	18 USC §§ 1341, 1343; 21 USC §§ 331, 333	Utah	D. Utah	Criminal enforcement	Yes	Yes	No	No	2:20-cr-00216-DBB	2014-2020	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-ut-cr-00016">https://www.justice.gov/iso/opa/pr/2020/07/20200713-ut-cr-00016</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-ut-cr-00016">https://www.justice.gov/iso/opa/pr/2020/07/20200713-ut-cr-00016</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-ut-cr-00016">https://www.justice.gov/iso/opa/pr/2020/07/20200713-ut-cr-00016</a>
David Taylor Hines	7/27/2020	USDOJ	PPP fraud	David Hines was charged with fraudulently obtaining \$3.9 million in PPP loans and using the funds to purchase, among other things, a \$318,000 sports car for himself.	18 USC §§ 1014, 1344, 1957	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	No	1:20-mj-03237-IB	5/9/2020-6/24/2020	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-fla-cr-00048</a>
Mukund Mohan	7/23/2020	USDOJ	PPP fraud	Mukund Mohan was charged with wire fraud and money laundering for allegedly seeking over \$5.5 million in forgivable loans guaranteed by the Small Business Administration by submitting fraudulent loan applications that made numerous false and misleading statements about the companies' respective business operations and payroll expenses. Mohan also allegedly submitted false and altered documents, including false federal tax filings and altered incorporation documents, and transferred at least \$231,000 in fraudulently-obtained loan proceeds to his personal brokerage account for his personal benefit.	18 USC §§ 2, 1343, 1957	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	No	2:20-mj-00451-BAT	-	-	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-wa-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-wa-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-wa-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-wa-cr-00048</a>	<a href="https://www.justice.gov/iso/opa/pr/2020/07/20200713-wa-cr-00048">https://www.justice.gov/iso/opa/pr/2020/07/20200713-wa-cr-00048</a>



Luke John Flint	7/13/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Luke John Flint from ongoing wire fraud for allegedly creating a website that promotes and purports to allow consumers to pre-order a COVID-19 vaccine, even though such a vaccine was non-existent.	18 USC § 1343 (under 18 USC § 1345)	Kentucky	W.D. Ky.	Civil enforcement	Yes	No	No	No	3:20-cv-00489-CHB	5/27/2020	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>		
Carlos Belone	7/10/2020	USDOJ	PPP Fraud	Carlos Belone was charged with conspiring to commit health care fraud, wire fraud, payment of health care kickbacks, and making false statements for allegedly seeking forgivable loans guaranteed by the Small Business Administration based on fraudulent loan applications in the name of a company that allegedly submitted false and fraudulent claims to Medicare for orthotic braces that were medically unnecessary, ineligible for Medicare reimbursement and/or not provided as represented. Moreover, to support the allegedly fraudulent loan applications, Belone submitted fake tax documents and doctored profit and loss statements for the company, and after receiving over \$12,000 in loan proceeds, he allegedly directed approximately \$12,000 of the loan money to a personal account under his control.	18 USC §§ 1014, 1320a-7b, 1343, 1349	Florida	S.D. Fla.	Criminal enforcement	Yes	No	No	No	0:20-mj-06264-LSS	3/2018-6/2020	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>		
Shawn Vogt d/b/a Vogt True Value	7/9/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with the Pennsylvania Attorney General's True Value Hardware, after the AG received a complaint alleging that the store was selling facemasks for unlawful prices.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Allegheny County Court of Common Pleas	Assurance of voluntary compliance	Yes	No	No	No	20-7437	-	\$1,012.80 in restitution to consumers	-	-	-	<a href="https://www.attorneygeneral.gov/taking-action/covid-19/ag-shares-03-19-2020-price-gouging-at-vogts-true-value-hardware-in-allegheny-county">https://www.attorneygeneral.gov/taking-action/covid-19/ag-shares-03-19-2020-price-gouging-at-vogts-true-value-hardware-in-allegheny-county</a>	
Rose Ann Azzarello; Andrea Renee Pytlinski	7/9/2020	USDOJ	Consumer fraud	Rose Ann Azzarello and Andrea Renee Pytlinski were charged with wire fraud for allegedly participating in a scheme that received and sent Washington State unemployment benefits and the proceeds of an alleged check scam via wire and common courier as directed by someone only known as "William Lewis". As the ill-fated funds were allegedly deposited into their accounts, Azzarello and Pytlinski would allegedly withdraw the funds prior to the detection of any fraud and then transmit a portion of the ill-gotten funds to a third party while retaining their share.	18 USC § 2, 1343	Indiana	S.D. Ind.	Criminal enforcement	Yes	No	No	No	3:20-mj-00037-MPB	5/8/2020-5/14/2020	-	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>	
SuperGoodDeals.com, Inc.; Kevin J. Lipsitz	7/8/2020	FTC	Consumer fraud	The Federal Trade Commission charged SuperGoodDeals.com, Inc. and its owner Kevin J. Lipsitz with allegedly falsely promising consumers next-day shipping of facemasks and other personal protective equipment (PPE) to deal with the coronavirus pandemic. The website allegedly advertised that PPE was "in stock" and touted "Pay Today, Ships Tomorrow," but frequently took weeks to ship merchandise.	15 USC § 45; 16 CFR § 435	New York	E.D.N.Y.	Civil enforcement	Yes	Yes	Yes	Yes	1:20-cv-03027	3/2020-4/2020	-	-	-	-	<a href="https://www.ftc.gov/news-events/press-releases/2020/07/ftc-takes-action-against-marketplace-that-falsely-promised-next-day-shipping">https://www.ftc.gov/news-events/press-releases/2020/07/ftc-takes-action-against-marketplace-that-falsely-promised-next-day-shipping</a>	
Kooz Concepts International, Inc.	7/8/2020	Michigan AG	Consumer fraud	The Michigan Attorney General entered into an assurance of voluntary compliance with Kooz Concepts International, Inc. after the company allegedly violated the Michigan Consumer Protection Act by selling face masks that were mislabeled as having the N95 designation.	MCL § 445.901 et seq.	Michigan	Kent County Circuit Court	Assurance of voluntary compliance	No	Yes	No	No	20-10-M5	-	\$1,750 to the Attorney General's office to compensate for costs associated with the investigation giving rise to the assurance of voluntary compliance	-	-	-	-	
Ahmad Kanan	7/8/2020	USDOJ	PPP Fraud	Ahmad Kanan was charged with wire fraud and money laundering for allegedly seeking \$119,560 in forgivable loans guaranteed by the Small Business Administration based on a false application that indicated Kanan was not currently under federal indictment, when the opposite was true. Kanan also allegedly transferred \$47,000 in fraudulently obtained PPP loan proceeds to his checking account.	-	Wisconsin	W.D. Wis.	Criminal enforcement	Yes	No	No	No	-	-	-	-	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>
Kevin Jay Lipsitz	7/8/2020	USDOJ	Price gouging	Kevin Jay Lipsitz was charged with violating the Defense Production Act and wire fraud for allegedly selling personal protective equipment (PPE) and other health and medical materials, some of which were designated as scarce due to the COVID-19 pandemic, at prices far exceeding prevailing market prices, while also allegedly lying to customers about his inventory of such scarce materials and his ability to timely ship those items to customers.	18 USC § 1343; 50 USC §§ 4512, 4513	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	No	20-MJ-509	2/2020-4/2020	-	-	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>
Benjamin Hayford	7/8/2020	USDOJ	PPP Fraud	Benjamin Hayford was charged with wire fraud, bank fraud, and making false statements for allegedly seeking over \$8 million in forgivable loans guaranteed by the Small Business Administration by claiming fictitious payroll expenses. To support his loan applications, Hayford allegedly provided fraudulent payroll documentation purporting to establish payroll expenses that were, in fact, non-existent. In addition, Hayford represented that the Limited Liability Partnership for which he applied for relief was established in January 2020 and was operating as of Feb. 15, 2020, when in fact Hayford did not create the partnership until April 2020, several days before he began applying for Paycheck Protection Program (PPP) loans.	15 USC § 645; 18 USC §§ 1014, 1343, 1344	Oklahoma	N.D. Okla.	Criminal enforcement	Yes	No	No	No	4:20-cr-00088-CVE	4/2020-5/2020	-	-	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>
Hubert Ivan Ugarte; Lisa Bradshaw Rowberry	7/8/2020	USDOJ	PPP Fraud	Hubert Ivan Ugarte and Lisa Bradshaw Rowberry were charged with removal of property to prevent seizure, loan application fraud, wire fraud, and money laundering, as well as conspiring to commit removal of property to prevent seizure, loan application fraud, and wire fraud for, inter alia, allegedly seeking \$210,000 in forgivable loans guaranteed by the Small Business Administration based on a false application that indicated Ugarte had not been placed on pretrial diversion and was not currently under federal indictment, when the opposite was true. Ugarte also allegedly agreed that at least 75 percent of the \$210,000 loan would be used for payroll costs, when in fact he used 60 percent of the loan to pay the past due truck payments.	18 USC §§ 371, 1014, 1343, 1957, 2232	Utah	D. Utah	Criminal enforcement	Yes	No	No	No	2:20-mj-00452-ICB	4/23/2020-5/18/2020	-	-	-	-	-	<a href="https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19">https://www.justice.gov/usdoj-website/attorney-office-shuts-down-multiple-entities-claiming-official-procedures-covid-19</a>
Pivot Concierge Health, LLC; Banyan Medical Systems, LLC	7/7/2020	Nebraska AG	Consumer fraud	Pivot Concierge Health, LLC and Banyan Medical Systems, LLC were charged with violating Nebraska's Consumer Protection Act and its Unfair and Deceptive Trade Practices Act for allegedly advertising "rapid" and "same-day" COVID-19 testing, while failing to distinguish between diagnostic and antibody tests, and failing to distinguish the differences between those tests, including limitations of the antibody test.	NRS §§ 59-1601 et seq., 87-301 et seq.	Nebraska	Douglas County District Court	Civil enforcement	No	Yes	No	No	CI 20-5231	3/2020-4/2020	-	-	-	-	-	<a href="https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-23-Regional-Discourt-PharmMasks-AVC-accepted.pdf">https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-23-Regional-Discourt-PharmMasks-AVC-accepted.pdf</a>
Paoli Pharmacy, Inc.	7/7/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Paoli Pharmacy after the AG received tips alleging the store was selling facemasks for unlawful prices; the business allegedly sold approximately 100 individual N95 masks in ziploc bags for as much as \$25 per mask.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Chester County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	No	-	-	\$5,300 in civil penalties; \$203.75 for public protection and education purposes; \$1,945.50 in restitution to consumers	-	-	-	-	<a href="https://www.attorneygeneral.gov/taking-action/covid-19/ag-shares-03-19-2020-price-gouging-at-paoli-pharmacy/">https://www.attorneygeneral.gov/taking-action/covid-19/ag-shares-03-19-2020-price-gouging-at-paoli-pharmacy/</a>
Save-On Supplies	7/6/2020	California AG	Price gouging	Save-On Supplies was charged with violating California's Unfair Competition Law by allegedly selling surgical masks and KN95 respirator masks for more than 50 percent above its wholesale costs.	BPC § 17200 et seq.	California	Alameda County Superior Court	Civil enforcement	No	Yes	No	No	RG20066983	4/2020-5/2020	-	-	-	-	-	-

Approximately \$80,661.05 in funds	7/2/2020	USDOJ	Unemployment fraud	The United States brought a civil forfeiture action to recover approximately \$80,661.05 in funds held at bank accounts allegedly used to perpetuate COVID-19 unemployment fraud. These bank accounts were allegedly used to transfer money from unemployment benefit programs to fraudsters, who used victims' stolen identities to apply for unemployment benefits online; the fraudsters then convinced bank account holders to receive and make transactions with stolen funds on the false belief they were involved in an online romantic relationship with the fraudsters.	North Carolina	W.D.N.C.	Civil enforcement	-	-	No	(No number yet found)	-	-	-	<a href="https://www.justice.gov/iso/opa/investigation/2020-07-02-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-80000-funds-tied-to-covid-19-unemployment-fraud-scheme">https://www.justice.gov/iso/opa/investigation/2020-07-02-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-80000-funds-tied-to-covid-19-unemployment-fraud-scheme</a>
Approximately \$48,742.50 in funds	6/30/2020	USDOJ	Unemployment fraud	The United States brought a civil forfeiture action to recover approximately \$48,742.50 in funds held at bank accounts allegedly used to perpetuate COVID-19 unemployment fraud. These bank accounts were allegedly used to transfer money from unemployment benefit programs to fraudsters, who used victims' stolen identities to apply for unemployment benefits online, and then used other unsuspecting individuals' bank accounts as "money mule" accounts to receive and transfer the stolen benefits.	North Carolina	W.D.N.C.	Civil enforcement	-	-	No	(No number yet found)	-	-	-	<a href="https://www.justice.gov/iso/opa/investigation/2020-06-30-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-48000-funds-tied-to-covid-19-unemployment-fraud-scheme">https://www.justice.gov/iso/opa/investigation/2020-06-30-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-48000-funds-tied-to-covid-19-unemployment-fraud-scheme</a>
Eric R. Shibley	6/30/2020	USDOJ	PPP fraud	Dr. Eric R. Shibley was charged with wire fraud and bank fraud for allegedly seeking over \$3 million in forgivable loans guaranteed by the Small Business Administration by submitting fraudulent applications in the names of businesses with no actual operations or by misrepresenting the business's eligibility. In the applications, Shibley misrepresented the number of employees and payroll expenses, concealed his own criminal history, and submitted false tax documents.	Washington	W.D. Wash.	Criminal enforcement	Yes	No	No	MJ20-385	4/2020-6/2020	-	-	<a href="https://www.justice.gov/iso/opa/investigation/2020-06-30-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-48000-funds-tied-to-covid-19-unemployment-fraud-scheme">https://www.justice.gov/iso/opa/investigation/2020-06-30-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-48000-funds-tied-to-covid-19-unemployment-fraud-scheme</a>
Mark Scott Grenon; Jonathan David Grenon; Jordan Paul Grenon; Joseph Timothy Grenon	6/30/2020	USDOJ	Consumer fraud	Mark Scott Grenon, Jonathan David Grenon, Jordan Paul Grenon, and Joseph Timothy Grenon were charged with conspiring to defraud the United States and to deliver misbranded drugs and with criminal contempt for allegedly promoting and selling bleach as a "miracle cure-all that could treat, prevent, and cure a variety of serious diseases and disorders," including COVID-19. They were also charged with violating a court order prohibiting them from distributing the product.	Florida	S.D. Fla.	Criminal enforcement	Yes	No	Yes	1:20-mj-03050-AOR	4/2020-5/2020	-	-	<a href="https://www.justice.gov/iso/opa/investigation/2020-06-30-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-48000-funds-tied-to-covid-19-unemployment-fraud-scheme">https://www.justice.gov/iso/opa/investigation/2020-06-30-usdoj-announces-civil-forfeiture-action-to-recover-fraudulent-unemployment-benefits-over-48000-funds-tied-to-covid-19-unemployment-fraud-scheme</a>
Goods and More Incorporated, d/b/a GoodsAndMore, Inc.	6/29/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with 73 PS §§ 201-1 et seq.; 232.1 et seq. after the AG received tips alleging the store was selling hand sanitizer for unlawful prices on Amazon.com; the business allegedly sold 24-packs of 2-ounce bottles of Assured hand sanitizer for as much as \$109.99 per package and single 12-ounce bottles of Purell hand sanitizer for as much as \$39.00 each.	Pennsylvania	Lackawanna County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	2020-cv-2490	-	-	\$1,900.00 in civil penalties; \$192.50 for public protection and education purposes; \$13,901.48 in restitution for consumers	<a href="https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-17-landmark-SupplyLine-AVC.pdf">https://www.attorneygeneral.gov/wp-content/uploads/2020/06/2020-06-17-landmark-SupplyLine-AVC.pdf</a>
Heirloom Organics	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Heirloom Organics after store allegedly marketed "Pandemic Protection Kits," which implied, inaccurately, that all contents were approved and tested by the CDC, OSHA, or WHO to provide protection specifically against coronavirus.	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	<a href="https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/">https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/</a>
Holistic Health Acupuncture	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Holistic Health Acupuncture after the clinic allegedly used social media to have consumers contact them for herbal treatments, diagnosis, or products related to COVID-19; the clinic allegedly touted "herbal training" for differential diagnosis of the illness and "protocols for fever, wheezing, body aches, respiratory concerns."	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	<a href="https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/">https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/</a>
Holistic Health, P.C.	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Holistic Health, P.C. after the FTC alleged that the store was using marketing materials to suggest Chinese herbal medicine worked to prevent and treat COVID-19.	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	<a href="https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/">https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/</a>
Inner Works Acupuncture	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Inner Works Acupuncture after the store allegedly promoted Chinese herbal medicine for COVID-19 prevention and treatment.	Oregon	-	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	<a href="https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/">https://www.doj.state.or.us/media/home/news-media-releases/jag-rosenblum-settles-with-six-companies-and-medical-clinics-selling-unproven-covid-19-treatments/</a>

Love Acupuncture & Wellness Group	6/26/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Love Acupuncture & Wellness after the clinic allegedly promoted Chinese herbal medicine that "yielded a 94% improvement rate" for COVID-19 patients; allegedly offered live video-conference appointments to provide consumers with a customized herbal prescription that may reduce the severity of symptoms and shorten the duration of infection; and allegedly promoted a "Clear Lung Toxins Formula" that was purportedly created by a panel of four traditional Chinese medicine practitioners and tested on 214 patients, with 90% improved.	18 USC § 641, 1028A, 1343	Oregon	Oregon	Assurance of voluntary compliance	No	Yes	-	-	-	-	-	-	-	-	<a href="https://www.doi.state.or.us/media/home/news-media-releases/ag-releases/2020-06-26-settles-with-six-companies-and-medical-clinics-which-are-providing-covid-19-treatments/">https://www.doi.state.or.us/media/home/news-media-releases/ag-releases/2020-06-26-settles-with-six-companies-and-medical-clinics-which-are-providing-covid-19-treatments/</a>	-
James Mwanza	6/26/2020	USDOJ	Identity theft	James Mwanza was charged with wire fraud, theft of government money, and aggravated identity theft for allegedly preparing and filing fraudulent income tax returns with the IRS, using unlawfully obtained personal identification information of other individuals. The filing of false returns also triggered payment of Economic Impact Payments (EIPs) provided for under the CARES Act.	18 USC § 641, 1028A, 1343	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	No	4:20-cr-00157-ALM-CAN	1/2020-4/2020	-	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	<a href="https://www.usdoj.gov/epa/pr/2020/epa20-00157">https://www.usdoj.gov/epa/pr/2020/epa20-00157</a>
Jase DePaul Gautreaux	6/24/2020	USDOJ	PPP fraud	Jase DePaul Gautreaux was charged with wire fraud, bank fraud, money laundering, and making false statements for allegedly seeking over \$13 million in forgivable loans guaranteed by the Small Business Administration by falsifying his identity, misrepresenting the number of employees and payroll expenses of his putative businesses, and making other inaccurate statements.	-	Texas	S.D. Tex.	Criminal enforcement	Yes	No	No	No	(No number yet found)	-	-	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	-
Ameet Goyal	6/24/2020	USDOJ	PPP fraud	Ameet Goyal was charged with wire fraud, bank fraud, and making false statements for, inter alia, allegedly seeking over \$630,000 in forgivable loans guaranteed by the Small Business Administration by falsely representing that he was not under indictment, when in fact he was, and submitting two loan applications for the same business.	18 USC §§ 1001, 1014, 1035, 1343, 1344, 1347, 3147, 2	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	No	7:19-cr-00844-CS	-	-	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	<a href="https://www.usdoj.gov/epa/pr/2020/epa20-00157">https://www.usdoj.gov/epa/pr/2020/epa20-00157</a>
Nadine Consuelo Jackson	6/24/2020	USDOJ	PPP fraud	Nadine Consuelo Jackson was charged with bank fraud and making a false statement for allegedly seeking over \$2 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. She allegedly claimed to have 73 employees earning wages, when in fact there were few to no other employees working.	18 USC §§ 1001, 1344	Ohio	S.D. Ohio	Criminal enforcement	Yes	No	No	No	3:20-mj-03001-SIO	3/20/2020-5/20/2020	-	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	<a href="https://www.usdoj.gov/epa/pr/2020/epa20-00157">https://www.usdoj.gov/epa/pr/2020/epa20-00157</a>
Monica Magdalena Jaworska; Tarik Jaafar	6/24/2020	USDOJ	PPP fraud	Monica Magdalena Jaworska and Tarik Jaafar were charged with conspiring to commit wire fraud for allegedly seeking over \$1.4 million in forgivable loans guaranteed by the Small Business Administration by submitting 18 PPP program loan applications in the names of four businesses, while claiming certain payroll tax returns were filed with the Internal Revenue Service for each of those businesses when in fact they were not.	18 USC § 1349	Virginia	E.D. Va.	Criminal enforcement	Yes	No	No	No	1:20-mj-167	4/2020-5/2020	12 months in person and two years of supervised release (Jaafar); forfeiture (Jaworska)	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	<a href="https://www.usdoj.gov/epa/pr/2020/epa20-00157">https://www.usdoj.gov/epa/pr/2020/epa20-00157</a>
Ashland Natural Medicine; John Chlebowski, ND, DC	6/23/2020	Oregon AG	Consumer fraud	The Oregon Attorney General entered into an assurance of voluntary compliance with Ashland Natural Medicine and John Chlebowski, ND, DC that requires them to obey Oregon's Unlawful Trade Practices Act. Ashland Natural Medicine and Chlebowski agreed to refrain from making certain claims and representations regarding coronavirus or COVID-19 in connection with dietary supplements unless those claims are approved by the FDA, they have competent and reliable scientific evidence that substantiates the representation, and the claim or representation adheres to the Federal Food, Drug, and Cosmetic Act and any regulation promulgated by the FDA, including those promulgated pursuant to the Nutrition Labeling and Education Act.	ORS § 646.632	Oregon	Jackson County Circuit Court	Assurance of voluntary compliance	Yes	Yes	No	No	20CV21465	-	-	-	-	-	<a href="https://www.doi.state.or.us/media/home/news-media-releases/ag-releases/2020-06-23-settles-with-six-companies-and-medical-clinics-which-are-providing-covid-19-treatments/">https://www.doi.state.or.us/media/home/news-media-releases/ag-releases/2020-06-23-settles-with-six-companies-and-medical-clinics-which-are-providing-covid-19-treatments/</a>	-
Fahad Shah	6/23/2020	USDOJ	PPP fraud	Fahad Shah was charged with wire fraud, making a false statement, and money laundering for allegedly seeking over \$3 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly claimed to have over 120 employees earning wages, when in fact no employees worked for his business at the time; he submitted fraudulent documentation to support his loan application; and Shah used proceeds from the loan primarily for personal purchases.	18 USC §§ 1014, 1343, 1957	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	No	4:20-cr-00156	4/2020-5/2020	-	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	<a href="https://www.usdoj.gov/epa/pr/2020/epa20-00157">https://www.usdoj.gov/epa/pr/2020/epa20-00157</a>
Duke Inc. (d/b/a Regional Discount Pharmacy)	6/22/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Duke Inc. after the AG received tips alleging that the store was selling N95 face masks for unlawful prices; the business allegedly sold 353 face masks at \$8.00/each.	73 PS § 201-1 et seq.; 232.1 et seq.	Pennsylvania	Lancaster County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	No	C-20-04271	-	\$1,765.00 in civil penalties; \$181.25 for public protection and education purposes; \$741.30 in restitution for consumers	-	-	-	<a href="https://www.attorneygeneral.gov/newsroom/2020/06/22/duke-pharmacy-settles-covid-19-price-gouging-charge">https://www.attorneygeneral.gov/newsroom/2020/06/22/duke-pharmacy-settles-covid-19-price-gouging-charge</a>	-
Blackhawk Growth Corp. ("BLRZP")	6/22/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Blackhawk Growth Corp. ("BLRZP") because of questions regarding the accuracy and adequacy of information in the marketplace about Blackhawk and the market for its securities, including public statements made by Blackhawk between April and May 2020 in press releases and in video interviews regarding the company's purported agreement to distribute COVID-19 antibody test kits in the United States and other countries, and its sales and delivery of those test kits.	15 USC § 78j	United States	-	Suspension of trading	No	Yes	No	No	Release No. 89122	4/16/2020-5/11/2020	Temporary suspended trading	-	-	-	<a href="https://www.sec.gov/news/press/2020/2020-06-22-sec-suspends-trading-in-blackhawk-growth-corp-securities">https://www.sec.gov/news/press/2020/2020-06-22-sec-suspends-trading-in-blackhawk-growth-corp-securities</a>	<a href="https://www.blackhawkgrowth.com/press-releases/2020-06-22-sec-suspends-trading-in-blackhawk-growth-corp-securities">https://www.blackhawkgrowth.com/press-releases/2020-06-22-sec-suspends-trading-in-blackhawk-growth-corp-securities</a>
Elijah Majak Bui	6/22/2020	USDOJ	PPP fraud	Elijah Majak Bui was charged with wire fraud and making false statements for allegedly seeking over \$13 million in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly misrepresented to the lender the number of employees he had, their payroll expenses, and that the United States was the employees' primary residence.	18 USC §§ 1014, 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	No	1:20-cr-10130-FDS	4/2020-6/2020	-	-	-	-	<a href="https://www.justice.gov/iso/iso/pr/2020/iso20-00157">https://www.justice.gov/iso/iso/pr/2020/iso20-00157</a>	<a href="https://www.usdoj.gov/epa/pr/2020/epa20-00157">https://www.usdoj.gov/epa/pr/2020/epa20-00157</a>
Katrin Gollan	6/18/2020	California AG	Price gouging	Katrin Gollan was charged with violating an Executive Order prohibiting price gouging by allegedly charging an unconscionably excessive price for KN95 personal protective masks. An investigation by the California Department of Justice allegedly found that Gollan had been purchasing individual masks for \$5 each and selling them at \$10 each.	GC § 8665	California	Los Angeles County Superior Court	Civil enforcement	Yes	No	No	No	0C00502	4/24/2020	-	-	-	-	<a href="https://www.cdpr.ca.gov/newsroom/2020/06/18/price-gouging-at-regional-discount-pharmacy-covid-19-masks">https://www.cdpr.ca.gov/newsroom/2020/06/18/price-gouging-at-regional-discount-pharmacy-covid-19-masks</a>	<a href="https://www.cdpr.ca.gov/newsroom/2020/06/18/price-gouging-at-regional-discount-pharmacy-covid-19-masks">https://www.cdpr.ca.gov/newsroom/2020/06/18/price-gouging-at-regional-discount-pharmacy-covid-19-masks</a>
BookIt Operating, LLC; Arthur Paul Finlaw	6/18/2020	Massachusetts AG	Consumer fraud	BookIt Operating, LLC and Arthur Paul Finlaw were charged with engaging in unfair and deceptive acts and practices in violation of the Massachusetts Consumer Protection Act for allegedly taking money from consumers for travel reservations despite knowing the reservations would be cancelled due to the COVID-19 pandemic, and then refusing to pay refunds.	MGL ch. 93A, § 2; 940 CMR 15.03	Massachusetts	Suffolk County Superior Court	Civil enforcement	Yes	Yes	No	No	-	3/17/2020-3/21/2020	-	-	-	-	<a href="https://www.mass.gov/news/attorney-general-announces-action-against-bookit-operating-llc-and-arthur-paul-finlaw">https://www.mass.gov/news/attorney-general-announces-action-against-bookit-operating-llc-and-arthur-paul-finlaw</a>	<a href="https://www.mass.gov/news/attorney-general-announces-action-against-bookit-operating-llc-and-arthur-paul-finlaw">https://www.mass.gov/news/attorney-general-announces-action-against-bookit-operating-llc-and-arthur-paul-finlaw</a>

Marcus Fultz (d/b/a Danielle's on 2nd); Ellen Fultz (d/b/a Danielle's on 2nd)	6/18/2020	Ohio AG	Price gouging	Marcus and Ellen Fultz were charged with violating the Ohio Consumer Sales Practices Act for allegedly hoarding hundreds of bottles of hand sanitizer during the COVID-19 pandemic and selling them online via Amazon for 11 times the retail price.	ORC §§ 1345.02, 1345.03	Ohio	Athens County Court of Common Pleas	Civil enforcement	Yes	No	No	20-CI-0110	2/2020-3/2020	-	<a href="https://www.ohioattorneygeneral.gov/Media/News-Releases/June-2020/AG-Yost-Sues-Price-Gougers-W/Inflated-Hand-Sani">https://www.ohioattorneygeneral.gov/Media/News-Releases/June-2020/AG-Yost-Sues-Price-Gougers-W/Inflated-Hand-Sani</a>	<a href="https://www.enr.com/news/2020/06/18/ohio-attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/18/ohio-attorney-general-issues-statement-on-hand-sanitizer</a>
Michael George McQuarn	6/18/2020	USDOJ	PPP fraud	Michael George McQuarn was charged with wire fraud and making false statements for allegedly seeking over \$2 million in forgivable loans guaranteed by the Small Business Administration based on fictitious companies. He allegedly caused to be submitted to the lender fraudulent applications and supporting paperwork, claiming the funds were for legitimate business purposes, when in fact the money was used for his own personal use.	15 USC § 645; 18 USC § 1343	Texas	W.D. Tex.	Criminal enforcement	Yes	No	No	120-mj-00526-AWA	4/2020-6/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn</a>	<a href="https://www.enr.com/news/2020/06/18/ohio-attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/18/ohio-attorney-general-issues-statement-on-hand-sanitizer</a>
David J. Jeansonne II; Traffic Jam Events, LLC	6/17/2020	FTC	Deceptive marketing	David J. Jeansonne II and Traffic Jam Events, LLC were charged with violating the FTC Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale.	15 USC § 45 (under 15 USC § 53)	Louisiana	E.D. La.	Civil enforcement	Yes	Yes	Yes	2:20-cv-01740-WBV-DM/3/1/2020		Case dismissed by FTC to pursue a broader administrative proceeding	<a href="https://www.ftc.gov/news-events/press-releases/2020/06/market-voice-marketers-allowed-deceptive-covid-19-stimulus-mailers-lure-consumers">https://www.ftc.gov/news-events/press-releases/2020/06/market-voice-marketers-allowed-deceptive-covid-19-stimulus-mailers-lure-consumers</a>	<a href="https://www.ftc.gov/system/press-releases/2020/06/market-voice-marketers-allowed-deceptive-covid-19-stimulus-mailers-lure-consumers">https://www.ftc.gov/system/press-releases/2020/06/market-voice-marketers-allowed-deceptive-covid-19-stimulus-mailers-lure-consumers</a>
Landmark Supply, Inc.	6/17/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Landmark Supply, Inc. after the AG received a consumer complaint alleging that a Pennsylvania pharmacy was selling hand sanitizer for unlawful prices; Landmark Supply allegedly sold 8 oz. bottles of "Purell Hand Sanitizer" to Pennsylvania pharmacies for \$7.98 and \$9.50 per bottle.	73 PS §§ 201-1 et seq.; 232.1 et seq.	Pennsylvania	Bucks County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$2,150.00 in civil penalties; \$261.09 for public protection and education purposes; \$160.51 in restitution for consumers	<a href="https://www.attorneygeneral.gov/press-releases/2020/06/17/landmark-supply-inc.-assured-voluntary-compliance">https://www.attorneygeneral.gov/press-releases/2020/06/17/landmark-supply-inc.-assured-voluntary-compliance</a>	<a href="https://www.enr.com/news/2020/06/17/attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/17/attorney-general-issues-statement-on-hand-sanitizer</a>
Crawford Technology (HK) Co., LTD.	6/17/2020	USDOJ	Consumer fraud	Crawford Technology Group (HK) Co. LTD. was charged with violating the Federal Food, Drug and Cosmetic Act for allegedly causing misbranded and substandard respirators that falsely purported to meet various filtration efficiency standards to be imported into the United States. The company allegedly manufactured and sold 140,400 adulterated and misbranded KN95 filtering face piece respirators to another company for import into the United States.	18 USC § 2; 21 USC §§ 331, 333	New Jersey	D.N.J.	Criminal enforcement	No	Yes	No	20-mj-15272	5/6/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn</a>	<a href="https://www.enr.com/news/2020/06/17/attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/17/attorney-general-issues-statement-on-hand-sanitizer</a>
Morningside Church Productions, Inc. d/b/a The Jim Bakker Show; Jim Bakker; Sherill Sellman	6/16/2020	Arkansas AG	Consumer fraud	Morningside Church Productions, Inc., Jim Bakker, and Sherill Sellman were charged with violating the Arkansas Deceptive Trade Practices Act for allegedly falsely telling Arkansas consumers that colloidal silver products would cure, eliminate, kill, or deactivate COVID-19.	AC §§ 4-88-107, 4-88-108	Arkansas	Pulaski County Circuit Court	Civil enforcement	Yes	Yes	No	60cv-20-3460	1/2020-3/2020	-	<a href="https://www.arkattorneygeneral.gov/press-releases/2020/06/16/morningside-church-productions-inc-jim-bakker-sherill-selman">https://www.arkattorneygeneral.gov/press-releases/2020/06/16/morningside-church-productions-inc-jim-bakker-sherill-selman</a>	<a href="https://www.enr.com/news/2020/06/16/arkansas-attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/16/arkansas-attorney-general-issues-statement-on-hand-sanitizer</a>
Rahul Shah	6/16/2020	USDOJ	PPP fraud	Rahul Shah was charged with bank fraud and making a false statement for allegedly seeking over \$400,000 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly caused to be submitted to the lender several different false and fraudulent IRS documents that misrepresented his company's payroll expenses.	18 USC §§ 1014, 1344	Illinois	N.D. Ill.	Criminal enforcement	Yes	No	No	120-cr-00293	4/30/2020-5/18/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn</a>	<a href="https://www.enr.com/news/2020/06/16/arkansas-attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/16/arkansas-attorney-general-issues-statement-on-hand-sanitizer</a>
Nosayamen Iyalekhue; Esogie Osawaru	6/15/2020	USDOJ	Consumer fraud	Nosayamen Iyalekhue and Esogie Osawaru were charged with wire fraud for allegedly participating in a series of romance, pandemic unemployment insurance, and other online scams designed to defraud victims by convincing them to send money to accounts controlled by the defendants. These scams allegedly included collecting unemployment insurance in the name of others during the COVID-19 pandemic.	18 USC § 1343	Massachusetts	D. Mass.	Criminal enforcement	Yes	No	No	120-mj-07137-ICB	10/2018-12/2018	(although the DOJ press release alleged that the schemes continued through the COVID-19 pandemic)	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn</a>	<a href="https://www.enr.com/news/2020/06/15/attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/15/attorney-general-issues-statement-on-hand-sanitizer</a>
Johnny T. Stine; North Coast Biologics	6/12/2020	Washington AG	Consumer fraud	Johnny T. Stine and his company North Coast Biologics were charged with violating the Washington State Consumer Protection Act for allegedly advertising a vaccine for COVID-19 and administering said product to about 30 people, most of whom were Washingtonians, without taking proper steps to evaluate its safety or effectiveness.	RCW 19.86.020	Washington	King County Superior Court	Civil enforcement	Yes	Yes	No	20-2-09935-0	3/2020-4/2020	-	<a href="https://www.wa.gov/news-releases/2020/06/12/attorney-general-issues-statement-on-hand-sanitizer">https://www.wa.gov/news-releases/2020/06/12/attorney-general-issues-statement-on-hand-sanitizer</a>	<a href="https://www.enr.com/news/2020/06/12/attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/12/attorney-general-issues-statement-on-hand-sanitizer</a>
Nelson Gomes; Michael Luckhoo-Bouche; Shane Schmidt; Douglas Roe; Kelly Warawa; FFS Capital Limited; Palfang Trading Limited; Artefactor Limited; Atlantean Management Corporation; Meadow Asia Limited; Thyme International Limited	6/11/2020	SEC	Securities fraud	Nelson Gomes, Michael Luckhoo-Bouche, Shane Schmidt, Douglas Roe, Kelly Warawa, Atlantean Management Corporation, and FFS Capital Limited were charged with securities fraud; Nelson Gomes, Douglas Roe, Kelly Warawa, Michael Luckhoo-Bouche, FFS Capital, Atlantean Management Corporation, Palfang Trading Limited, Artefactor Limited, Meadow Asia Limited, and Thyme International were charged with unregistered offerings of securities; Nelson Gomes was charged with failing to report over five percent beneficial ownership; and Nelson Gomes, Michael Luckhoo-Bouche, and FFS Capital were charged with aiding and abetting for a stock-dumping scheme that were boosted by promotional campaigns that, in some instances, included false and misleading information designed to fraudulently capitalize on the COVID-19 pandemic.	15 USC §§ 77a, 78j and 17 CFR § 240.10b-5 (Gomes, FFS Capital, Schmidt, Roe, Warawa, Luckhoo, Atlantean); 15 USC § 77e (Gomes, FFS Capital, Roe, Warawa, Luckhoo, Atlantean, Palfang, Artefactor, Meadow Asia, Thyme International); 15 USC § 78m and 17 CFR § 240.13d-1 (Gomes); 15 USC §§ 77o, 78b (Gomes, Luckhoo, FFS Capital)	Massachusetts	D. Mass.	Civil enforcement	Yes	Yes	No	120-cv-11092-FDS	1/2018-6/2020	-	<a href="https://www.sec.gov/news/press-releases/2020/06/11">https://www.sec.gov/news/press-releases/2020/06/11</a>	<a href="https://www.enr.com/news/2020/06/11/attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/11/attorney-general-issues-statement-on-hand-sanitizer</a>
Stephen Matthew Shumaker	6/11/2020	USDOJ	Consumer fraud	Stephen Matthew Shumaker was charged with mail fraud and knowingly distributing and selling a misbranded pesticidal device for allegedly soliciting thousands of Georgia residents with false claims that the "Beyond Guardian Air" air purifier kills every major viral and bacterial infection, including the COVID-19 coronavirus disease, in the home. The device was also allegedly a misbranded pesticidal device.	7 USC §§ 136j, 136i; 18 USC § 1341	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	120-mj-00457-CB	4/27/2020	-	<a href="https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn">https://www.justice.gov/usdoj-criminal-practices-division/press-releases/record-18-20-danielle-mcquarn</a>	<a href="https://www.enr.com/news/2020/06/11/attorney-general-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/11/attorney-general-issues-statement-on-hand-sanitizer</a>
Amazon.com Services LLC	6/10/2020	EPA	FFRA violation	The EPA issued a Stop Sale, Use, or Removal Order to Amazon.com Services LLC for violating the Federal Insecticide, Fungicide, and Rodenticide Act by allegedly distributing or selling, and intending to continue distributing or selling, unregistered pesticides and misbranded pesticides, including some with claims for use against SARS-CoV-2, the virus that causes COVID-19. Amazon.com had 30 days to account for these products.	7 USC § 136j (under 7 USC § 136k)	Washington	-	Civil enforcement	No	Yes	No	-	12/2019-6/2020	-	<a href="https://www.epa.gov/pesticides/stop-sale-use-removal-order-amazon-com-services-llc">https://www.epa.gov/pesticides/stop-sale-use-removal-order-amazon-com-services-llc</a>	<a href="https://www.enr.com/news/2020/06/10/epa-issues-statement-on-hand-sanitizer">https://www.enr.com/news/2020/06/10/epa-issues-statement-on-hand-sanitizer</a>





Muge Ma (aka "Hummer Mars")	5/21/2020	USDOJ	PPP fraud	Muge Ma was charged with bank fraud, wire fraud, fraud against the United States, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Ma allegedly represented that he owned two companies with hundreds of employees and millions in wages, when in fact Ma was the only employee of these companies.	15 USC § 645; 18 USC §§ 1001, 1014, 1031, 1343, 1344	New York	S.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-0520-UA	3/20/20-5/15/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/national-arrêté-release/13720-am/lon-scheme-fraudulent-ppp-in-loans-intended-help">https://www.usdoj.gov/opa/pr/2020/05/national-arrêté-release/13720-am/lon-scheme-fraudulent-ppp-in-loans-intended-help</a>	
WOD Retail Solutions Inc. ("WOD Retail")	5/20/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of WOD Retail Solutions Inc. because of questions regarding the accuracy and adequacy of information in the marketplace. WOD Retail allegedly claimed that its automated kiosks for selling retail items in gyms would generate additional revenue as a result of the COVID-19 pandemic, when in fact the company had earned no revenue in 2018 or 2019, and text and email promotions from an unknown source claimed WOD Retail would expand business to take advantage of the need for its products during the COVID-19 pandemic, despite WOD Retail's apparent lack of business activity and revenue.	15 USC § 78f	United States	-	Suspension of trading	No	Yes	No	Release No. 88916	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/sec%20encl%202013-88916.pdf">https://www.sec.gov/litigation/sec%20encl%202013-88916.pdf</a>	<a href="#">Chuk assessment</a>
Samuel Morgan Yates	5/19/2020	USDOJ	PPP Fraud	Samuel Yates was charged with wire fraud, bank fraud, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely claimed that he had over 400 employees earning wages, when in fact he had no employees working for the purported business.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	5:20-mj-00015-CMC	4/14/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/sas-man-charged-5-million-covid-relief-fraud">https://www.usdoj.gov/opa/pr/2020/05/sas-man-charged-5-million-covid-relief-fraud</a>	
BioMed Nutritional Center-Providence Parkway, LLC	5/15/2020	Michigan AG	Price gouging	The Michigan Attorney General entered into an assurance of voluntary compliance with BioMed Nutritional Center-Providence Parkway, LLC after the company allegedly violated the Michigan Consumer Protection Act by selling hand sanitizer, gloves, and masks at grossly inflated prices.	MCL § 445.901	Michigan	-	Assurance of voluntary compliance	No	Yes	No	20-39-CP	3/1/2020-5/6/2020	\$2,000 fine	-	-
Ashley Hoobler Parris	5/15/2020	USDOJ	Health care fraud	Ashley Hoobler Parris was charged with conspiring to violate the Anti-Kickback Statute and referring to commit health care fraud for allegedly soliciting and receiving illegal kickback payments from the owners and operators of a diagnostic testing laboratory in exchange for enrolling Medicare beneficiaries to this laboratory. Her scheme later involved paid kickbacks on a per-test basis for COVID-19 tests, provided that those tests were bundled with other more expensive tests.	18 USC §§ 371, 1349	Florida	M.D. Fla.	Criminal enforcement	Yes	No	No	8:20-mj-01475-T-SPF	10/18/2018-3/30/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/woman-arrested-6866/download">https://www.usdoj.gov/opa/pr/2020/05/woman-arrested-6866/download</a>	
Applied Biosciences Corp.	5/14/2020	SEC	Securities fraud	Applied Biosciences Corp. was charged with securities fraud for allegedly issuing a March 2020 press release that it had begun offering and shipping supposed finger-prick COVID-19 tests to the general public that could be used for "Homes, Schools, Hospitals, Law Enforcement, Military, Public Servants or anyone wanting immediate and private results," when in fact the tests were not intended for home use by the general public and could only be administered in consultation with a medical professional. Moreover, the company allegedly had not shipped any COVID-19 tests by March 2020, and its tests were not authorized by the FDA.	15 USC § 78j; 17 CFR § 240.10b-5	New York	S.D.N.Y.	Civil enforcement	No	Yes	Yes	1:20-cv-03729	3/25/2020-3/31/2020	-	<a href="https://www.sec.gov/litigation/complaints/2020/complaints2020-04819.htm">https://www.sec.gov/litigation/complaints/2020/complaints2020-04819.htm</a>	<a href="https://www.sec.gov/litigation/complaints/2020/complaints2020-04819.htm">https://www.sec.gov/litigation/complaints/2020/complaints2020-04819.htm</a>
Turbo Global Partners, Inc.; Robert W. Singerman	5/14/2020	SEC	Securities fraud	Turbo Global Partners, Inc. and its CEO Robert W. Singerman were charged with securities fraud for allegedly issuing press releases that the company could sell products that could assist in detecting individuals infected with the COVID-19 virus, when in fact the products could not, and Singerman drafted the press releases knowing they were false.	15 USC § 78j; 17 CFR § 240.10b-5	Florida	M.D. Fla.	Civil enforcement	Yes	Yes	Yes	8:20-cv-01120	2/20/20-3/30/2020	-	<a href="https://www.sec.gov/litigation/complaints/2020/complaints2020-0820.htm">https://www.sec.gov/litigation/complaints/2020/complaints2020-0820.htm</a>	<a href="https://www.sec.gov/litigation/complaints/2020/complaints2020-0820.htm">https://www.sec.gov/litigation/complaints/2020/complaints2020-0820.htm</a>
XephyLLC d/b/a N-Ergetics; Brad Brand; Derill J. Fussell; Linda Fussell	5/14/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Xephyr LLC d/b/a N-Ergetics and its owners Brad Brand, Derill J. Fussell, and Linda Fussell from selling colloidal silver, which they promoted as a treatment and cure for COVID-19, despite it not being approved by the FDA for that purpose or being recognized by qualified experts as safe and effective.	21 USC § 333 (under 21 USC § 332)	Oklahoma	E.D. Okla.	Civil enforcement	Yes	Yes	No	6:20-cv-00140-RAW	3/17/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/oklahoma-company-stop-selling-colloidal-silver-treatment-covid-19">https://www.usdoj.gov/opa/pr/2020/05/oklahoma-company-stop-selling-colloidal-silver-treatment-covid-19</a>	<a href="https://www.usdoj.gov/opa/pr/2020/05/oklahoma-company-stop-selling-colloidal-silver-treatment-covid-19">https://www.usdoj.gov/opa/pr/2020/05/oklahoma-company-stop-selling-colloidal-silver-treatment-covid-19</a>
Knature Co., Inc. d/b/a Insan Healing; Angela Oh	5/13/2020	Los Angeles City Attorney	Consumer fraud	The LA City Attorney has reached a settlement with Knature Co. Inc., d/b/a Insan Healing, and its CEO Angela Oh in connection with the company's misleading claims that its Radish Paste product could prevent or protect against COVID-19. Pursuant to the settlement, defendants must make restitution and pay a civil penalty, in addition to being enjoined from making further misleading claims about their products.	California Business and Professions Code § 17200 et seq. (Unfair Competition Law); Business and Professions Code § 17500 et seq. (False Advertising Law)	California	Superior Court of the State of California, County of Los Angeles, Central District	Civil complaint	Yes	Yes	No	Case No. 20STCV18300	3/17/2020-5/14/2020	Restitution and \$20,000 civil penalty; defendants permanently enjoined from making misleading claims about Radish Paste	<a href="https://www.lacourt.org/files/docstoc/2020/05/20200514%20Final%20Settlement%20Agreement.pdf">https://www.lacourt.org/files/docstoc/2020/05/20200514%20Final%20Settlement%20Agreement.pdf</a>	<a href="https://www.lacourt.org/files/docstoc/2020/05/20200514%20Final%20Settlement%20Agreement.pdf">https://www.lacourt.org/files/docstoc/2020/05/20200514%20Final%20Settlement%20Agreement.pdf</a>
Maurice Fayne (aka "Arkansas Mo")	5/13/2020	USDOJ	PPP fraud	Maurice Fayne was charged with bank fraud for allegedly seeking millions in forgivable loans guaranteed by the Small Business Administration that he certified he would use to retain workers and cover business expenses. But Fayne allegedly used over \$1.5 million of the loan proceeds for personal expenses, including jewelry and child support.	18 USC § 1344	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00370-ISA	4/28/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/sas-man-charged-5-million-covid-relief-fraud">https://www.usdoj.gov/opa/pr/2020/05/sas-man-charged-5-million-covid-relief-fraud</a>	
Shashank Shekhar Rai	5/13/2020	USDOJ	PPP Fraud	Shashank Rai was charged with wire fraud, bank fraud, and making false statements for allegedly seeking millions of dollars in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. He allegedly falsely claimed that he had 250 employees earning wages, when in fact he had no employees working for the purported business.	15 USC §§ 645; 18 USC §§ 1014, 1343, 1344	Texas	E.D. Tex.	Criminal enforcement	Yes	No	No	1:20-mj-00095-ZJH	4/8/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/raim-complaint">https://www.usdoj.gov/opa/pr/2020/05/raim-complaint</a>	
Matthew Owens	5/11/2020	USDOJ	Consumer fraud	Matthew Owens was charged with smuggling and the receipt and delivery of misbranded drugs for allegedly purchasing, importing, and offering for sale chloroquine imported from China.	18 USC § 545; 21 USC § 331	Oregon	D. Or.	Criminal enforcement	Yes	No	No	3:20-mj-00107	3/20/20-4/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/05/sas-man-charged-smuggling-and-importing-misbranded-chloroquine-china-attempted">https://www.usdoj.gov/opa/pr/2020/05/sas-man-charged-smuggling-and-importing-misbranded-chloroquine-china-attempted</a>	
Apna Bazaar LLC (d/b/a Apna Bazar); Rajvinder Singh	5/7/2020	California AG	Price gouging	Apna Bazaar LLC and Rajvinder Singh were charged with misdemeanor price gouging for allegedly increasing the prices of essential food items over the 10 percent threshold under California's price gouging law, including yellow onions, ginger, green beans, instant noodles, tea, chili peppers, pomegranates and red yams.	PC § 396	California	Alameda County Superior Court	Criminal enforcement	Yes	Yes	No	20-cr-00676AA	-	-	<a href="https://oag.ca.gov/news/press-releases/attorney-general-beatty-press-and-alameda-county-district-attorney-announces-law-enforcement-action-covid-19-ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging">https://oag.ca.gov/news/press-releases/attorney-general-beatty-press-and-alameda-county-district-attorney-announces-law-enforcement-action-covid-19-ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging</a>	
Tej, Inc. (d/b/a Convenience Smoke Shop and d/b/a Fresh & Easy Convenience Store)	5/7/2020	Pennsylvania AG	Price gouging	The Pennsylvania Attorney General entered into an assurance of voluntary compliance with Tej, Inc. after the AG received a tip alleging that the store was selling Lysol cleaning wipes for unreasonably high prices; the business allegedly sold Lysol wipes for \$9.99 and \$14.99, depending on the size.	73 PS §§ 201-1 et seq., 232.1 et seq.	Pennsylvania	Delaware County Court of Common Pleas	Assurance of voluntary compliance	No	Yes	No	-	-	\$600.00 in civil penalties; \$35.25 for public protection and education purposes; \$119.88 in restitution for consumers	<a href="https://www.attg.com/central-gov-taking-action-covid-19-ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging">https://www.attg.com/central-gov-taking-action-covid-19-ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging</a>	<a href="https://www.attg.com/central-gov-taking-action-covid-19-ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging">https://www.attg.com/central-gov-taking-action-covid-19-ag-shapiro-settles-with-delaware-county-convenience-store-for-price-gouging</a>

Shawn Parcels	5/5/2020	Kansas AG	Consumer fraud	The Kansas AG sought to enjoin Shawn Parcels from offering consulting services for coronavirus and COVID-19, which allegedly amounted to deceptive or unconscionable misrepresentations in violation of the Kansas Consumer Protection Act. Parcels was allegedly offering to enter homes and businesses, perform swabs for purported coronavirus testing and examine deceased persons to determine if they were positive for COVID-19.	15 USC § 788	Kansas	Shawnee County District Court	Civil enforcement	Yes	No	No	2019-cv-000233	-	-	<a href="https://se.ks.gov/media/176644/20200505%20-%20Shawn%20Parcels%20-%20Amended%20Motion%20-%20Temporary%20Restriction%20-%20Banned%20from%20Offering%20Services%20-%20Related%20to%20COVID-19.pdf">https://se.ks.gov/media/176644/20200505%20-%20Shawn%20Parcels%20-%20Amended%20Motion%20-%20Temporary%20Restriction%20-%20Banned%20from%20Offering%20Services%20-%20Related%20to%20COVID-19.pdf</a>	<a href="https://se.ks.gov/media/176644/20200505%20-%20Shawn%20Parcels%20-%20Amended%20Motion%20-%20Temporary%20Restriction%20-%20Banned%20from%20Offering%20Services%20-%20Related%20to%20COVID-19.pdf">https://se.ks.gov/media/176644/20200505%20-%20Shawn%20Parcels%20-%20Amended%20Motion%20-%20Temporary%20Restriction%20-%20Banned%20from%20Offering%20Services%20-%20Related%20to%20COVID-19.pdf</a>
Custom Protection Services, Inc. ("CSPS")	5/5/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Custom Protection Services Inc. ("CSPS") because of questions regarding the accuracy and adequacy of information in the marketplace about CSPS and its securities since at least March 2020. CSPS allegedly issued potentially inaccurate press releases about its development of frontline screening solutions for COVID-19, the overwhelming response it received, and that it would earn \$10,000 each day in gross revenue from new contracts.	15 USC § 788	United States	-	Suspension of trading	No	Yes	No	Release No. 88815	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88815.pdf">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88815.pdf</a>	-
David Butziger	5/5/2020	USDOJ	PPP fraud	David Butziger was charged with conspiring to make a false statement, conspiring to commit bank fraud, and bank fraud, for allegedly seeking \$105,381 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Butziger, after discussing submitting fraudulent loans applications with his alleged co-conspirator David Staveley, claimed on his loan application to have seven full-time employees on his payroll, when there was no record of such.	18 USC §§ 371, 1344, 1349	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00033-LDA	4/6/2020-4/27/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/may/complaints-04-20-00033-litigation-stimulus-fraud">https://www.usdoj.gov/opa/pr/2020/may/complaints-04-20-00033-litigation-stimulus-fraud</a>	-
David Adler Staveley (aka "Kurt David Sanborn" and "David Sanborn")	5/5/2020	USDOJ	PPP fraud	David Staveley was charged with conspiring to make a false statement, conspiring to commit bank fraud, and aggravated identity theft for allegedly seeking \$438,300 in forgivable loans guaranteed by the Small Business Administration based on fictitious payroll expenses. Staveley, after discussing submitting fraudulent loans applications with his alleged co-conspirator David Butziger, claimed on his loan application to have dozens of employees earning wages at three restaurants he owned, when in fact two of the restaurants had no employees and Staveley did not own the third restaurant.	18 USC §§ 371, 1028A, 1349	Rhode Island	D.R.I.	Criminal enforcement	Yes	No	No	1:20-mj-00034-LDA	4/6/2020-4/27/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/may/complaints-04-20-00034-litigation-stimulus-fraud">https://www.usdoj.gov/opa/pr/2020/may/complaints-04-20-00034-litigation-stimulus-fraud</a>	<a href="https://www.usdoj.gov/opa/pr/2020/may/complaints-04-20-00034-litigation-stimulus-fraud">https://www.usdoj.gov/opa/pr/2020/may/complaints-04-20-00034-litigation-stimulus-fraud</a>
CNS Pharmaceuticals Inc. ("CNSP")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of CNS Pharmaceuticals, Inc. ("CNSP") because of questions regarding the accuracy and adequacy of information in the marketplace about CNSP and its securities since at least March 2020. CNSP and others allegedly issued press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 788	United States	-	Suspension of trading	No	Yes	No	Release No. 88802	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88802.pdf">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88802.pdf</a>	-
Moleculin Biotech, Inc. ("MBRX")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Moleculin Biotech, Inc. ("MBRX") because of questions regarding the accuracy and adequacy of information in the marketplace about MBRX and its securities since at least March 2020. MBRX and others issued potentially inaccurate press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 788	United States	-	Suspension of trading	No	Yes	No	Release No. 88801	3/19/2020-4/16/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88801.pdf">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88801.pdf</a>	-
WPD Pharmaceuticals, Inc. ("WCOTF")	5/1/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of WPD Pharmaceuticals, Inc. ("WCOTF") because of questions regarding the accuracy and adequacy of information in the marketplace about WCOTF and its securities since at least March 2020. WCOTF and others issued potentially inaccurate press releases about their development of a drug candidate, the status of testing that drug on COVID-19, and their ability to expedite regulatory approval of any such treatment.	15 USC § 788	United States	-	Suspension of trading	No	Yes	No	Release No. 88800	3/29/2020-4/13/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88800.pdf">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88800.pdf</a>	-
Nano Magic Inc. ("NMGX")	4/30/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Nano Magic, Inc. ("NMGX") because of questions regarding the accuracy and adequacy of information in the marketplace about NMGX since at least February 2020. The information included claims that the company had a patent for a disinfectant that kills "coronavirus," and a statement made by the company in April regarding its involvement in the fight against COVID-19.	15 USC § 788	United States	-	Suspension of trading	No	Yes	No	Release No. 88789	2/24/2020-4/7/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88789.pdf">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-88789.pdf</a>	-
My Doctor Suggests LLC (d/b/a My Health Supplier); GP Silver LLC, Gordon Pedersen	4/29/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Gordon Pedersen and his companies My Doctor Suggests LLC (d/b/a My Health Supplier and GP Silver LLC from ongoing wire fraud and mail fraud for allegedly fraudulently promoting and selling various silver products for the treatment and prevention of COVID-19.	18 USC §§ 1341, 1343 (under 18 USC § 1344)	Utah	D. Utah	Civil enforcement	Yes	Yes	No	2:20-cv-00279-DBB	1/30/2020-4/23/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/april/complaint-ur-orders-halt-sale-silver-products-fraudulently-sold-covid-19-surf">https://www.usdoj.gov/opa/pr/2020/april/complaint-ur-orders-halt-sale-silver-products-fraudulently-sold-covid-19-surf</a>	-
Marc Ching (d/b/a Whole Leaf Organics)	4/28/2020	FTC	Consumer fraud	Marc Ching, doing business as Whole Leaf Organics, was charged with violating the FTC Act for allegedly making false or unsubstantiated COVID-19 claims in connection with the advertising, promotion, offering for sale, or sale of Thrive, an ingestible product consisting of Vitamin C and herbal extracts. In particular, Ching allegedly claimed that Thrive treats, prevents or reduces the risk of COVID19.	15 USC §§ 45, 52	-	-	Administrative enforcement	Yes	No	No	Docket no. 9394	-	-	<a href="https://www.ftc.gov/news-events/press-releases/2020/04/ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him">https://www.ftc.gov/news-events/press-releases/2020/04/ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him</a>	<a href="https://www.ftc.gov/system/files/documents/press-releases/2020/04/garys/20200424-ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him">https://www.ftc.gov/system/files/documents/press-releases/2020/04/garys/20200424-ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him</a>
Marc Ching (d/b/a Whole Leaf Organics)	4/28/2020	FTC	Consumer fraud	The FTC sought to enjoin Marc Ching, doing business as Whole Leaf Organics, from ongoing violations of the FTC Act for allegedly selling a supplement consisting mainly of Vitamin C and herbal extracts that he claimed was effective at treating, preventing, or reducing the risk of COVID-19. In fact there was no proof that any product would treat and prevent COVID-19.	15 USC §§ 45, 52 (under 15 USC § 53)	California	C.D. Cal.	Civil enforcement	Yes	No	Yes	2:20-cv-03775-JAK-MAA	-	-	<a href="https://www.ftc.gov/news-events/press-releases/2020/04/ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him">https://www.ftc.gov/news-events/press-releases/2020/04/ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him</a>	<a href="https://www.ftc.gov/system/files/documents/press-releases/2020/04/garys/20200424-ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him">https://www.ftc.gov/system/files/documents/press-releases/2020/04/garys/20200424-ftc-issues-supplemental-101-complaint-market-agrees-101-preliminary-order-barring-him</a>
Praxsyn Corporation; Frank J. Brady	4/28/2020	SEC	Securities fraud	Praxsyn Corp. and its CEO Frank Brady were charged with securities fraud for allegedly issuing false and misleading press releases claiming that the company was able to acquire and supply large quantities of N95 or similar masks to protect wearers from the COVID-19 virus, when in fact Praxsyn never had any masks in its possession, nor any orders for masks, nor any contract with a manufacturer or supplier to obtain masks.	15 USC § 78j; 17 CFR § 240.10b-5	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	Yes	9:20-cv-80706-RAR	2/27/2020-3/4/2020	-	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-8907.htm">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-8907.htm</a>	<a href="https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-8907.htm">https://www.sec.gov/litigation/litpersions/2020/litpersions2020034-8907.htm</a>
Rodney Lewis Stevenson, II	4/28/2020	USDOJ	Consumer fraud	Rodney Stevenson II was charged with wire fraud for allegedly operating an e-commerce web site that scammed customers into paying for N95 masks that he never received.	18 USC §§ 1343, 2	California	N.D. Cal.	Criminal enforcement	Yes	No	No	3:20-mj-70483-AGT	2/28/2020-4/15/2020	-	<a href="https://www.usdoj.gov/opa/pr/2020/april/press-man-charged-covid-19-related-wire-fraud-scheme">https://www.usdoj.gov/opa/pr/2020/april/press-man-charged-covid-19-related-wire-fraud-scheme</a>	<a href="https://www.usdoj.gov/opa/pr/2020/april/press-man-charged-covid-19-related-wire-fraud-scheme">https://www.usdoj.gov/opa/pr/2020/april/press-man-charged-covid-19-related-wire-fraud-scheme</a>
Charles Mok	4/28/2020	USDOJ	Health care fraud	Charles Mok was charged with health care fraud and conspiring to commit health care fraud for allegedly submitting false and fraudulent claims to Medicare, including claims for high-dose intravenous vitamin C infusions to patients at risk of contracting COVID-19, while failing to observe protocols to isolate patients who tested positive for COVID-19.	18 USC §§ 1347, 1349	Michigan	E.D. Mich.	Criminal enforcement	Yes	No	No	2:20-mj-30163-DUTY	1/1/2018-4/24/2020	-	<a href="https://www.usdoj.gov/usao-edmi/pr/maxcomb-county-district-charged-health-care-fraud-scheme">https://www.usdoj.gov/usao-edmi/pr/maxcomb-county-district-charged-health-care-fraud-scheme</a>	<a href="https://www.usdoj.gov/usao-edmi/pr/maxcomb-county-district-charged-health-care-fraud-scheme">https://www.usdoj.gov/usao-edmi/pr/maxcomb-county-district-charged-health-care-fraud-scheme</a>
Donald Allen; Manuel Revolorio	4/27/2020	USDOJ	Consumer fraud	Donald Allen and Manuel Revolorio were charged with conspiring to commit wire fraud for allegedly seeking more than \$4 million from a purported purchaser of personal protective equipment, even though the two did not own nor had authorization to sell such equipment.	18 USC § 1349	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	1:20-mj-00318-RJM	3/2020-4/2020	-	<a href="https://www.usdoj.gov/usao-edny/pr/maxcomb-county-district-charged-health-care-fraud-scheme">https://www.usdoj.gov/usao-edny/pr/maxcomb-county-district-charged-health-care-fraud-scheme</a>	<a href="https://www.usdoj.gov/usao-edny/pr/maxcomb-county-district-charged-health-care-fraud-scheme">https://www.usdoj.gov/usao-edny/pr/maxcomb-county-district-charged-health-care-fraud-scheme</a>

Michael Evan Noteboom	4/26/2020	Iowa AG	Price gouging	Michael Evan Noteboom was sued by the Iowa Attorney General for allegedly charging excessive prices on more than 250 items on eBay, including toilet paper, paper towels and disinfecting and sanitizing products. Further investigation allegedly showed that from March 2 through March 27, the seller had sold 253 items needed by COVID-19 disaster victims on eBay to consumers in California, Illinois, New York, Michigan, Texas and several states. The highest price item was a 12-count package of Bounty paper towels for \$119.99.	18 USC § 1343 (under 18 USC § 1345)	Iowa	Sioux County District Court	Civil enforcement	Yes	No	No	Equity No. 028533	-	-	-	<a href="https://www.iowa.gov/newsroom/2020/04/26/price-gouging-lawsuit">https://www.iowa.gov/newsroom/2020/04/26/price-gouging-lawsuit</a>
Purity Health and Wellness Centers, Inc.; Jean Juanita Allen	4/24/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Purity Health and Wellness Centers, Inc. and one of its principals, Jean Juanita Allen, from ongoing wire fraud for allegedly claiming that one of Purity Health's 1345 treatments was 95 percent effective even for someone who had tested positive for COVID-19, and that their treatment was the only prevention for the disease, as well as other diseases including cancer, SARS, and Ebola.	18 USC § 1343 (under 18 USC § 1345)	Texas	N.D. Tex.	Civil enforcement	Yes	Yes	No	3:20-cv-00985-L	4/13/20-4/21/20	Permanent injunction	-	<a href="https://www.justice.gov/iso/opa/pr/2020/04/20200413doj-announces-charge-purity-health-wellness-centers-jean-juanita-allen">https://www.justice.gov/iso/opa/pr/2020/04/20200413doj-announces-charge-purity-health-wellness-centers-jean-juanita-allen</a>
Amardeep Singh (aka "Bobby Singh" and "Bobby Sidana")	4/24/2020	USDOJ	Price gouging	Amardeep Singh was charged with violating the Defense Production Act for allegedly hoarding personal protective equipment during the COVID-19 pandemic, and selling them at inflated prices.	50 USC §§ 4512, 4513	New York	E.D.N.Y.	Criminal enforcement	Yes	No	No	2:20-mj-00326-SL	3/25/2020-4/8/2020	Under the terms of his Deferred Prosecution Agreement with the Government, Singh will donate PPE valued at more than \$450,000 to hospitals, health care providers, first responders and other essential workers involved with addressing the needs of those affected by the pandemic and working to prevent the spread of COVID-19.	-	<a href="https://www.justice.gov/iso/opa/pr/2020/04/20200424doj-announces-charge-singh">https://www.justice.gov/iso/opa/pr/2020/04/20200424doj-announces-charge-singh</a>
David J. Jeansonne II; Traffic Jam Events, LLC	4/23/2020	Florida Attorney General	Deceptive marketing	David J. Jeansonne II and Traffic Jam Events, LLC were charged with violating the Florida Deceptive and Unfair Trade Practices Act for allegedly deceiving consumers with mailers that supposedly explained how to obtain federal COVID-19 stimulus benefits, but actually lured the recipients to a used car sale.	FS § 501.204	Florida	Hillsborough County Circuit Court	Civil enforcement	Yes	Yes	No	106596835	3/2020-4/2020	-	-	<a href="http://www.myfloridacould.com/news-releases/1496136-5571274348258-652055-908170-0">http://www.myfloridacould.com/news-releases/1496136-5571274348258-652055-908170-0</a>
Red Star Trader; Peter Lamm; Caroline Lamm	4/23/2020	Minnesota AG	Price gouging	Red Star Trader, Peter Lamm, and Caroline Lamm entered into an assurance of discontinuance with the Minnesota AG after allegedly reselling the products it had already purchased at prices that were significantly higher than the prices they had previously charged. For example, prior to the COVID-19 crisis, Red Star Trader allegedly sold 100-packs of sterile alcohol prep pads for \$4.80, but began selling them after the start of the COVID-19 crisis for \$7.90 each, plus shipping. Red Star Trader also allegedly sold food items, like rice, which it had purchased from local stores for around \$30, at the price of \$120, including shipping. Red Star Trader's inventory of excessively-priced items allegedly included food, health care goods, pharmaceuticals, medical supplies, and personal hygiene, sanitation, and cleaning goods.	Executive Order 20-10	Minnesota	Ramsey County District Court	Assurance of discontinuance	Yes	Yes	No	-	-	-	-	<a href="https://www.ag.state.mn.us/Office/Communications/Esc/Communications/2020/04/23_RedStarTraderAssuranceOfDiscontinuance.pdf">https://www.ag.state.mn.us/Office/Communications/Esc/Communications/2020/04/23_RedStarTraderAssuranceOfDiscontinuance.pdf</a>
Predictive Technology Group, Inc. ("PRED")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Predictive Technology Group, Inc. ("PRED") because of questions regarding the accuracy and adequacy of information in the marketplace about PRED since at least March 2020. The company allegedly issued press releases claiming that it could immediately distribute large quantities of serology tests to detect the presence of COVID-19 antibodies.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88719	3/25/2020-4/8/2020	Temporary suspended trading	-	<a href="https://www.sec.gov/litigation/luspermons/2020/3-4-88719.pdf">https://www.sec.gov/litigation/luspermons/2020/3-4-88719.pdf</a>
SCWorx Corp. ("SCWorx")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of SCWorx Corp. because of questions regarding the accuracy and adequacy of information in the marketplace about SCWorx, including press releases and public statements since April 2020 about its agreement to sell COVID-19 tests, and the company's current Form 8-K concerning the same.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88712	4/13/2020-4/16/2020	Temporary suspended trading	-	<a href="https://www.sec.gov/litigation/luspermons/2020/3-4-88712.pdf">https://www.sec.gov/litigation/luspermons/2020/3-4-88712.pdf</a>
SpectrumDNA, Inc. ("SPXA")	4/21/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of SpectrumDNA, Inc. ("SPXA") because of questions regarding the accuracy and adequacy of information in the marketplace about SPXA, including its financial condition and operations, given that the company has not made a public disclosure since 2015 and has a name that was similar to a private company manufacturing saliva collection devices as part of the response to the COVID-19 pandemic.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88713	-	Temporary suspended trading	-	<a href="https://www.sec.gov/litigation/luspermons/2020/3-4-88713.pdf">https://www.sec.gov/litigation/luspermons/2020/3-4-88713.pdf</a>
Matthew Colvin; Noah Colvin	4/21/2020	Tennessee AG	Price gouging	The Tennessee Attorney General entered into an assurance of voluntary compliance with Matthew and Noah Colvin after learning about alleged price gouging involving their emergency and medical supplies.	TCA §§ 47-18-101-131	Tennessee	Davidson County Chancery Court	Assurance of voluntary compliance	Yes	No	No	-	3/2020	Respondents donated or surrendered all Emergency Supplies and/or Medical Supplies in their possession, custody, or control to a non-profit organization; they also voluntarily waived any interest in the aforementioned supplies and agreed to the distribution of those supplies to officials in Kentucky.	-	<a href="https://www.tn.gov/attorneygeneral/all-news/2020/04/21/pr20-13.html">https://www.tn.gov/attorneygeneral/all-news/2020/04/21/pr20-13.html</a>
Ponte Investments, LLC (d/b/a SBA Loan Program and SBA Loan Program.com); John C. Ponte	4/17/2020	FTC	Consumer fraud	Ponte Investments, LLC (d/b/a SBA Loan Program and SBA Loan Program.com) and its owner John C. Ponte were charged with violating the FTC Act for allegedly falsely claiming an affiliation with the U.S. Small Business Administration (SBA) and marketing themselves to small businesses as an approved lender under SBA's Paycheck Protection Program (PPP).	15 USC § 45	Rhode Island	D.R.I.	Civil enforcement	Yes	Yes	No	-	-	-	-	<a href="https://www.ftc.gov/news-events/press-releases/2020/04/ftc-takes-action-against-stop-company-poning-sba-lender-over-small-business">https://www.ftc.gov/news-events/press-releases/2020/04/ftc-takes-action-against-stop-company-poning-sba-lender-over-small-business</a>
Kenzley Ramos	4/17/2020	Texas State Securities Board	Commodities fraud	The Texas Securities Commissioner entered an emergency action to stop Kenzley Ramos from allegedly soliciting Texas residents with the claim that they could "profit off the coronavirus with fore-".	Tex. Rev. Civ. Stat. Ann. Arts. 581-1-581-45	Texas	-	Civil enforcement	Yes	No	No	ENF-20-CDO-1803	-	-	-	<a href="https://www.ssb.state.tx.us/publications/orders-4/default/files/c-notice-ENF_20_CDO_1803.pdf">https://www.ssb.state.tx.us/publications/orders-4/default/files/c-notice-ENF_20_CDO_1803.pdf</a>
Genesis II Church Of Health And Healing; Mark Grenon; Joseph Grenon; Jordan Grenon; Jonathan Grenon	4/17/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Genesis II Church of Health and Healing and its principals Mark Grenon, Joseph Grenon, Jordan Grenon, and Jonathan Grenon from selling unapproved new drugs and misbranded drugs in interstate commerce. The defendants had allegedly been selling a product that they claimed would cure, mitigate, treat, or prevent coronavirus, including COVID-19, as well as other diseases. The defendants allegedly continued to sell their product even after they were jointly warned by the FDA and FTC.	21 USC § 333i (under 21 USC § 332)	Florida	S.D. Fla.	Civil enforcement	Yes	Yes	No	1:20-cv-21601-KMW	3/27/2020-4/9/2020	-	-	<a href="https://www.justice.gov/iso/opa/pr/2020/04/20200417doj-announces-charge-genesis-ii-church-of-health-and-healing">https://www.justice.gov/iso/opa/pr/2020/04/20200417doj-announces-charge-genesis-ii-church-of-health-and-healing</a>

Dr. Ray L. Nannis P.C. d/b/a Optimum Wellness Solutions; Dr. Ray L. Nannis	4/17/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin Dr. Ray Nannis, P.C. d/b/a Optimum Wellness Solutions and Dr. Ray Nannis from ongoing wire fraud for allegedly selling homeopathy that Dr. Nannis claimed provided up to 90 percent protection from COVID-19 and was a cure for COVID-19 for all intents and purposes.	18 USC § 1343 (under 18 USC § 1345)	Texas	N.D. Tex.	Civil enforcement	Yes	No	No	3:20-cv-00940-B	3/19/2020-4/14/2020	-	-	<a href="https://www.usdoj.gov/isaac-nfmr/fraud-attorney-office-file-enforcement-action-against-chirocenter-promoting-fake-covid">https://www.usdoj.gov/isaac-nfmr/fraud-attorney-office-file-enforcement-action-against-chirocenter-promoting-fake-covid</a>	-	-	
PreCheck Health Services, Inc. ("HLTY")	4/16/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of PreCheck Health Services, Inc. ("HLTY") because of questions regarding the accuracy and adequacy of information in the marketplace about HLTY, including press releases and statements in March 2020 about HLTY's orders of COVID-19 tests for overseas customers.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88675	3/11/2020-3/18/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88675.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88675.pdf</a>	-	-
Jennings Ryan Staley	4/16/2020	USDOJ	Consumer fraud	Dr. Jennings Ryan Staley was charged with mail fraud in connection with the allegedly safe of what he described as a "100%" cure for COVID-19 that he said would render customers immune to the virus for at least six weeks.	18 USC § 1341	California	S.D. Cal.	Criminal enforcement	Yes	No	No	3:20-cr-01227-GPC	-	-	-	-	<a href="https://www.usdoj.gov/isaac-sdca/fr/carmel-valley-chirocenter-charged-fraud-selling-allegedly-covid-19-miracle-cure">https://www.usdoj.gov/isaac-sdca/fr/carmel-valley-chirocenter-charged-fraud-selling-allegedly-covid-19-miracle-cure</a>	-	-
BioXyTran, Inc. ("BIXT")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of BioXyTran, Inc. ("BIXT") because of questions regarding the accuracy and adequacy of information in the marketplace about BIXT common stock, including press releases, blog posts, and a podcast between February and March 2020 about the company's ability to develop a drug to mitigate or treat COVID-19, as well as concerns regarding possible stock manipulation between January and February 2020.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88656	1/21/2020-3/25/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88656.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88656.pdf</a>	-	-
Bravatek Solutions, Inc. ("BVTX")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Bravatek Solutions, Inc. ("BVTX") because of questions regarding the accuracy and adequacy of information in the marketplace about BVTX, including press releases and social media posts since at least February 2020 about its business partner's disinfectant for COVID-19, its work to register the disinfectant with the EPA, and a purported \$150 million order.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88657	2/28/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88657.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88657.pdf</a>	-	-
Signpath Pharma, Inc. ("SGTH")	4/15/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Signpath Pharma, Inc. ("SGTH") because of questions regarding the accuracy and adequacy of information in the marketplace about SGTH, including a public claim made by the company in March 2020 regarding the development of a COVID-19 treatment.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88655	3/17/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88655.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88655.pdf</a>	-	-
Mario F. Salazar; John Does 1 through 100	4/14/2020	Ohio AG	Price gouging	Mario F. Salazar and unnamed defendants were charged with violating Ohio's Valentine Act and Consumer Sales Practices Act for allegedly hoarding N95 respirator masks and selling them online via eBay for nearly 18 times their retail price.	ORC §§ 1331.01, 1331.03, 1331.04, 1331.06, 1345.02, 1345.03	Ohio	Franklin County	Civil enforcement	Yes	No	No	-	3/2020-6/2020	-	-	-	<a href="https://www.ohioattorneygeneral.gov/Media/News-Releases/News-Room/News-Room/News-Suit-Against-PPK-Price-Gougers-Who-Sell">https://www.ohioattorneygeneral.gov/Media/News-Releases/News-Room/News-Suit-Against-PPK-Price-Gougers-Who-Sell</a>	<a href="https://www.ohioattorneygeneral.gov/Media/News-Room/News-Room/News-Suit-Against-PPK-Price-Gougers-Who-Sell">https://www.ohioattorneygeneral.gov/Media/News-Room/News-Suit-Against-PPK-Price-Gougers-Who-Sell</a>	-
Big Brother Security Programs Inc.; Shelley Palmer	4/14/2020	Vermont AG	Price gouging	Big Brother Security Programs Inc. and its owner Shelley Palmer were charged with violating 9 V.S.A. 2453 the Vermont Consumer Protection Act for allegedly importing surgical masks that cost 10 cents each re-selling them to Central Vermont Medical Center for \$2.50 each, at least a 500 percent mark-up.	9 V.S.A. 2453	Vermont	Chittenden Superior Court	Civil enforcement	Yes	Yes	No	-	3/9/2020-3/24/2020	-	-	-	<a href="https://ago.vermont.gov/2020/04/14/announcements/landmark-general-sues-to-stop-price-gouging-scheme-04-13-2020.pdf">https://ago.vermont.gov/2020/04/14/announcements/landmark-general-sues-to-stop-price-gouging-scheme-04-13-2020.pdf</a>	<a href="https://ago.vermont.gov/2020/04/14/announcements/landmark-general-sues-to-stop-price-gouging-scheme-04-13-2020.pdf">https://ago.vermont.gov/2020/04/14/announcements/landmark-general-sues-to-stop-price-gouging-scheme-04-13-2020.pdf</a>	-
Applied BioSciences Corp. ("APPB")	4/13/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Applied BioSciences Corp. ("APPB") because of questions regarding the accuracy and adequacy of information in the marketplace about APBB, including statements made by the company in March 2020 about selling coronavirus test kits for home use.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88627	3/31/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88627.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88627.pdf</a>	-	-
Arrayt Corporation ("ARYC")	4/13/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Arrayt Corporation ("ARYC") because of questions regarding the accuracy and adequacy of information in the marketplace about ARYC, including statements made by the company in March 2020 that it developed an approved COVID-19 blood test.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88623	3/2/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88623.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88623.pdf</a>	-	-
Parallax Health Sciences, Inc. ("Parallax")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Parallax Health Sciences, Inc. ("Parallax" or "PRLX") because of questions regarding the accuracy and adequacy of information in the marketplace about Parallax common stock, including statements made on its website and a series of press releases about the company's purported development of a rapid screening test for COVID-19, as well as its purported access to large quantities of COVID-19 diagnostic testing kits and personal protective equipment.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88618	3/12/2020-3/24/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88618.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88618.pdf</a>	-	-
Roadman Investments Corp. ("RMANF")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Roadman Investments Corp. ("RMANF") because of questions regarding the accuracy and adequacy of information in the marketplace about RMANF since February 2020, including a series of news releases about the company's commercialization of cedar leaf oil as a promising treatment for COVID-19.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88619	2/12/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88619.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88619.pdf</a>	-	-
Solei Systems, Inc. ("SOLI")	4/10/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Solei Systems, Inc. ("SOLI") because of questions regarding the accuracy and adequacy of information in the marketplace about SOLI common stock since March 2020, including a press release issued by the company and a national cable interview with the CEO of its wholly-owned subsidiary about that subsidiary's ability to provide COVID-19 testing kits that would allow a patient to submit a testing sample from home.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88620	3/16/2020-3/18/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88620.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88620.pdf</a>	-	-
Christopher Parris	4/10/2020	USDOJ	Contract fraud	Christopher Parris was charged with wire fraud for allegedly making a series of fraudulent misrepresentations to secure orders from the Department of Veterans Affairs for 125 million face masks and other personal protective equipment that would have totaled over \$750 million. For example, Parris promised he could obtain millions of genuine 3M masks from domestic factories when he knew that fulfilling the orders would be impossible. He also made similar false representations to other entities in an effort to enter into other fraudulent agreements to sell equipment to state governments.	18 USC § 1343	New York	W.D.N.Y.	Criminal enforcement	Yes	No	No	6:20-mj-04019-MWP	-	-	-	-	<a href="https://www.usdoj.gov/isaac/fr/nc-aga-masks-arrested-attempting-to-fraud-department-veterans-affairs-million-dollar-scam">https://www.usdoj.gov/isaac/fr/nc-aga-masks-arrested-attempting-to-fraud-department-veterans-affairs-million-dollar-scam</a>	-	-
Turbo Global Partners, Inc. ("TRBO")	4/9/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Turbo Global Partners, Inc. ("TRBO") because of questions regarding the accuracy and adequacy of information in the marketplace about TRBO common stock, including press releases regarding an agreement with another company to provide non-contact human temperature screening and facial recognition technology to TRBO, and TRBO's ability to ship this technology within five days of receiving an order.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88609	3/30/2020-4/3/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88609.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88609.pdf</a>	-	-
Rong Sun (AKA Vicky Sun)	4/9/2020	USDOJ	FIFRA violation	Rong Sun was charged with smuggling, illegal mailing, and violating the Federal Insecticide, Fungicide and Botenticide Act (FIFRA) for allegedly selling an unregistered pesticide, Toxamit 545, 1716 Virus Shut Out, through eBay, claiming that it would help protect individuals from viruses.	7 USC §§ 136j, 136i; 18 USC § 1345, 1716	Georgia	N.D. Ga.	Criminal enforcement	Yes	No	No	1:20-mj-00289-AIB; 3:20-cr-00005-JL	4/2/2020	-	-	-	<a href="https://www.usdoj.gov/isaac/fr/nc-aga-resident-arrested-selling-illegal-product-claiming-product-against-viruses">https://www.usdoj.gov/isaac/fr/nc-aga-resident-arrested-selling-illegal-product-claiming-product-against-viruses</a>	<a href="https://www.usdoj.gov/isaac/fr/nc-aga-resident-arrested-selling-illegal-product-claiming-product-against-viruses">https://www.usdoj.gov/isaac/fr/nc-aga-resident-arrested-selling-illegal-product-claiming-product-against-viruses</a>	-
BioLife Corp. f/k/a U.S. Lithium Corp. ("LITH")	4/8/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of BioLife Corp. f/k/a U.S. Lithium Corp. ("LITH") because of questions regarding the accuracy and adequacy of information in the marketplace about the company, including a company press release reinforced by stock promoters regarding a purported new "Coronavirus (COVID-19) Prevention Products Line" that the company claimed to be producing and distributing.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88607	3/12/2020-3/16/2020	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88607.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88607.pdf</a>	-	-
Key Capital Corporation ("KCP")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Key Capital Corporation ("KCP") because of questions regarding the accuracy and adequacy of information in the marketplace about KCP common stock, particularly KCP's ability to develop a COVID-19 vaccine and make it available to the mass market in three to six months.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88585	-	Temporary suspended trading	-	-	<a href="https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88585.pdf">https://www.sec.gov/itg/itg-us-permissions/2020/3-8-88585.pdf</a>	-	-

Prestige Capital Corp. ("PGEC")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Prestige Capital Corp. ("PGEC") because of questions regarding the accuracy and adequacy of information in the marketplace about PGEC common stock, including its financial condition and operations in light of concerns about investors confusing this company with another similarly-named company that manufactured N95 masks.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88584	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88584.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88584.pdf</a>
Wellness Matrix Group, Inc. ("WMGR")	4/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Wellness Matrix Group, Inc. ("WMGR") because of questions regarding the accuracy and adequacy of information in the marketplace about WMGR common stock, including statements WMGR made through affiliated websites and a company consultant about selling home COVID-19 testing kits that it claimed had been approved by the FDA.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88582	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88582.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88582.pdf</a>
No Borders, Inc. ("NBDR")	4/3/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of No Borders, Inc. ("NBDR") because of questions regarding the accuracy and adequacy of information in the marketplace about NBDR since March 2020, including statements made about NBDR's COVID-19 specimen collection kits, an agreement to bring COVID-19 test kits to the United States, and NBDR's activities related to the distribution of personal protective equipment.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88549	3/11/2020-3/25/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88549.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88549.pdf</a>
Sandy Steele Unlimited Inc. ("Sandy Steele")	4/3/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Sandy Steele Unlimited Inc. ("SSTU") because of questions regarding the accuracy and adequacy of information in the marketplace about SSTU common stock.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88550	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88550.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88550.pdf</a>
Frank Richard Ludlow	4/1/2020	USDOJ	Consumer fraud	Frank Richard Ludlow was charged with introducing misbranded drugs into interstate commerce by allegedly repackaging "Trinity Remedy" kits he smuggled from the UK as "Trinity COVID-19 SARS Antipathogenic Treatment" kits, even though the kits had not been approved by the FDA to treat COVID-19 or for any other use.	21 USC §§ 331, 333	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-01469-DUTY	2/2020-3/2020	-	<a href="https://www.usdoj.gov/lit/uscdo/cda/fr/uk-national-charged-shipping-misbranded-and-unapproved-treatments-patients-suffering">https://www.usdoj.gov/lit/uscdo/cda/fr/uk-national-charged-shipping-misbranded-and-unapproved-treatments-patients-suffering</a>
Erik Santos	3/30/2020	USDOJ	Health care fraud	Erik Santos was charged with conspiring to violate the Anti-Kickback Statute and conspiring to commit health care fraud for allegedly engaging in a scheme to defraud Medicare by soliciting and receiving kickback payments from companies involved in clinical and diagnostic testing. As part of the scheme, Santos agreed to be paid kickbacks on a per-test basis for COVID-19 tests, provided that those tests were bundled with a much more expensive respiratory pathogen panel (RPP) test, which did not identify or treat COVID-19.	18 USC §§ 371, 1349	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2:20-mj-09096-CLW	11/2019-3/2020	-	<a href="https://www.usdoj.gov/lit/uscdo/cda/fr/uk-national-charged-shipping-misbranded-and-unapproved-treatments-patients-suffering">https://www.usdoj.gov/lit/uscdo/cda/fr/uk-national-charged-shipping-misbranded-and-unapproved-treatments-patients-suffering</a>
Baruch Feldheim	3/30/2020	USDOJ	Price gouging	Baruch Feldheim was charged with assaulting a federal officer and making false statements after allegedly coughing on FBI agents while claiming to have COVID-19, and lying about his accumulation and sale of surgical masks, medical gowns, and other medical supplies.	18 USC §§ 111, 1001	New Jersey	D.N.J.	Criminal enforcement	Yes	No	No	2:20-mj-09122-CLW	3/29/2020	-	<a href="https://www.usdoj.gov/lit/uscdo/cda/fr/uk-national-charged-shipping-misbranded-and-unapproved-treatments-patients-suffering">https://www.usdoj.gov/lit/uscdo/cda/fr/uk-national-charged-shipping-misbranded-and-unapproved-treatments-patients-suffering</a>
Dragon Door Publications, Inc.	3/27/2020	Minnesota AG	Price gouging	Dragon Door Publications, Inc. entered into an assurance of discontinuance with the Minnesota AG after allegedly selling N95 masks after the start of the COVID-19 crisis for \$5 each, plus shipping, in violation of the Governor's order against price gouging on essential items in times of emergency. The company also allegedly offered to donate N95 masks with the sale of its ISOCHAIN exercise fitness program, which retails for \$499.	Executive Order 20-10	Minnesota	Ramsey County District Court	Assurance of discontinuance	No	Yes	No	-	-	-	<a href="https://www.ag.state.mn.us/Office/Communications/PressReleases/2020/03/27/Press20200327DragonDoorAssuranceOfDiscontinuaance.pdf">https://www.ag.state.mn.us/Office/Communications/PressReleases/2020/03/27/Press20200327DragonDoorAssuranceOfDiscontinuaance.pdf</a>
Auctions Unlimited LLC	3/26/2020	Texas AG	Price gouging	Auctions Unlimited LLC was charged with violating the Texas Deceptive Trade Practices-Consumer Protection Act (DTPA) for allegedly listing a pack of 16 N95 masks on its website for \$180, even though local police and the Texas Attorney General warned website owner Tim Worstell not to do so.	Tex. Bus. & Com. Code § 17.46	Texas	Harris County District Court	Civil enforcement	No	Yes	No	2020-19535	3/24/2020	-	<a href="https://www.texag.gov/litigation/litreports/2020/lr-88479-a.pdf">https://www.texag.gov/litigation/litreports/2020/lr-88479-a.pdf</a>
Praxsyn Corporation ("PXNY")	3/25/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Praxsyn Corporation ("PXNY") because of questions regarding the accuracy and adequacy of information in the marketplace about PXNY since at least February 2020 relating to statements the company made about having and, being able to obtain, large quantities of N95 masks.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	File No. 500-1	2/27/2020-3/4/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88479-a.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88479-a.pdf</a>
Zoom Technologies, Inc. ("ZOOM")	3/25/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of ZOOM Technologies, Inc. ("ZOOM") because of questions regarding the accuracy and adequacy of information in the marketplace about ZOOM, in light of the absence of any public disclosure since 2015, and investors potentially confusing this company with a similarly-named issuer that provided communications services.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88477	-	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88477.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88477.pdf</a>
Keith Lawrence Middlebrook	3/25/2020	USDOJ	Consumer fraud	Keith Lawrence Middlebrook was charged with wire fraud for allegedly soliciting investments for a company that he claimed would be used to market pills that would prevent coronavirus infections and an injectable cure for those already suffering from COVID-19, when in fact there was no specific antiviral treatment for COVID-19 and no vaccine to prevent coronavirus infection.	18 USC § 1349	California	C.D. Cal.	Criminal enforcement	Yes	No	No	2:20-mj-01341-DUTY	3/13/2020-3/24/2020	-	<a href="https://www.usdoj.gov/lit/uscdo/cda/fr/southbian-man-arrested-federal-charges-illness-fraudulent-investment-scheme-featuring">https://www.usdoj.gov/lit/uscdo/cda/fr/southbian-man-arrested-federal-charges-illness-fraudulent-investment-scheme-featuring</a>
Downtown Smoke Shop Inc.	3/24/2020	Minnesota AG	Price gouging	Downtown Smoke Shop Inc. entered into an assurance of discontinuance with the Minnesota AG after an investigation by the AG's office allegedly found it was selling toilet paper for \$2.99 for one roll, \$4.99 for two rolls, and \$79.99 for a pack of 36 rolls, in violation of the Governor's order against price gouging for essential items in times of emergency. The store was also allegedly selling one-ounce bottles of hand sanitizer for \$4.99, and packs of six nitrile exam gloves for \$2.99 and twelve nitrile exam gloves for \$4.99.	Executive Order 20-10	Minnesota	Ramsey County District Court	Assurance of discontinuance	No	Yes	No	-	-	-	<a href="https://www.ag.state.mn.us/Office/Communications/PressReleases/2020/03/24/Press20200324DowntownSmokeShopAssuranceOfDiscontinuaance.pdf">https://www.ag.state.mn.us/Office/Communications/PressReleases/2020/03/24/Press20200324DowntownSmokeShopAssuranceOfDiscontinuaance.pdf</a>
John Doe (AKA "coronavirusmedicalkit.com")	3/22/2020	USDOJ	Consumer fraud	The DOJ sought to enjoin the owners of the website coronavirusmedicalkit.com from ongoing wire fraud for allegedly offering access to WHO vaccine kits for COVID-19, when in fact there was no COVID-19 vaccine at the time and the WHO was not distributing any such vaccine.	18 USC § 1343 (under 18 USC § 1345)	Texas	W.D. Tex.	Civil enforcement	Yes	No	No	1:20-cv-00306	3/3/2020	-	<a href="https://www.usdoj.gov/lit/uscdo/cda/fr/southbian-man-arrested-federal-charges-illness-fraudulent-investment-scheme-featuring">https://www.usdoj.gov/lit/uscdo/cda/fr/southbian-man-arrested-federal-charges-illness-fraudulent-investment-scheme-featuring</a>
Eastgate Biotech Corp. ("ETBI")	2/24/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Eastgate Biotech Corp. ("ETBI") because of questions regarding the accuracy and adequacy of information in the marketplace about ETBI since at least January 2020, including the company's purported international marketing rights to an approved COVID-19 treatment.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88265	1/30/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88265.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88265.pdf</a>
Aethlon Medical, Inc. ("AEMD")	2/7/2020	SEC	Stock trading	The SEC temporarily suspended trading in the securities of Aethlon Medical, Inc. ("AEMD") because of questions regarding the accuracy and adequacy of information in the marketplace about AEMD since at least January 2020, including viability of the company's product to treat COVID-19, as well as unusual market activity.	15 USC § 78b	United States	-	Suspension of trading	No	Yes	No	Release No. 88142	1/22/2020	Temporary suspended trading	<a href="https://www.sec.gov/litigation/litreports/2020/lr-88142.pdf">https://www.sec.gov/litigation/litreports/2020/lr-88142.pdf</a>